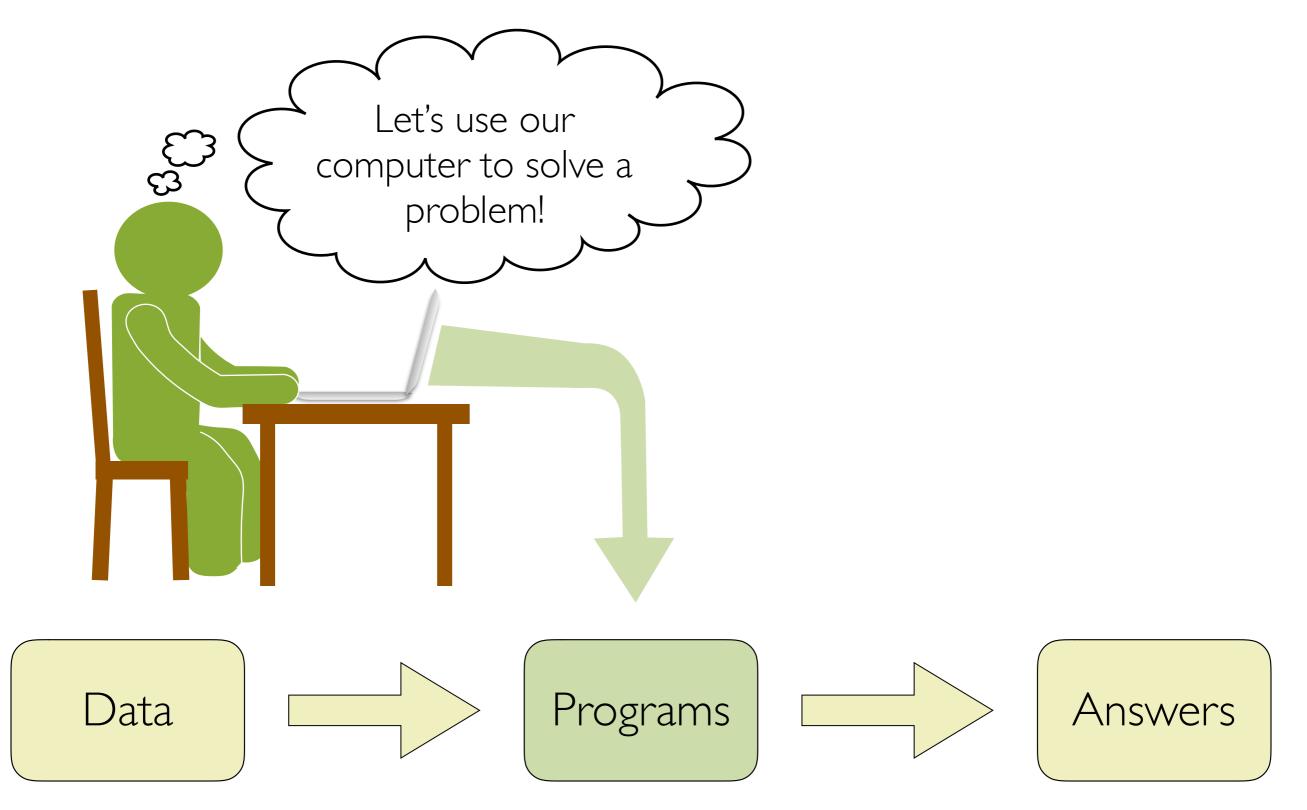
CS 320: Principles of Programming Languages

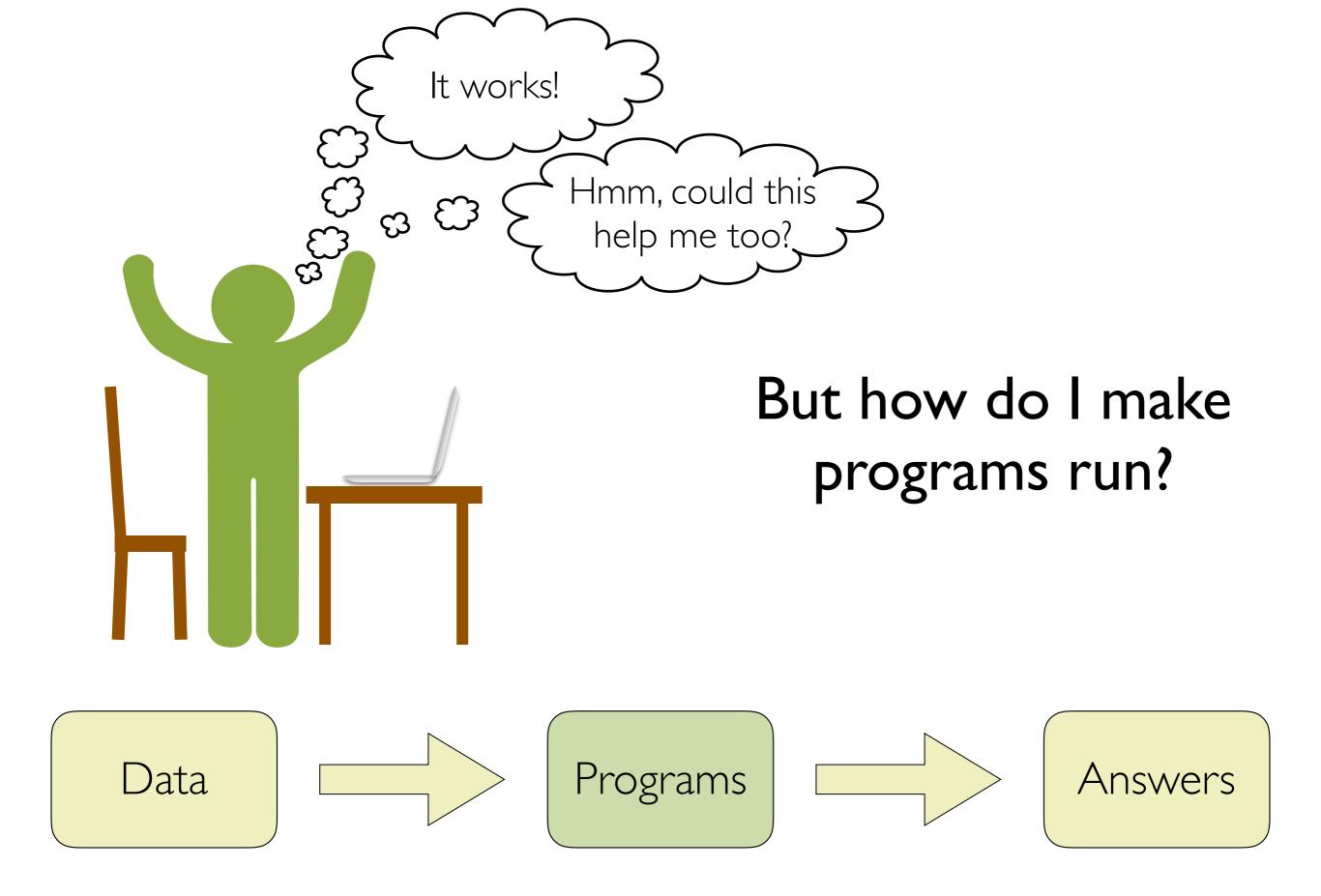
Mark P Jones & Andrew Tolmach, Portland State University

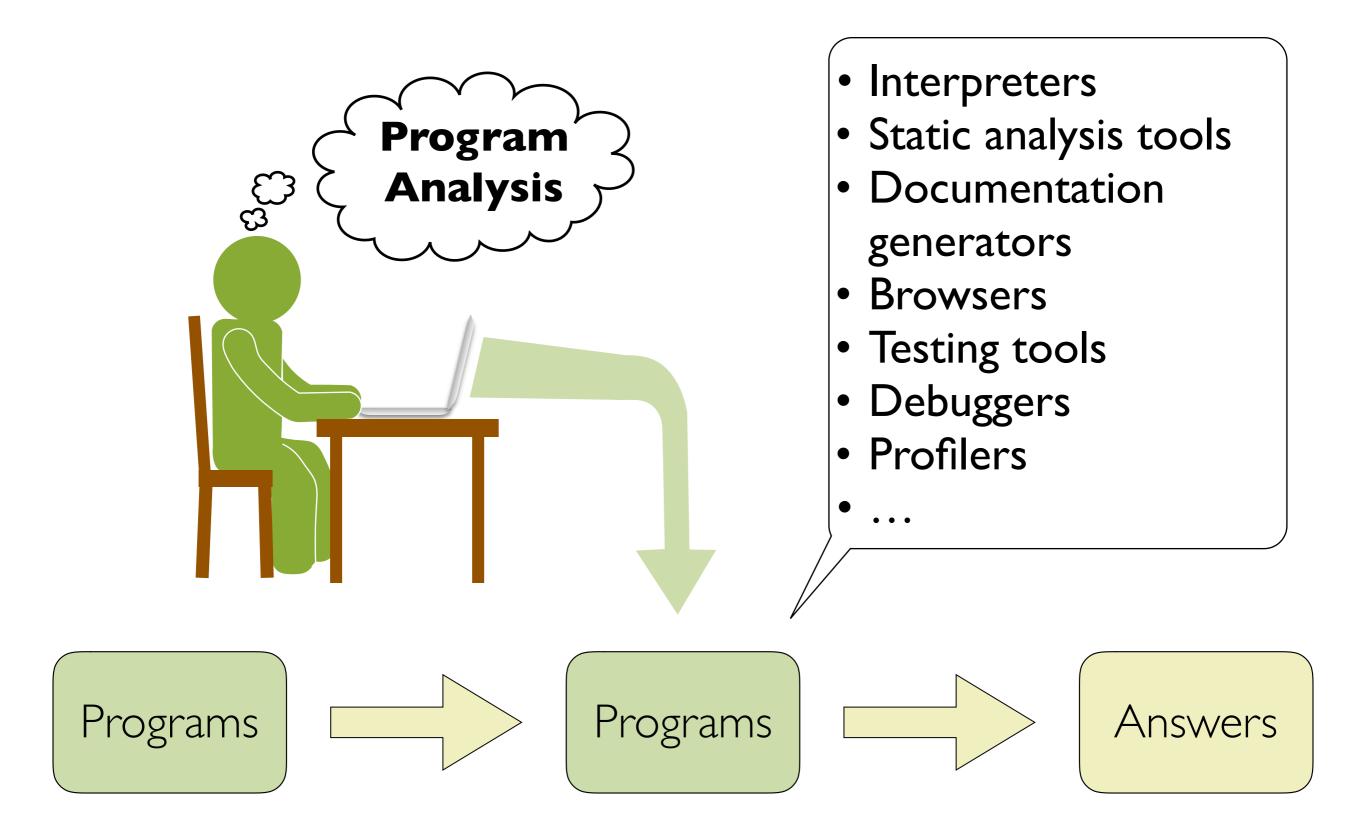
Winter 2019

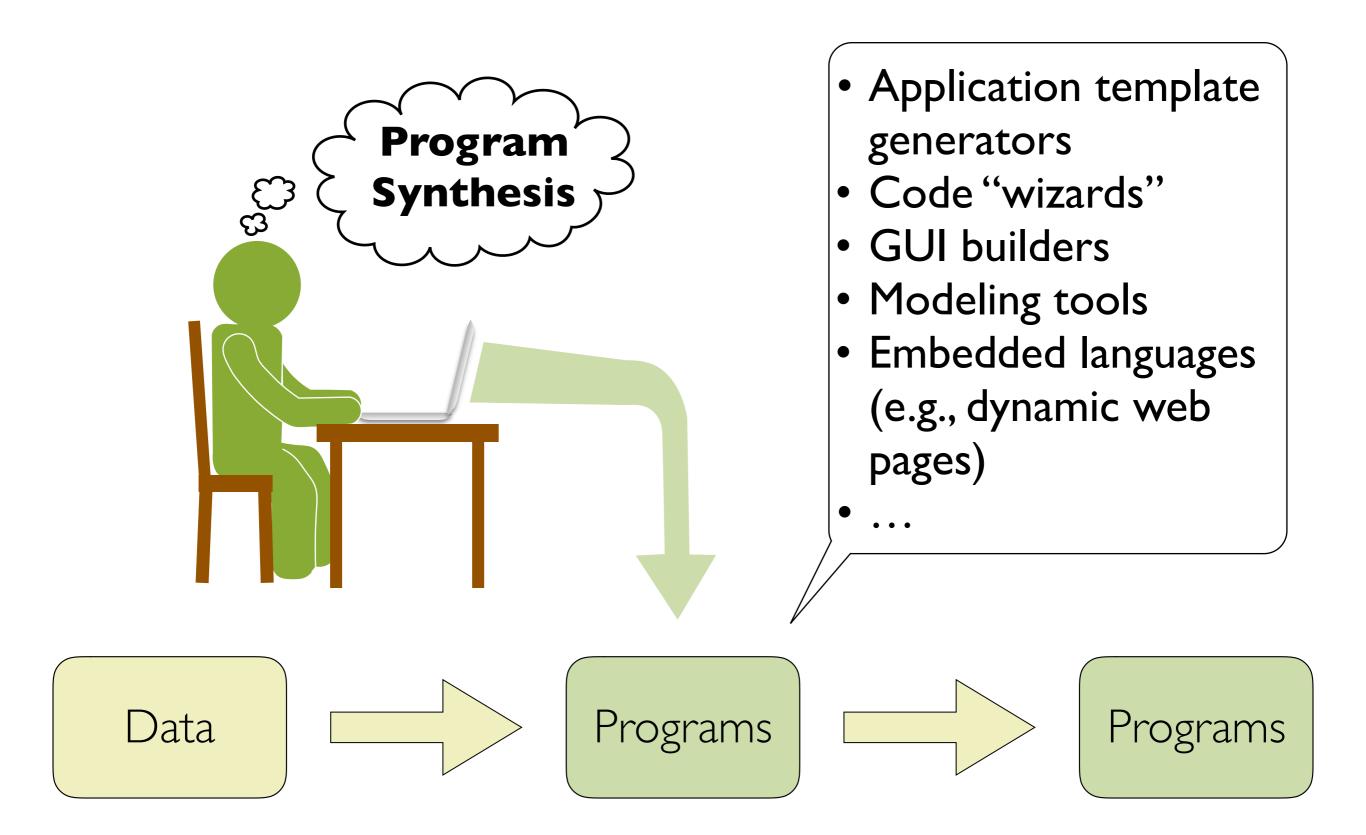
Week 2: Programs as Data

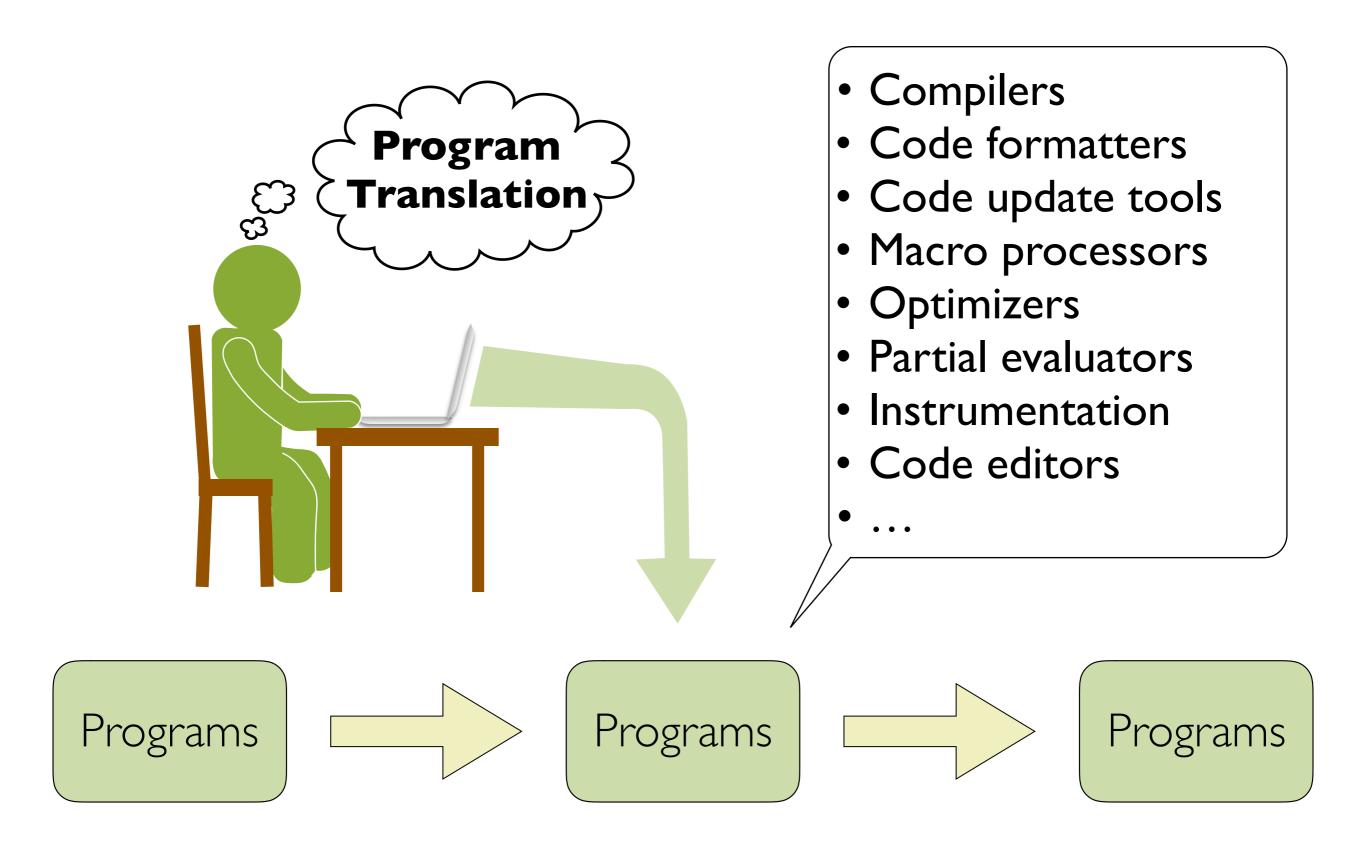
The computer scientist at work...











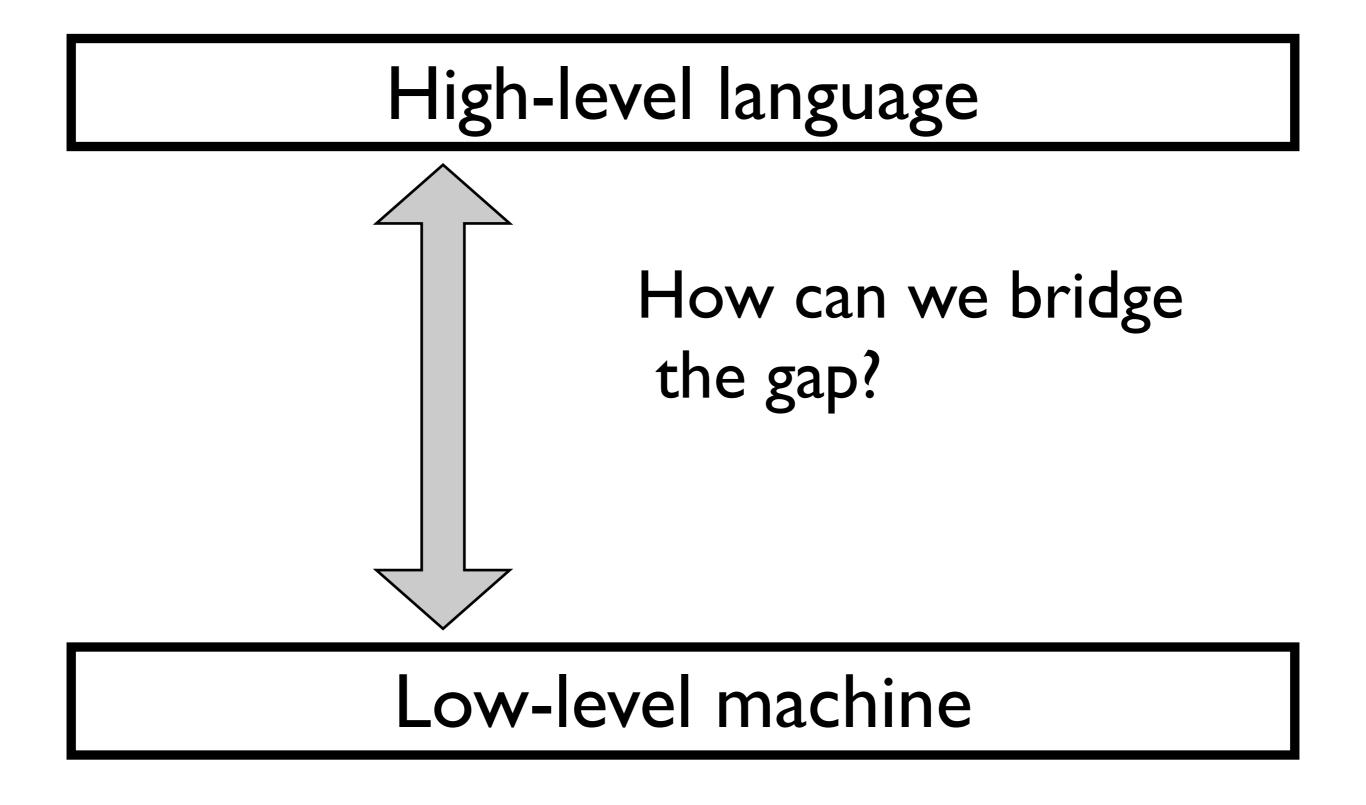
How **do** we make high-level programs run on low-level hardware?

What makes a language "high-level"?

- Complex expressions (arithmetic, logical,...)
- Structured control (loops, conditionals, cases,...)
- Composite types (arrays, records, ...)
- Type declarations and type checking
- Multiple data storage classes (global/local/heap/GC?)
- Procedures/functions (private scope, closures,...)
- Non-local control (exceptions, threads,...)
- Data abstraction (ADTs, modules, objects...)

What does hardware give us?

- Low-level machine instructions
- Control flow based on labels and conditional branches
- Explicit locations (e.g. registers) for values and intermediate results of computations
- Flat memory model
- Explicit memory management (e.g., stacks for procedure local data)



In conventional English:

- **interpreter**: somebody that translates from one language to another.
 - Example: "I need an interpreter when I'm in Japan"
- **compiler**: somebody who collects, gathers, assembles, or organizes information or things.
 - Latin root: compilare, "plunder or plagiarize"

According to my dictionary:

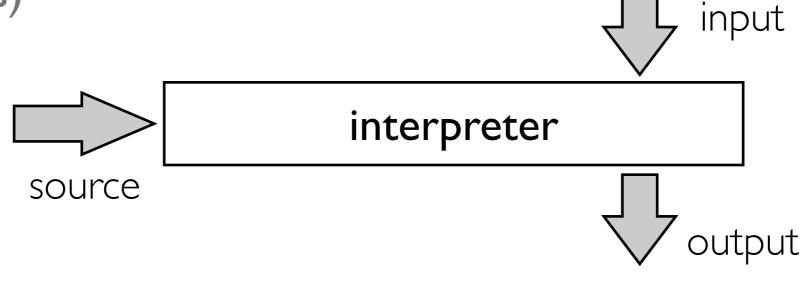
- in•ter•pret•er (noun) Computing: a program that can analyze and execute a program line by line
- **com•pile** (verb) Computing (of a computer): convert (a program) into a machine-code or lower-level form in which the program can be executed

Derivatives: com•pil•er (noun)

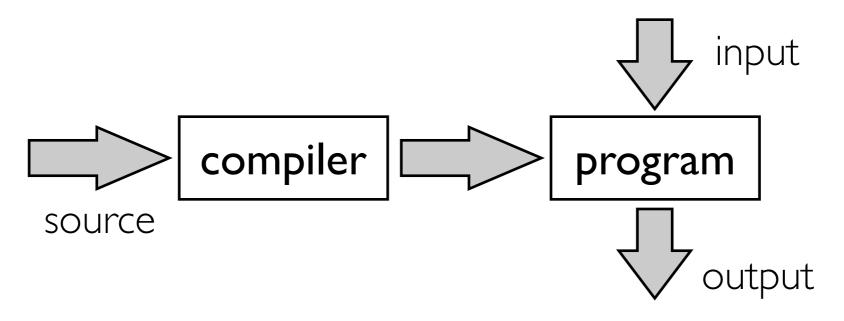
In computer science:

- An interpreter <u>executes</u> (or runs) programs
 - An interpreter for a language L might be thought of as a function: interp_ : L \rightarrow M, where M is some set of meanings of programs
- A compiler <u>translates</u> programs
 - A compiler from a language L to a language L' might be thought of as a function comp : $L \rightarrow L'$
- By "language", we mean the set of all strings that correspond to valid programs

Interpreters execute programs (turning syntax to semantics)



• Compilers **translate** programs (turning syntax into syntax)



"Doing" vs "Thinking about doing"

- Compilers translate programs (turning syntax to syntax)
- Interpreters run programs (turning syntax to semantics)
- Example:
 - Interpreter (Doing something): Use your calculator to evaluate (1+2)+(3+4): Answer: 10
 - Compiler (Thinking about doing something): Tell me what buttons to press to evaluate (1+2)+(3+4): Answer:

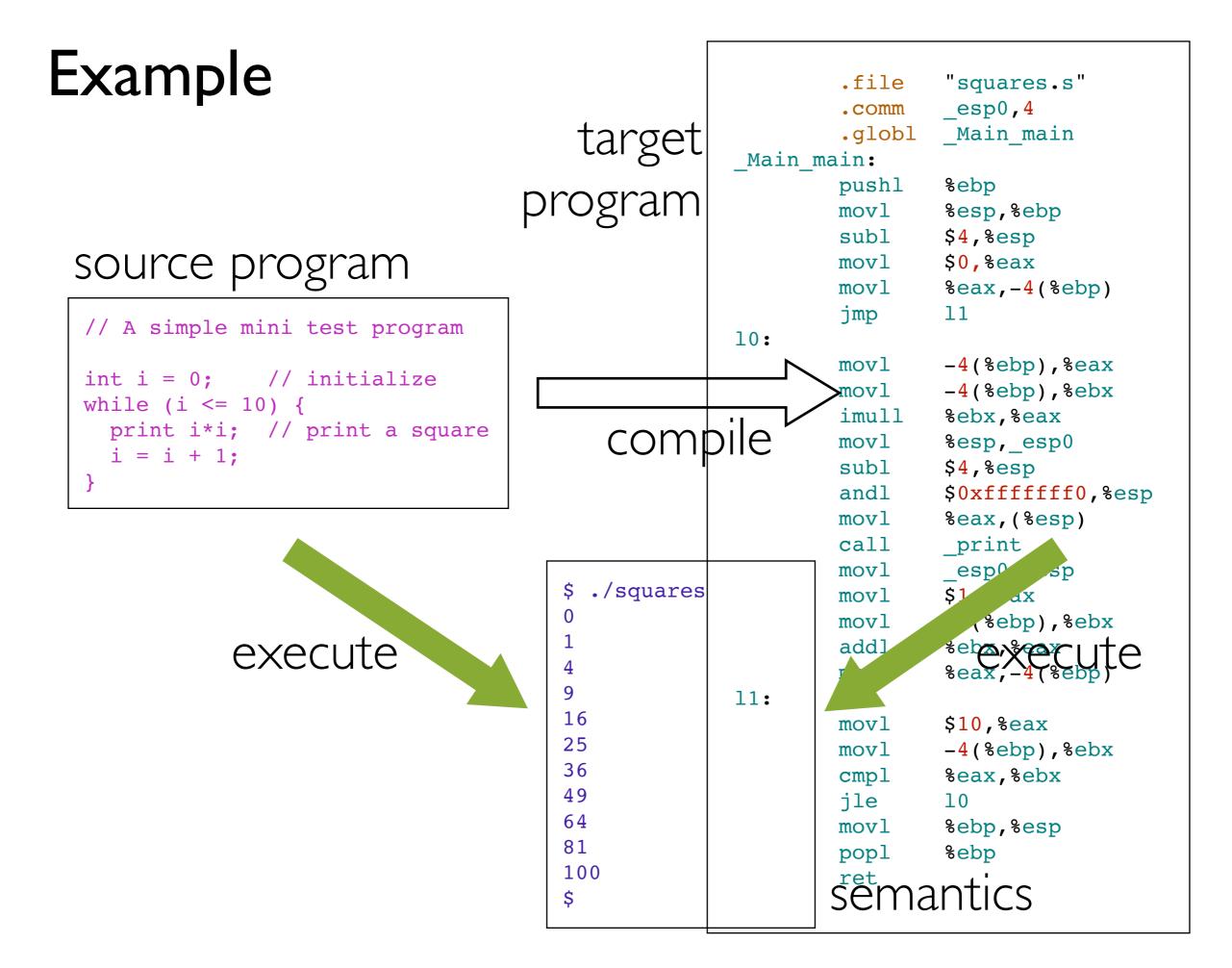
$$| + 2 = M 3 + 4 + MR =$$

source programs

many possible source languages, from traditional, to application specific languages.

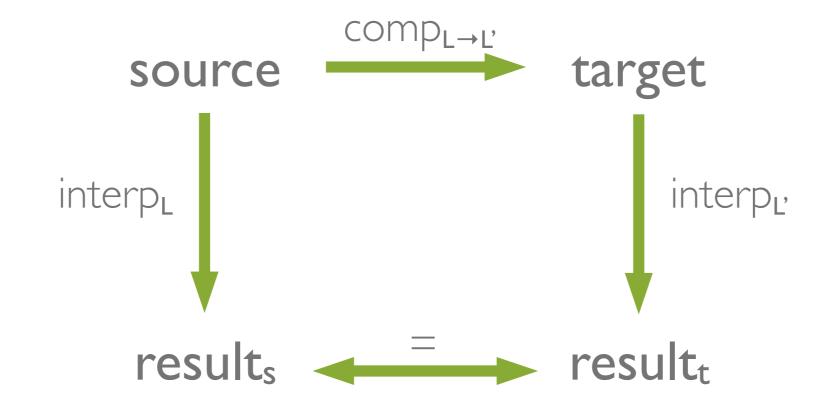
target programs

usually another programming language, often the machine language of a particular computer system.



Compiler correctness

- A compiler should produce valid output for any valid input
- The output should have the same semantics as the input



In symbols: $\forall p. interp_{L}(p) = interp_{L'}(comp_{L \to L'}(p))$

Desirable properties of a compiler

- Performance:
 - Of compiled code: time, space, power, ...
 - Of the compiler: time, space, ...
- Diagnostics:
 - High quality error messages and warnings to permit early and accurate diagnosis and resolution of programming mistakes

Desirable properties, continued

- Support for large programming projects, including:
 - Separate compilation, reducing the amount of recompilation that is needed when part of a program is changed
 - Use of libraries, enabling effective software reuse
- Convenient development environment:
 - Supports program development with an IDE or a range of useful tools, for example: profiling, debugging, cross-referencing, browsing, project management (e.g., make)

Compiler examples

•

Compilers show up in many different forms:

- Translating programs in high-level languages like C, C++, Java, etc... to executable machine code
- Just in time compilers: translating byte code to machine code at runtime
- Rendering an HTML web page in a browser window
- Printing a document on a Postscript printer
- Generating audio speech from written text
- Translating from English to Spanish/French/...

Interpreter characteristics

Common (but not universal) characteristics:

- More emphasis on interactive use:
 - Use of a read-eval-print loop (REPL)
 - Examples: language implementations designed for educational or prototyping applications
- Less emphasis on performance:
 - Interpretive overhead that could be eliminated by compilation
 - Performance of scripting code, for example, is less of an issue if the computations that are being scripted are significantly more expensive

Interpreter characteristics, continued

- Portability:
 - An interpreter is often more easily ported to multiple platforms than a compiler because it does not depend on the details of a particular target language
- Experimental platforms:
 - Specifying programming language semantics
 - More flexible language designs; some features are easier to implement in an interpreter than in a compiler

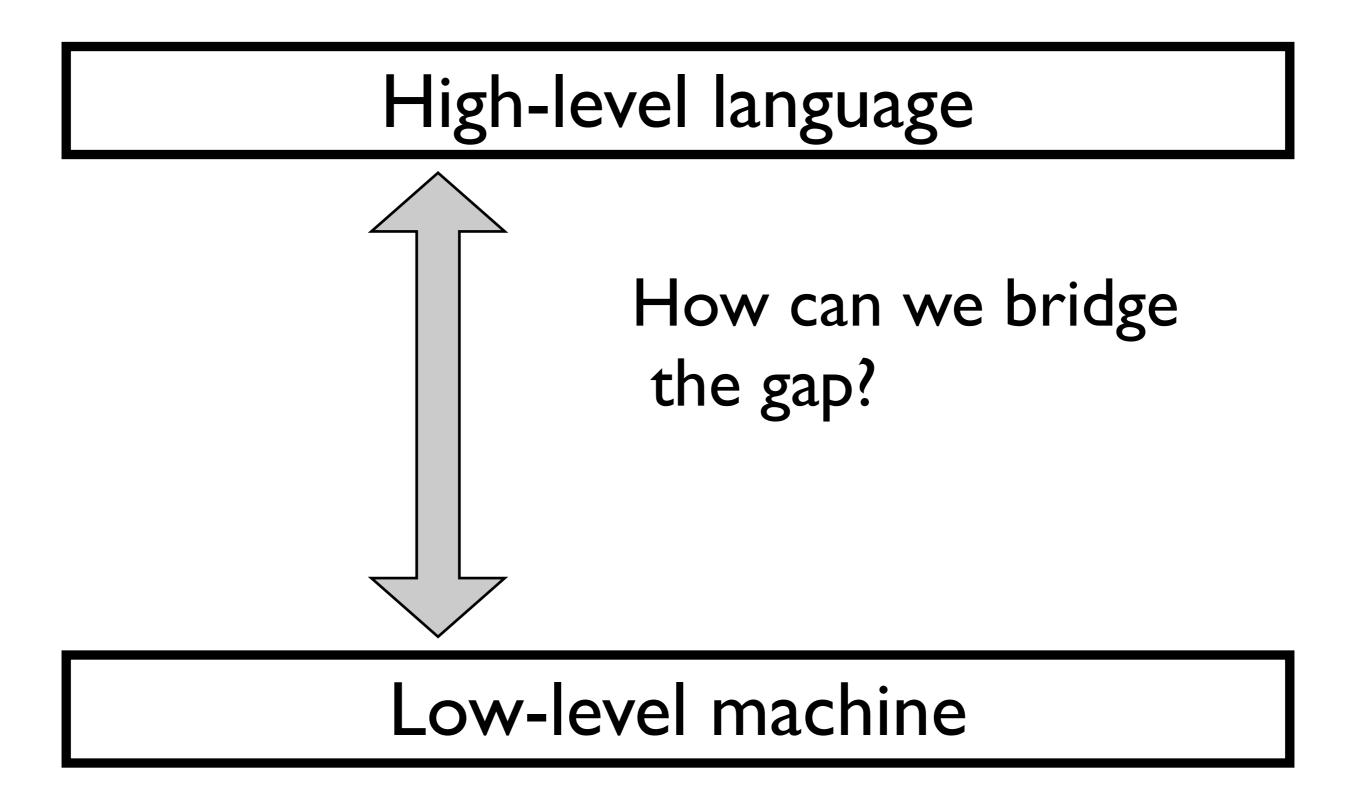
Interpreter examples

- Programming languages:
 - Scripting languages: PHP, python, ruby, perl, bash, Javascript, ...
 - Educational languages: BASIC, Logo, ...
 - Declarative languages: Lisp, Scheme, ML, Haskell, Prolog, ...
 - Virtual machines: Java, Scala, C#, VB, Pascal (P-Code)
- Document description languages:
 - Postscript, HTML, ...

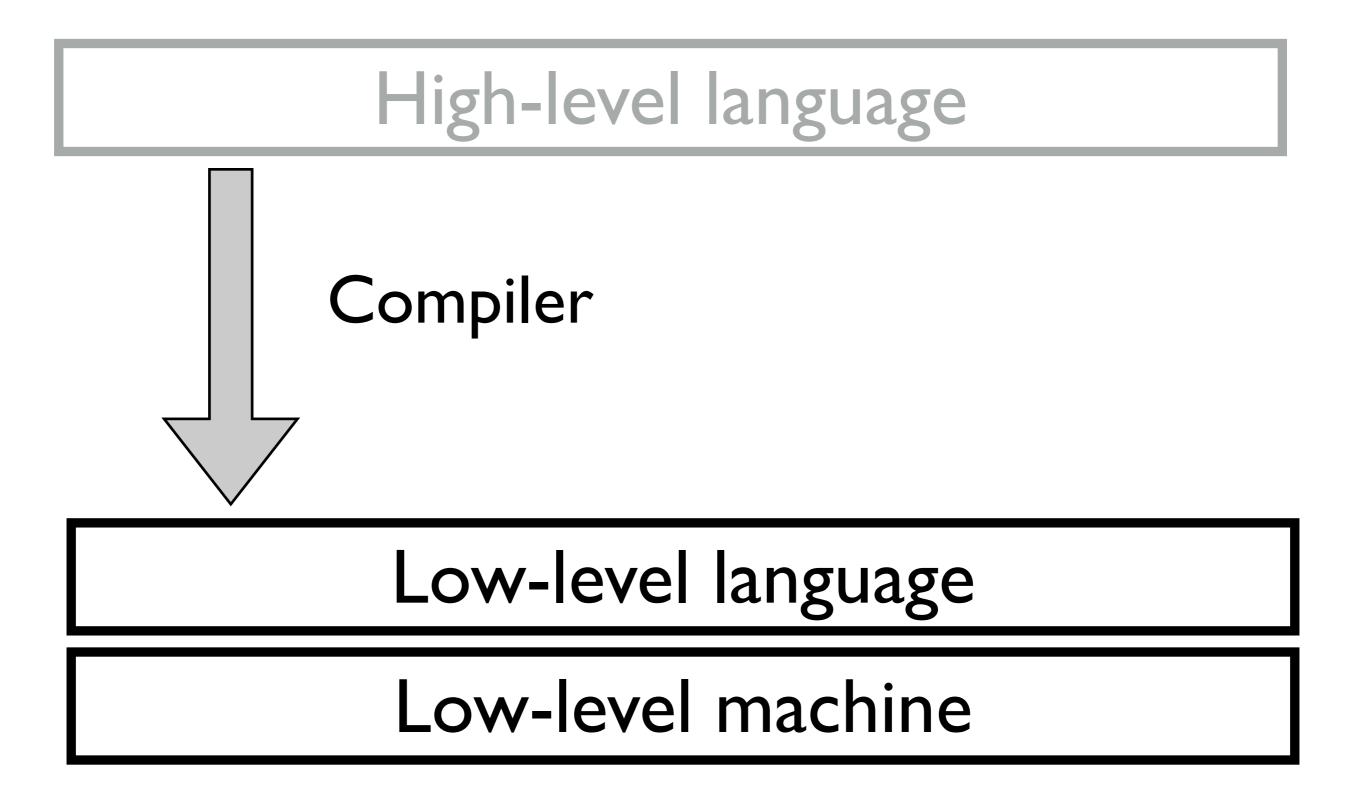
Interpreters and Machines

- A virtual machine is one important kind of interpreter
 - Executes programs written in a virtual (i.e. softwaredefined) instruction set
 - Example: Java Virtual Machine (JVM) executes (interprets) a language of byte codes
- There is no fundamental difference between this and a highlevel language interpreter: both execute programs in software
- A CPU executes (machine) programs in hardware:
 - So it is a kind of interpreter too!
 - Faster, but harder to change

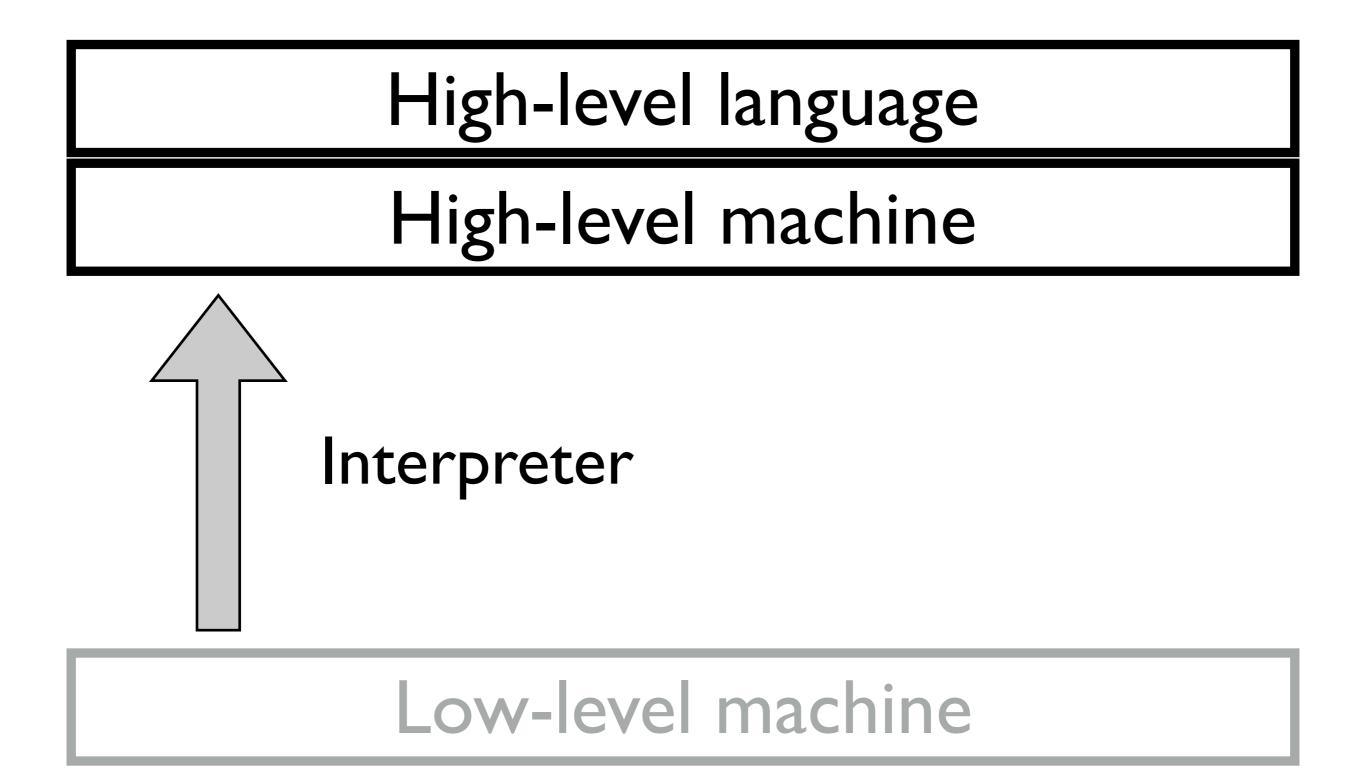
Another look at this question...



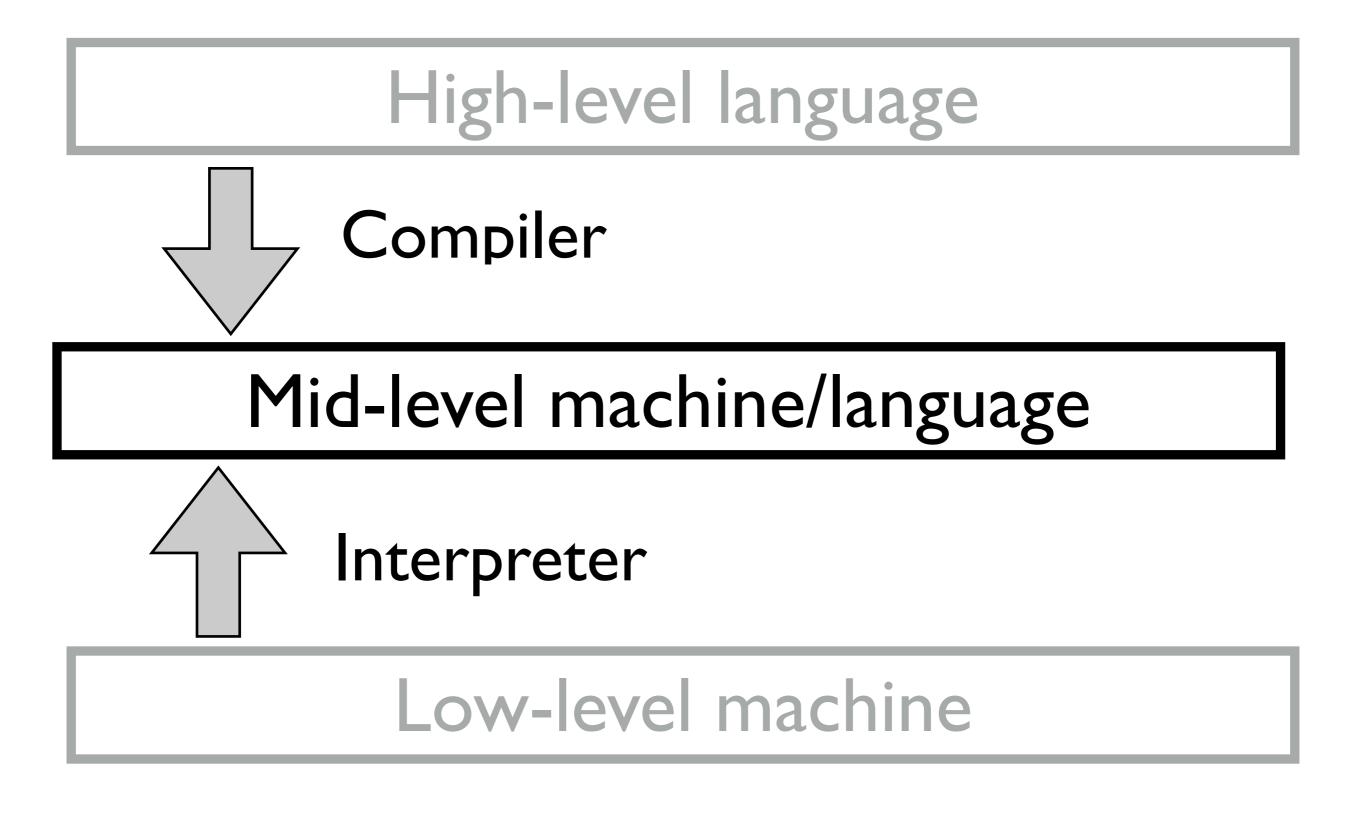
We can compile...



We can interpret...



We can do both...



Run-time systems

- Even with a completely compiled approach, we usually need a fixed library of code available at run time, e.g. for:
 - Interfacing to the OS, e.g. to do IO
 - Managing memory, e.g. via garbage collection
 - Managing exception handlers
- This run-time system code is effectively like a (small) virtual machine layer on top of the real hardware and OS process abstraction
- Moral: Every real system involves some elements of interpretation

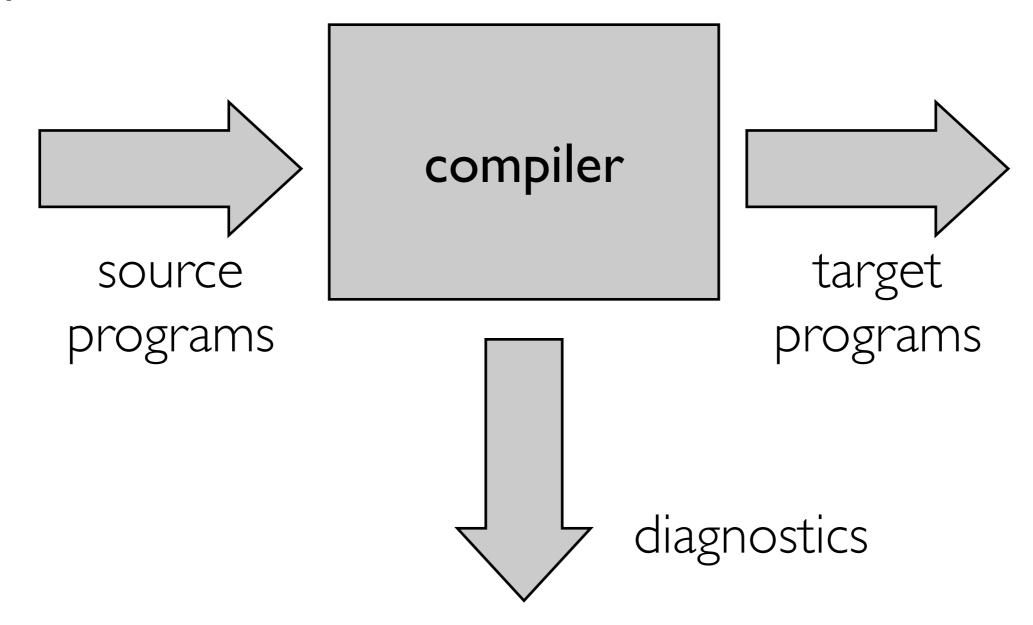
Language vs implementation

- Be very careful to distinguish between languages and their implementations
- C is a widely used language
- Haskell is an expressive language
- Java is a well-defined language
- Python is a slow language (NO: speed is a property of an implementation, not a language)
- C++ is a compiled language: (NO:"compiled" describes a property of an implementation, not a language)

Goals for Compiler Construction

What is a compiler?

Compilers are translators:



Why translation is needed

- We like to write programs at a higher-level than the machine can execute directly
 - Spreadsheet: sum [A1:A3]
 - Java: a[1] + a[2] + a[3]
 - Machine language: movl \$0, %eax addl 4(a), %eax addl 8(a), %eax addl 12(a), %eax
- High-level languages let us describe what is to be done without worrying about all the details
- In machine languages, every step must be carefully spelled out

- Search a database
- Send a message
- Create a song
- Play a game
- etc ...

High Level

Low Level

How do we turn **high level ideas** in to running programs on **low level machines**?

Read a value from memory

- Add two numbers
- Compare two numbers
- Write a value to memory

• etc ...

Machines:

Ideas:

- Search a database
- Send a message
- Create a song
- Play a game
- etc ...

High Level

- Evaluate an expression
- Execute a computation multiple times
- Call a function
- Save a result in a variable

translate

express

Languages:

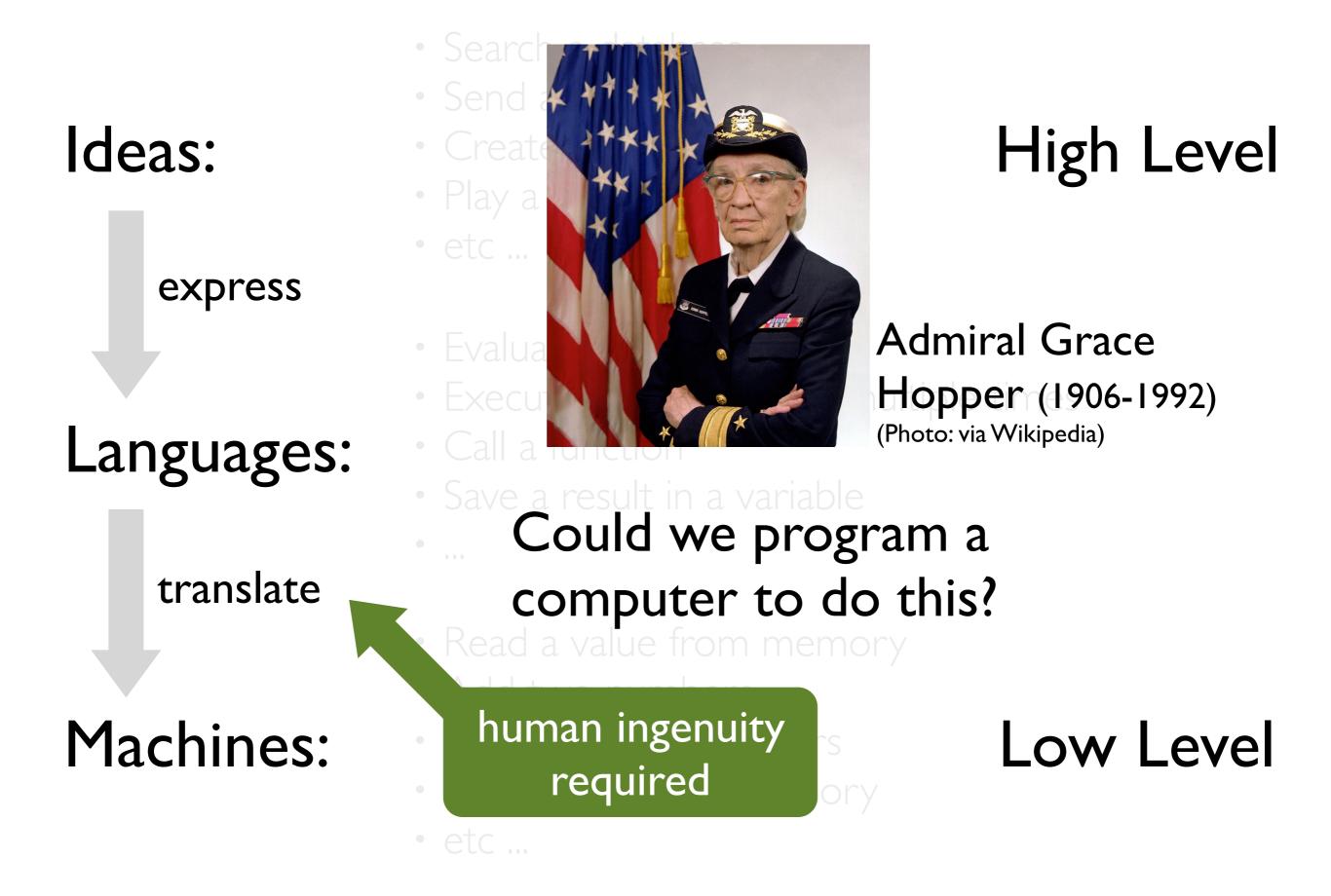
Machines:

Ideas:

- Read a value from memory
- Add two numbers
- Compare two numbers
- Write a value to memory
- etc ...

•

Low Level



Ideas:

express

Languages:

translate

Machines:



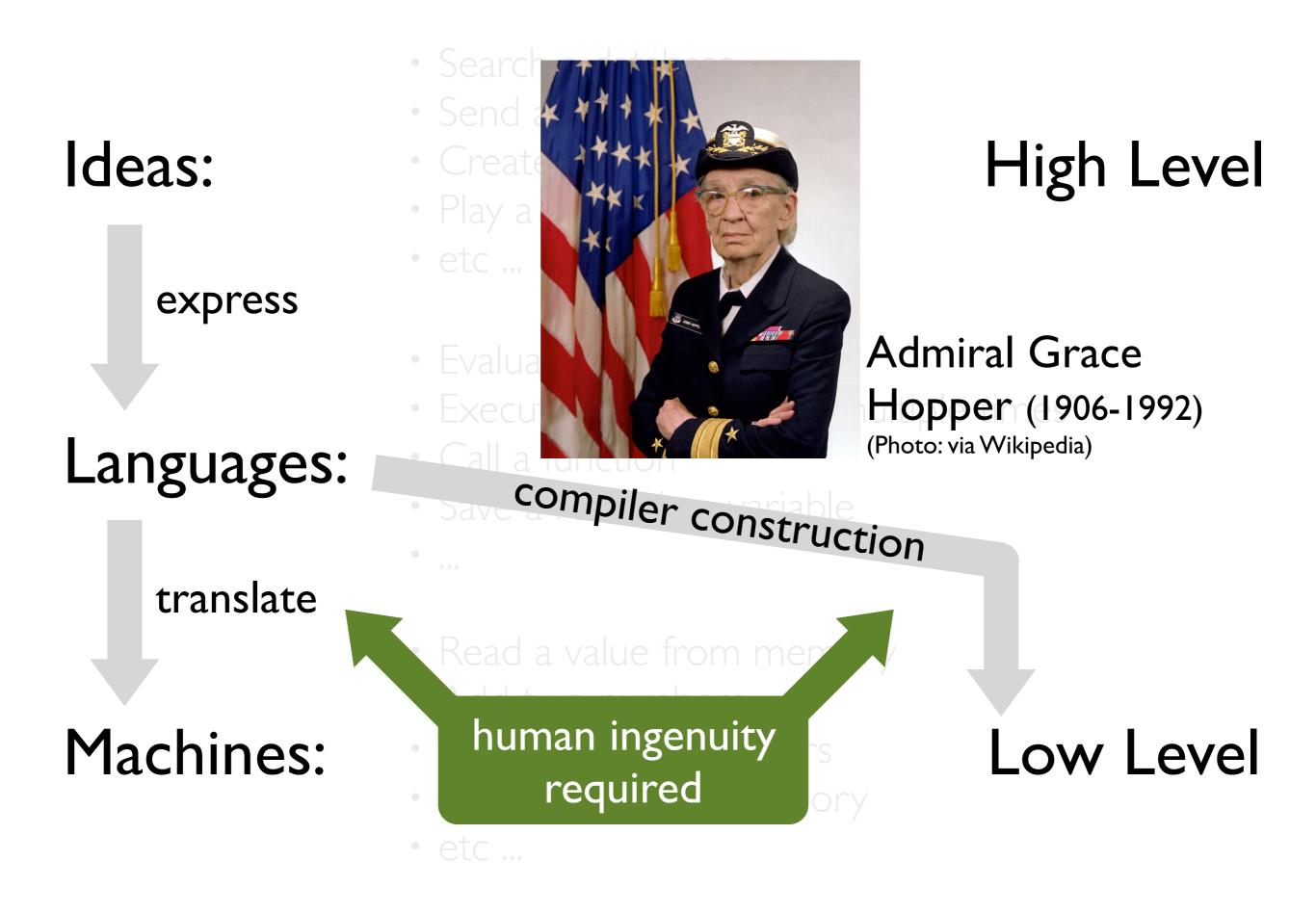
Could we program a computer to do this?

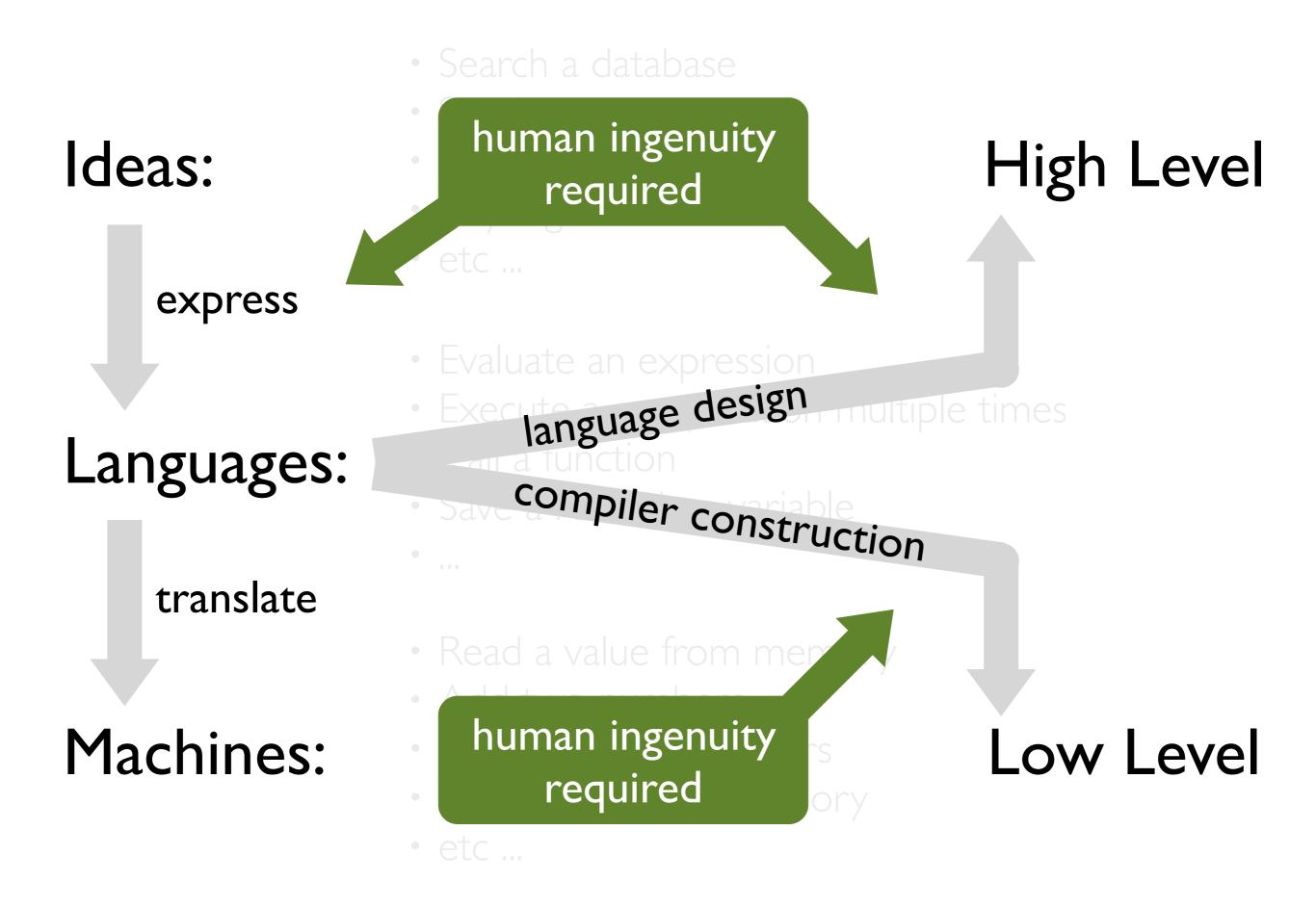
Yes! The A-0 system for UNIVAC 1 (1951-52): the first **compiler**

High Level

Admiral Grace Hopper (1906-1992) (Photo: via Wikipedia)

Low Level

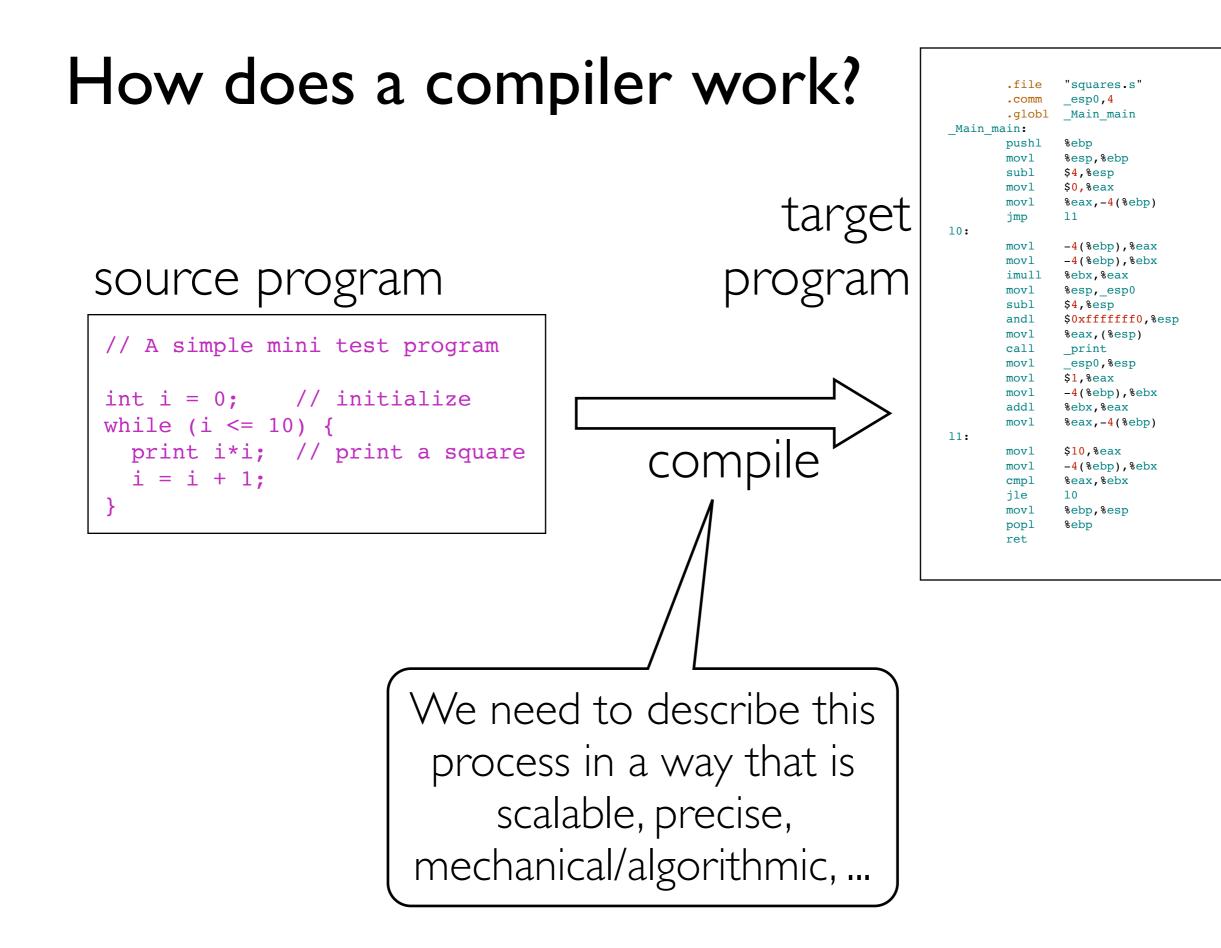




Languages and tools matter

- Language designs empower developers to:
 - Express their ideas more directly
 - Execute their designs on a computer
- Better tools (compilers, interpreters, etc.) will:
 - open programming to more people and more applications
 - increase programmer productivity
 - enhance software quality (functionality, reliability, security, performance, power, ...)

Basics of Compiler Structure



What is this?

-alse

Dark pixels on a light background

A collection of lines/strokes

A sequence of characters

A single word ("token")

An expression

A boolean expression

A truth value

One thing can be seen in many different ways

We can break a complex process into multiple (hopefully simpler) steps

"Compiling" English

- The symbols must be valid: hdk f Ω fdh ksdßs dfsjf dslkjé
- The words must be valid: banana jubmod food funning
- The text must use correct grammar: my walking up left tree dog
- Now we have preliminary abstract syntax:
 This sentence is a complete.



source input

lexical analysis

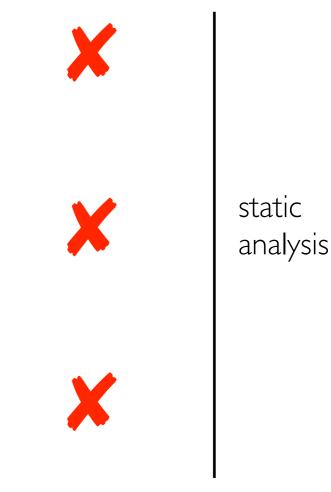
parser

X

"Compiling" English

- The phrase must make sense This sentence is not true.
- The phrase must not be ambiguous Close the window. My old friend.
- The sentence must fit in context The next song is about geography.
- Finally, we have valid abstract syntax!

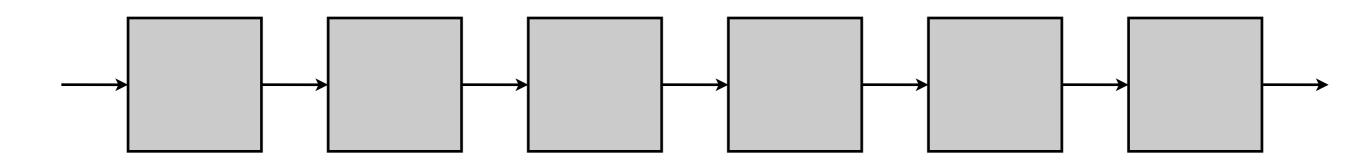
Languages are very interesting.



ready for "code generation"

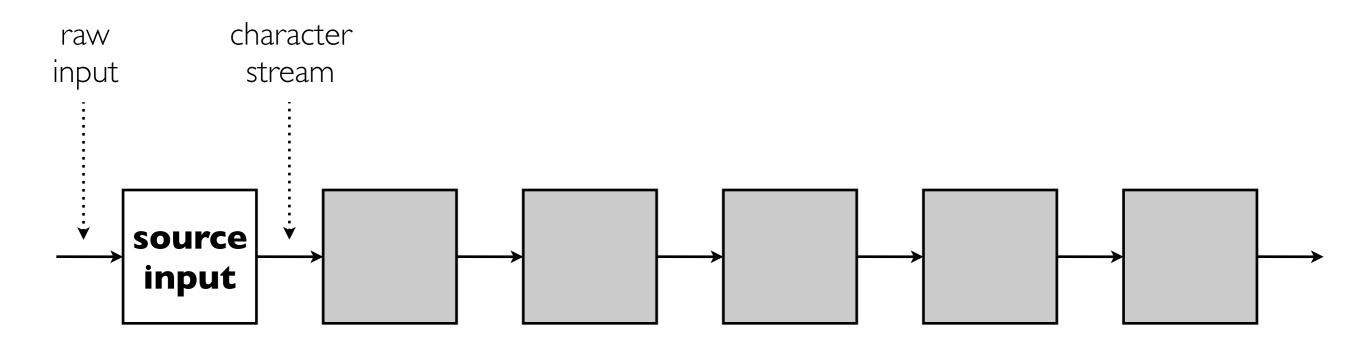
The compiler pipeline

• Traditionally, the task of compilation is broken down into several steps, or compilation <u>phases</u>:



Source input

(not a standard term)

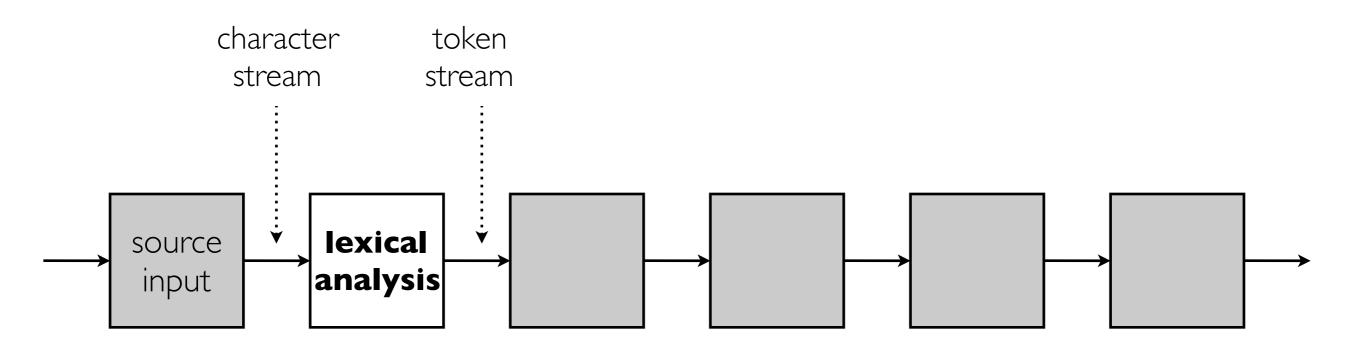


• Turn data from a raw input source into a sequence of characters or lines

Data might come from a disk, memory, a keyboard, a network, a thumb drive, ...

The operating system usually takes care of most of this ...

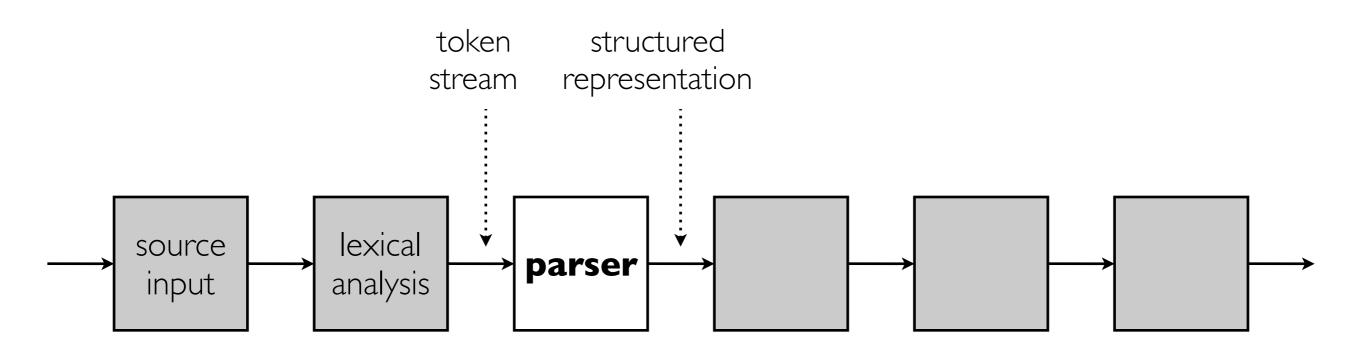
Lexical analysis



- Convert the input stream of characters into a stream of tokens
- For example, the keyword for is treated as a single token, and not as three separate characters
- "lexical":

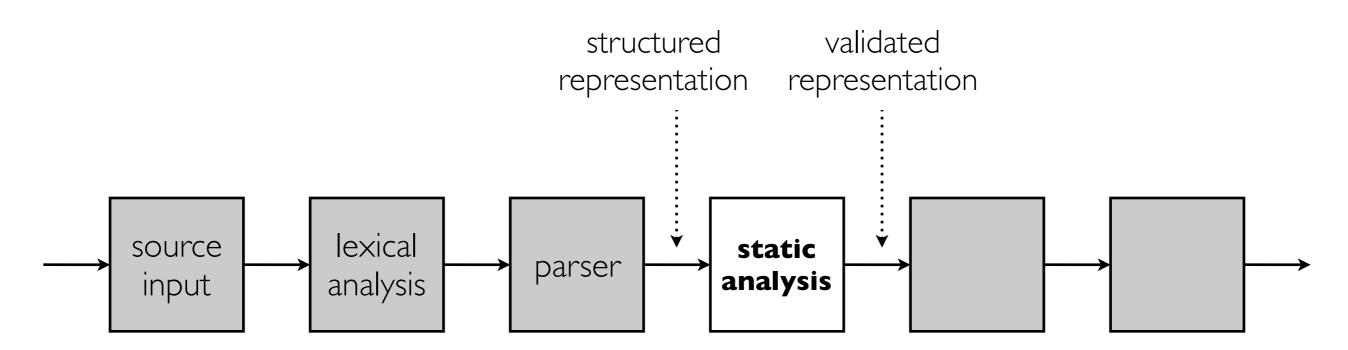
"of or relating to the words or vocabulary of a language"

Parser



- Build data structures that capture the underlying structure (abstract syntax) of the input program
- Determines whether inputs are grammatically well-formed (and reports a syntax error when they are not)

Static analysis

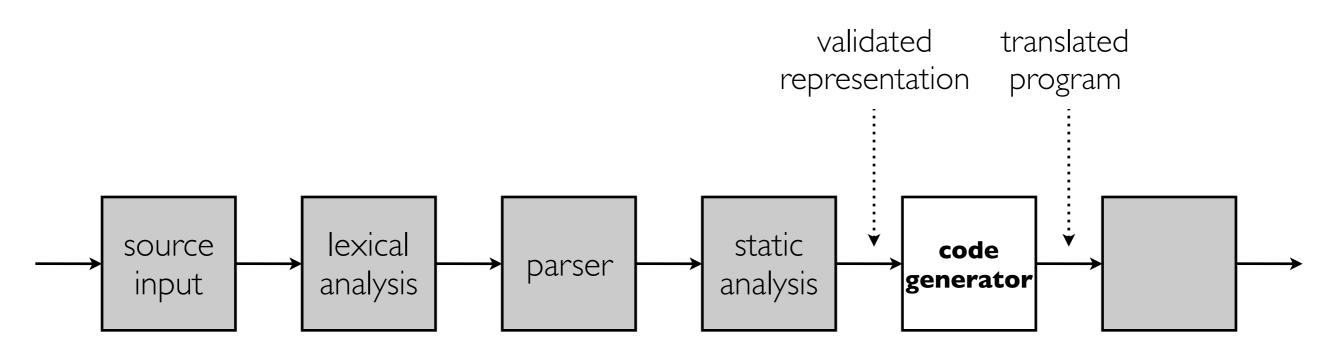


• Check that the program is reasonable: no references to unbound variables

no type inconsistencies

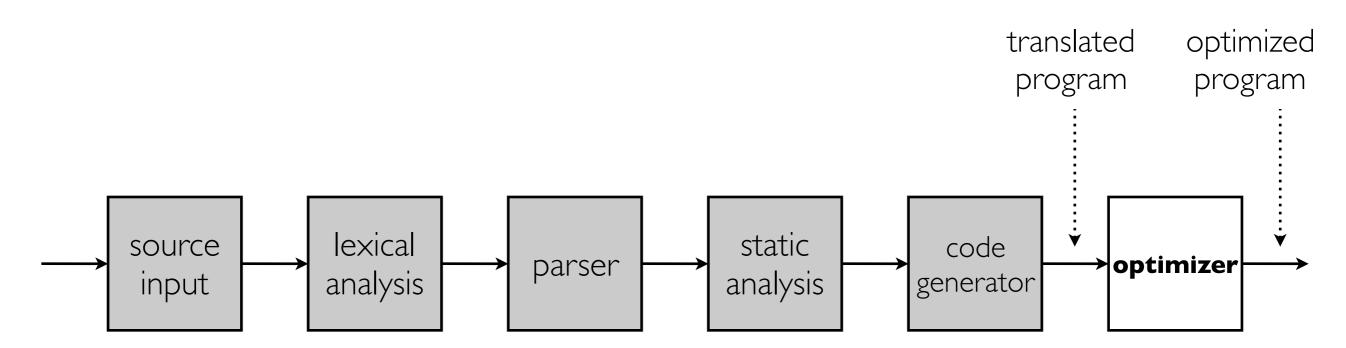
etc...

Code generation



- Generate an appropriate sequence of machine instructions as output
- Different strategies are needed for different target machines

Optimization

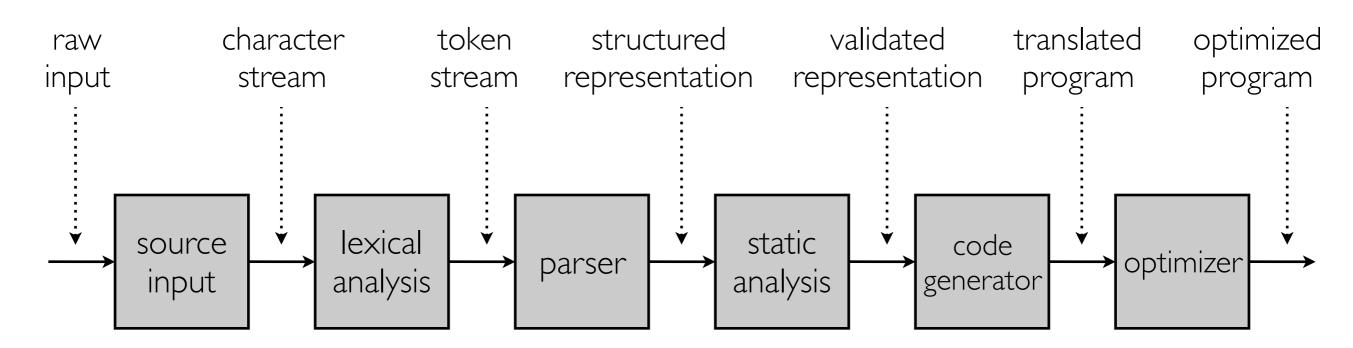


Look for opportunities to improve the quality of the output code:

There may be conflicting ways to "improve" a given program; the choice depends on the context/the user's priorities

Producing genuinely "optimal" code is theoretically impossible; "improved" is as good as it gets!

The full pipeline



• There are many variations on this approach that you'll see in practical compilers:

extra phases (e.g., preprocessing)

iterated phases (e.g., multiple optimization passes)

additional data may be passed between phases

55

Snapshots from a "mini" compiler pipeline

Snapshots from a "mini" compiler pipeline

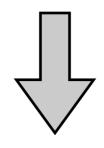
- In this week's labs, we'll trace the results of passing the following program through a compiler for a language called "mini"
- A sample mini program:

```
// A simple mini test program
int i = 0; // initialize
while (i <= 10) {
    print i*i; // print a square
    i = i + 1;
}
```

• The goal here is just to get a sense of how compiler phases work together in practice; you don't need to understand all of the fine details

Source input (as numbers)

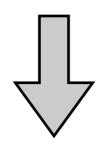
```
// A simple mini test program
int i = 0; // initialize
while (i <= 10) {
    print i*i; // print a square
    i = i + 1;
}</pre>
```



```
|47|47|32|65|32|115|105|109|112|108|101|32|77|105|110|105|
32|116|101|115|116|32|112|114|111|103|114|97|109|10|10|105|
110|116|32|105|32|61|32|48|59|32|32|32|32|32|47|47|32|105|110|
105|116|105|97|108|105|122|101|10|119|104|105|108|101|32|40|
105|32|60|61|32|49|48|41|32|123|10|32|32|112|114|105|110|
116|32|105|42|105|59|32|32|47|47|32|112|114|105|110|116|32|
97|32|115|113|117|97|114|101|10|32|32|105|32|61|32|105|32|
43|32|49|59|10|125|10|
```

Source input (as characters)

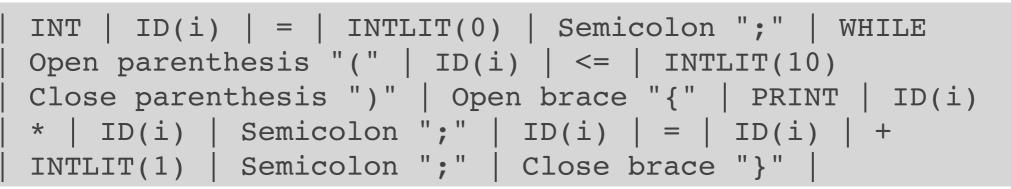
|47|47|32|65|32|115|105|109|112|108|101|32|109|105|110|105| 32|116|101|115|116|32|112|114|111|103|114|97|109|10|10|105| 110|116|32|105|32|61|32|48|59|32|32|32|32|32|47|47|32|105|110| 105|116|105|97|108|105|122|101|10|119|104|105|108|101|32|40| 105|32|60|61|32|49|48|41|32|123|10|32|32|112|114|105|110| 116|32|105|42|105|59|32|32|47|47|32|112|114|105|110|116|32| 97|32|115|113|117|97|114|101|10|32|32|105|32|61|32|105|32| 43|32|49|59|10|125|10|

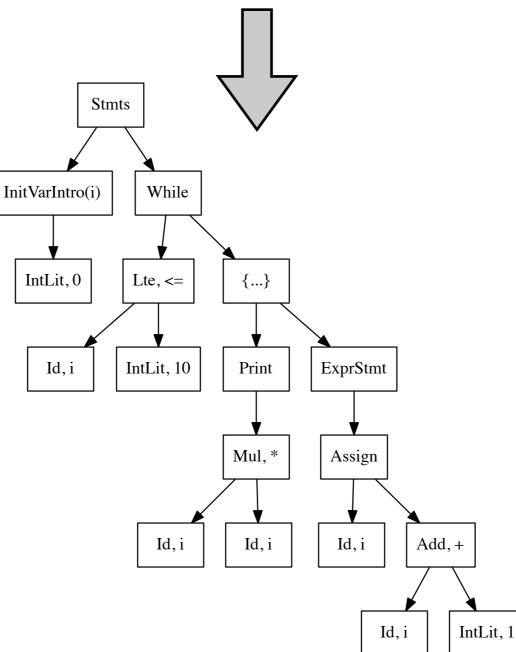


Lexical analysis

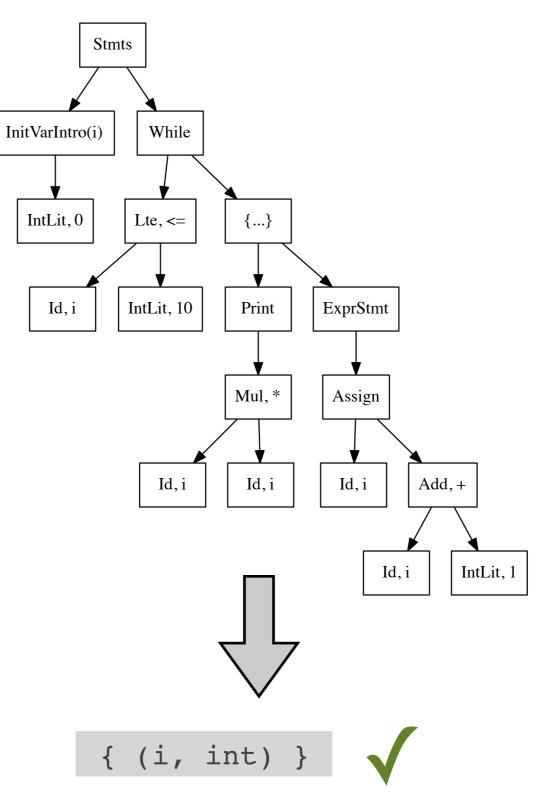
```
|/|/| |A| |s|i|m|p|1|e| |m|i|n|i| |t|e|s|t| |p|r|o|g|r|a|m|\n
| 1 || 1 || |= || 0 |; || || /| /| |i|n|i|t|i|a|l|i|z|e|\n
||w|h|i|l|e| |(|i| <|=| |1|0|)| |{|\n
||p|r|i|n|t| |i|*|i|; || // /| |p|r|i|n|t| |a| |s|q|u|a|r|e|\n
||i| |= ||i| |+ ||1|; |\n
} |\n</pre>
| n |
| } | \n
\n
   INT | ID(i) | = | INTLIT(0) | Semicolon ";" | WHILE
   Open parenthesis "(" | ID(i) | <= | INTLIT(10)
   Close parenthesis ")" | Open brace "{" | PRINT | ID(i)
   * | ID(i) | Semicolon ";" | ID(i) | = | ID(i) | +
   INTLIT(1) | Semicolon ";" | Close brace "}" |
```

Parsing

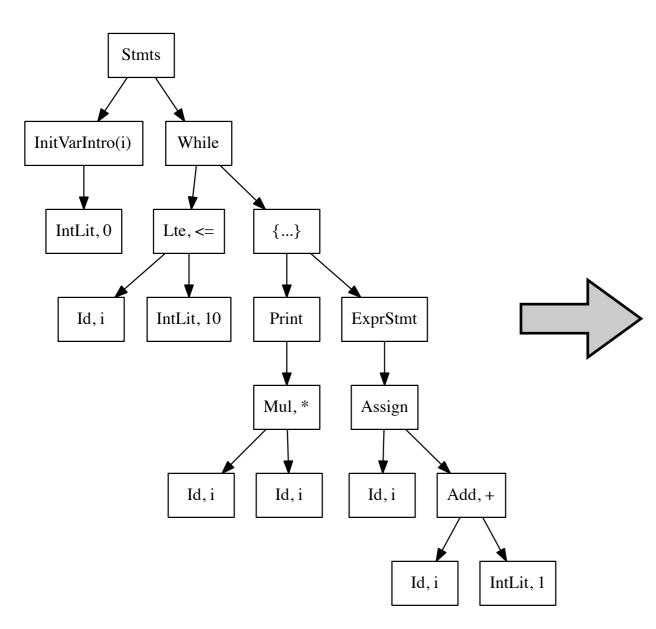




Static analysis



Code generation



[
.file	"squares.s"
.comm	_esp0,4
.globl	_Main_main
_Main_main:	
pushl	%ebp
movl	<pre>%esp,%ebp</pre>
subl	\$ <mark>4</mark> ,%esp
movl	\$ <mark>0,</mark> %eax
movl	%eax,-4(%ebp)
jmp	11
10:	
movl	-4(%ebp),%eax
movl	-4(%ebp),%ebx
imull	%ebx,%eax
movl	%esp,_esp0
subl	\$4,%esp
andl	<pre>\$0xfffffff0,%esp</pre>
movl	<pre>%eax,(%esp)</pre>
call	_print
movl	_esp0,%esp
movl	\$1,%eax
movl	-4(%ebp),%ebx
addl	%ebx,%eax
movl	%eax,-4(%ebp)
11:	
movl	\$10,%eax
movl	-4(%ebp),%ebx
cmpl	<pre>%eax,%ebx</pre>
jle	10
movl	%ebp,%esp
popl	%ebp
ret	

Assembly

	.file	"squares.s"								
	.comm	_esp0, <mark>4</mark>								
	.globl	_Main_main								
_Main_m	ain:									
	pushl	%ebp								
	movl	%esp,%ebp								
	subl	\$4,%esp								
	movl	\$ <mark>0,</mark> %eax								
	movl	%eax,-4(%ebp)								
	jmp	11								
10:										
	movl	-4(%ebp),%eax								
	movl	-4(%ebp),%ebx								
	imull	%ebx,%eax								
	movl	%esp,_esp0								
	subl	\$ 4, %esp								
	andl	<pre>\$0xffffff0,%esp</pre>								
	movl	<pre>%eax,(%esp)</pre>								
	call	_print								
	movl	_esp0,%esp								
	movl	\$1,%eax								
	movl	-4(%ebp),%ebx								
	addl	%ebx,%eax								
	movl	%eax,-4(%ebp)								
11:										
	movl	\$10,%eax								
	movl	-4(%ebp),%ebx								
	cmpl	<pre>%eax,%ebx</pre>								
	jle	10								
	movl	%ebp,%esp								
	popl	%ebp								
	ret									

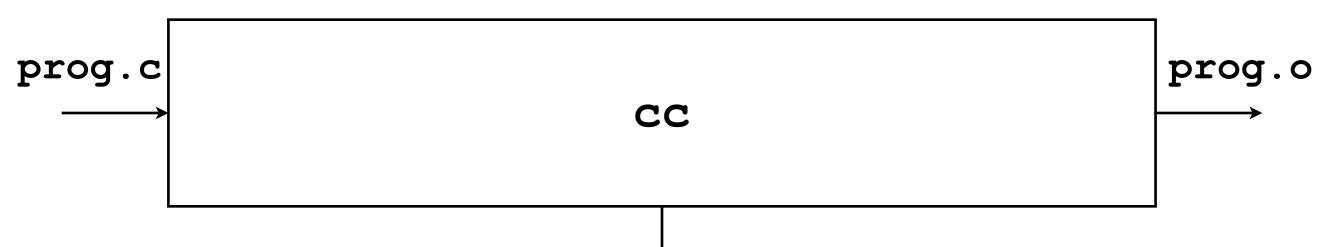
\$ od -A x	-t x	1 sq	uare	s.o												
0000000	ce	fa	ed	fe	07	00	00	00	03	00	00	00	01	00	00	00
0000010	03	00	00	00	e4	00	00	00	00	00	00	00	01	00	00	00
0000020	7c	00	00	00	00	00	00	00	00	00	00	00	00	00	00	00
0000030	00	00	00	00	00	00	00	00	87	00	00	00	00	01	00	00
0000040	87	00	00	00	07	00	00	00	07	00	00	00	01	00	00	00
0000050	00	00	00	00	5f	5f	74	65	78	74	00	00	00	00	00	00
0000060	00	00	00	00	5f	5f	54	45	58	54	00	00	00	00	00	00
0000070	00	00	00	00	00	00	00	00	87	00	00	00	00	01	00	00
0000080	00	00	00	00	88	01	00	00	08	00	00	00	00	04	00	80
0000090	00	00	00	00	00	00	00	00	02	00	00	00	18	00	00	00
00000a0	c8	01	00	00	05	00	00	00	04	02	00	00	20	00	00	00
00000Ъ0	0b	00	00	00	50	00	00	00	00	00	00	00	02	00	00	00
00000c0	02	00	00	00	01	00	00	00	03	00	00	00	02	00	00	00
00000d0	00	00	00	00	00	00	00	00	00	00	00	00	00	00	00	00
*																
0000100	55	89	e5	83	ec	08	b8	00	00	00	00	89	45	fc	b8	00
0000110	00	00	00	89	45	f8	e9	3b	00	00	00	8b	45	fc	8b	5d
0000120	fc	0f	af	c3	89	25	00	00	00	00	83	ec	04	83	e4	f0
0000130	89	04	24	e8	c8	ff	ff	ff	8b	25	00	00	00	00	8b	45
0000140	fc	8b	5d	f8	01	d8	89	45	f8	b8	01	00	00	00	8b	5d
0000150	fc	01	d8	89	45	fc	b8	0a	00	00	00	8b	5d	fc	39	c3
0000160	0f	8e	b5	ff	ff	ff	8b	45	f8	89	25	00	00	00	00	83
0000170	ec	04	83	e4	f0	89	04	24	e8	83	ff	ff	ff	8b	25	00
0000180	00	00	00	89	ec	5d	c3	00	7f	00	00	00	03	00	00	0c
0000190	79	00	00	00	04	00	00	0d	6b	00	00	00	03	00	00	0c
00001a0	62	00	00	00	01	00	00	05	3a	00	00	00	03	00	00	0c
00001b0	34	00	00	00	04	00	00	0d	26	00	00	00	03	00	00	0c
00001c0	17	00	00	00	01	00	00	05	19	00	00	00	0e	01	00	00
00001d0	56	00	00	00	1c	00	00	00	0e	01	00	00	1b	00	00	00
00001e0	07	00	00	00	0f	01	00	00	00	00	00	00	01	00	00	00
00001f0	01	00	00	00	04	00	00	00	12	00	00	00	01	00	00	00
0000200	00	00	00	00	00	5f	65	73	70	30	00	5f	4d	61	69	6e
0000210	5f	6d	61	69	6e	00	5f	70	72	69	6e	74	00	6C	31	00
0000220	6c	30	00	00												
0000224																

Modularity in compiler design

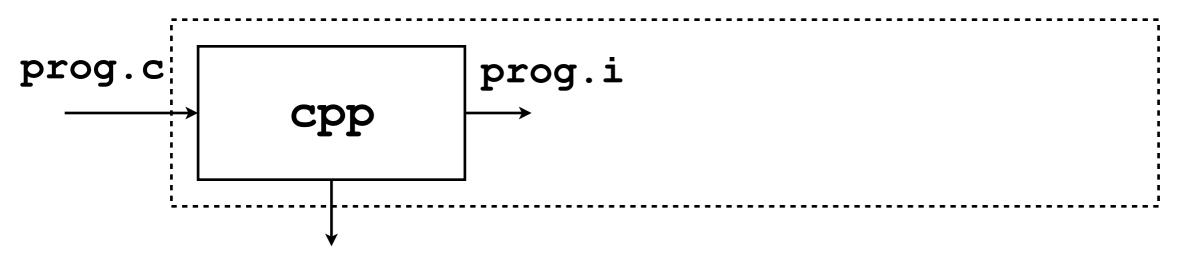
Modularity

- Modularity is all about building large systems from collections of smaller components
- Modular implementations can be easier to write, test, debug, understand, and maintain than monolithic implementations
- For example:
 - Components can be developed independently
 - Some components can be reused in other contexts
 - Some components may even be useful as standalone tools

• The classic Unix C compiler, cc, is implemented by a pipeline of compilers:

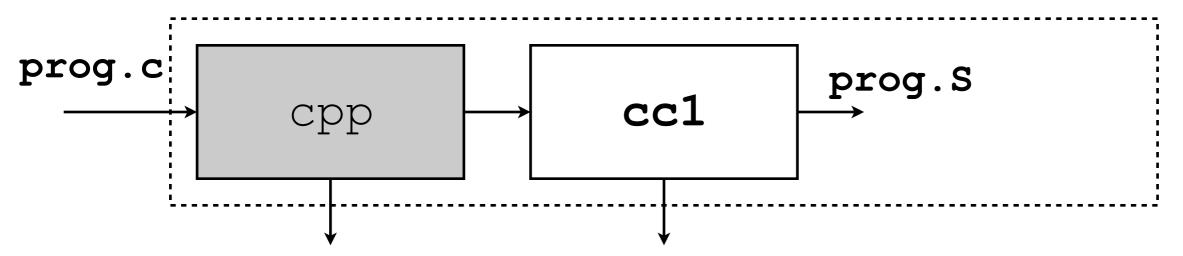


• The classic Unix C compiler, cc, is implemented by a pipeline of compilers:



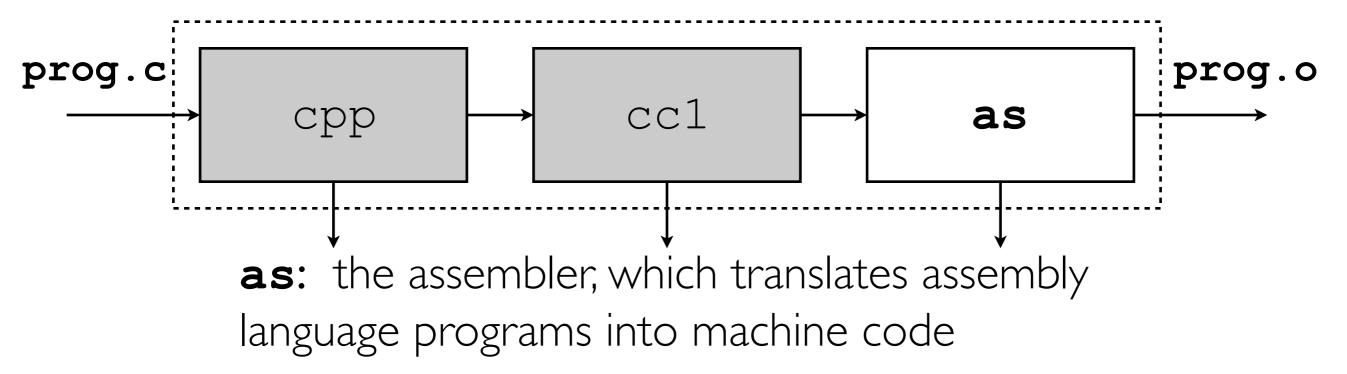
cpp: the C preprocessor, expands the use of macros and compiler directives in the source program

• The classic Unix C compiler, cc, is implemented by a pipeline of compilers:



cc1: the main C compiler, which translates C code to the assembly language for a particular machine

• The classic Unix C compiler, cc, is implemented by a pipeline of compilers:



Advantages of modularity

- Some components (e.g., as) are useful in their own right
- Some components can be reused (e.g., replace cc1 to build a C++ compiler)
- Some components (e.g., cpp) are machine independent, so they do not need to be rewritten for each new machine
- Modular implementations can be easier to write, test, debug, understand, and maintain

Disadvantages of modularity?

• Performance

It takes extra time to write out the data produced at the end of each stage

It takes extra time to read it back in at the beginning of the next stage

Later stages may need to repeat calculations from earlier stages if the information that they need is not included in the output of those earlier stages

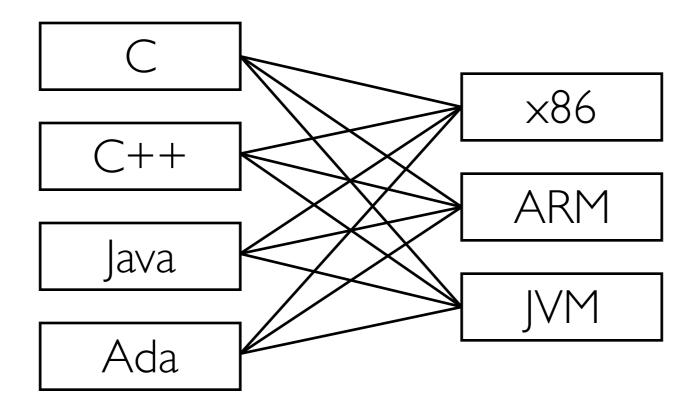
• But modern machines and disks are pretty fast, and compilers are often complex, so modularity usually wins!

General building blocks

- A **front end** reads source programs (e.g., flat text files) and captures the corresponding abstract syntax in a collection of data structures (e.g., trees, graphs, arrays, ...)
- A **middle end** analyzes and manipulates the abstract syntax data structures of a program
- A **back end** generates output(e.g., a flat, binary executable file) from the abstract syntax data structures of a program
- Substantial parts of these components can be shared by multiple tools
 - Example: the ghc (compiler) and ghci (interpreter) for Haskell use the same front and middle end components
 - Example: the g++ compiler for C++ and gcc compiler for C use the same middle and back end components

Multiple languages and targets

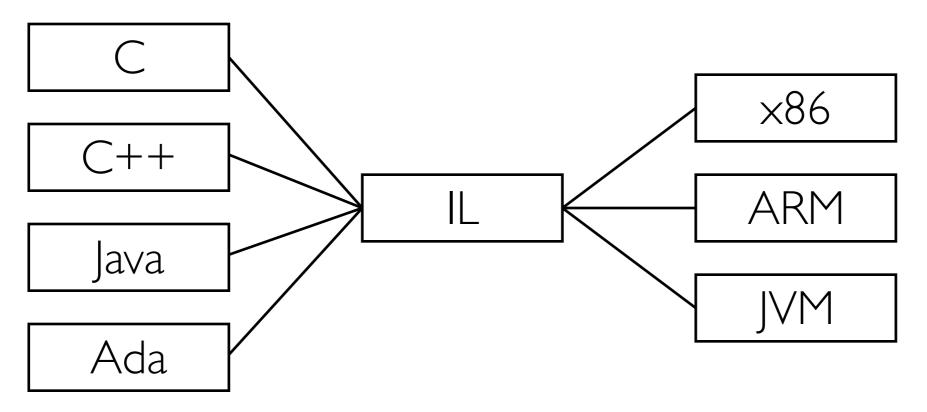
• Suppose that we want to write compilers for n different languages, with m different target platforms.



• That's n x m different compilers!

An intermediate language

• Alternatively: design a general purpose, shared "intermediate language":



- Now we only have n front ends and m back ends to write!
- The biggest challenge is to find an intermediate language that is general enough to accommodate a wide range of languages and machine types

Summary

- Basic principles programs as data
- Interpreters and compilers

correctness means preserving semantics

- The compiler pipeline / "phase structure" source input, lexical analysis, parsing, static analysis, code generation, optimization
- Modularity

Techniques for simplifying compiler construction tasks