

# Push Down Automata

Sipser pages 109 - 114

# Push Down Automata

Push Down Automata (PDAs) are  $\varepsilon$ -NFAs with stack memory.

Transitions are labeled by an input symbol together with a pair of the form  $X/\alpha$

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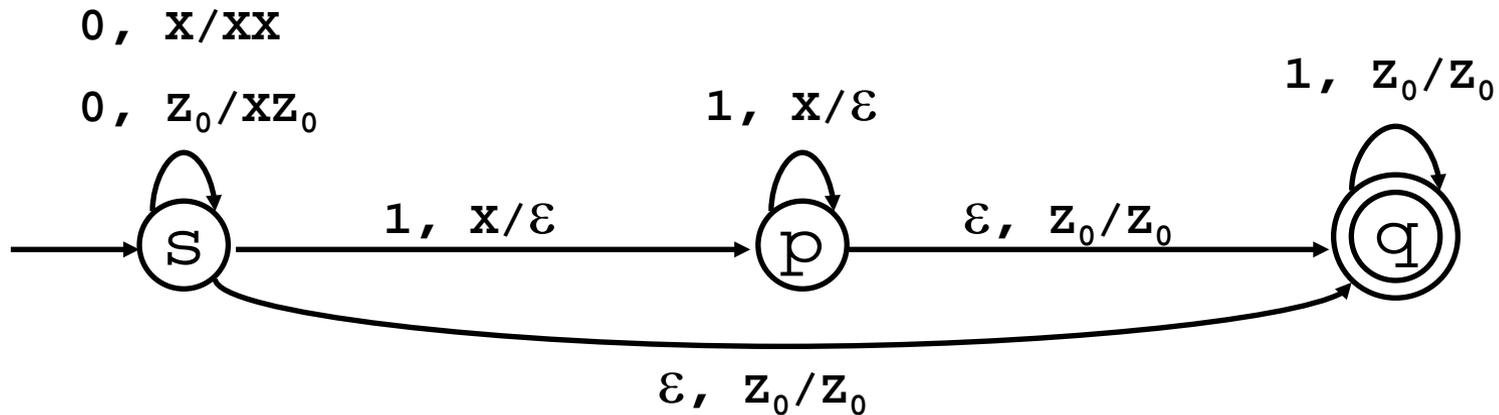
The transition is possible only if the top of the stack contains the symbol  $X$

After the transition, the stack is changed by replacing the top symbol  $X$  with the string of symbols  $\alpha$ . (Pop  $X$ , then push symbols of  $\alpha$ .)

# Example

PDAs can accept languages that are not regular. The following one accepts:

$$L = \{0^i 1^j \mid 0 \leq i \leq j\}$$



# Definition

A PDA is a 6-tuple  $P = (Q, \Sigma, \Gamma, \delta, q_0, F)$  where  $Q, \Sigma, q_0, F$  are as in NFAs, and

- $\Gamma$  is the *stack alphabet*. It is assumed that initially the stack is empty.
- $\delta: Q \times \Sigma_\varepsilon \times \Gamma_\varepsilon \longrightarrow P(Q \times \Gamma_\varepsilon^*)$  is the *transition function*: given a state, an input symbol (or  $\varepsilon$ ), and a stack symbol,  $\Gamma_\varepsilon$ , it gives us a finite number of pairs  $(q, \alpha)$ , where  $q$  is the next state and  $\alpha$  is the string of stack symbols that will replace  $X$  on top of the stack.
- Recall  $\Sigma_\varepsilon = (\Sigma \cup \{\varepsilon\})$        $\Gamma_\varepsilon = (\Gamma \cup \{\varepsilon\})$

In our example, the transition from  $s$  to  $s$  labeled  $(0, z_0/xz_0)$  corresponds to the fact  $(s, xz_0) \in \delta(s, 0, z_0)$ . A complete description of the transition function in this example is given by

$$\delta(s, 0, z_0) = \{(s, xz_0)\}$$

$$\delta(s, 0, x) = \{(s, xx)\}$$

$$\delta(s, \varepsilon, z_0) = \{(q, z_0)\}$$

$$\delta(s, 1, x) = \{(p, \varepsilon)\}$$

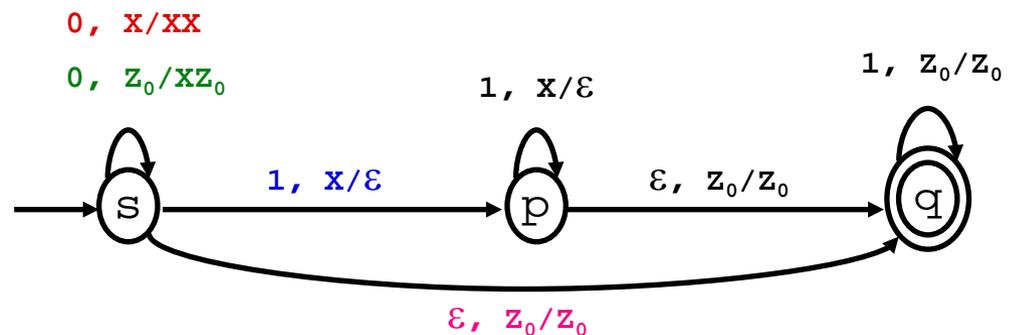
$$\delta(p, 1, x) = \{(p, \varepsilon)\}$$

$$\delta(p, \varepsilon, z_0) = \{(q, z_0)\}$$

$$\delta(q, 1, z_0) = \{(q, z_0)\}$$

and

$$\delta(q, a, Y) = \emptyset \quad \text{for all other possibilities.}$$



# Sipser style acceptance

- Suppose a string  $w$  can be written:  $w_1 w_2 \dots w_m$ 
    - $w_i \in \Sigma_\epsilon$  Some of the  $w_i$  are allowed to be  $\epsilon$
    - I.e. One may write "abc" as  $a \epsilon b c \epsilon$
  - If there exist two sequences
    - $s_0 r_1 \dots r_m \in Q$
    - $s_0 s_1 \dots s_m \in \Gamma^*$  (The  $s_i$  represent the stack contents at step  $i$ )
1.  $r_0 = q_0$  and  $s_0 = \epsilon$
  2.  $(r_{i+1}, b) \in \delta(r_i, w_{i+1}, a)$   
 $s_i = at$     $s_{i+1} = bt$
  3.  $R_m \in F$

# Instantaneous Descriptions and Moves of PDAs

IDs (also called *configurations*) describe the execution of a PDA at each instant. An ID is a triple  $(q, w, \alpha)$ , with this intended meaning:

- $q$  is the current state
- $w$  is the remaining part of the input
- $\alpha$  is the current content of the stack, with top of the stack on the left.

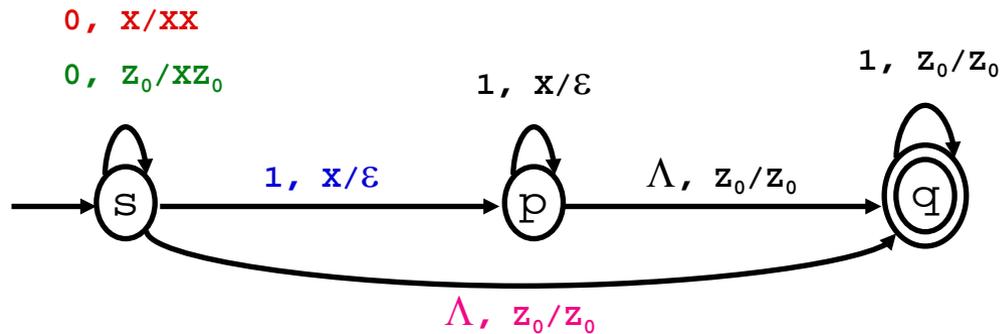
The relation  $\mid-$  describes possible moves from one ID to another during execution of a PDA. If  $\delta(q, a, X)$  contains  $(p, \alpha)$ , then

$$(q, a w, X \beta) \mid- (p, w, \alpha \beta)$$

is true for every  $w$  and  $\beta$ .

The relation  $\mid-^*$  is the reflexive-transitive closure of  $\mid-$

We have  $(q, w, a) \mid-^* (q', w', a')$  when  $(q, w, a)$  leads through a sequence (possibly empty) of moves to  $(q', w', a')$



$$(s, 011, z) \vdash (s, 11, xz) \vdash (p, 1, z) \vdash (q, 1, z) \vdash (q, "", z)$$

$$(s, 011, z) \vdash (q, 011, z)$$

# Properties of $\vdash^*$

## Property 1.

If  $(q, x, \alpha) \vdash^* (p, y, \beta)$   
Then  $(q, xw, \alpha\gamma) \vdash^* (p, yw, \beta\gamma)$

If you only need some prefix of the input ( $x$ ) and stack ( $\alpha$ ) to make a series of transitions, you can make the same transitions for any longer input and stack.

## Property 2.

If  $(q, xw, \alpha) \vdash^* (p, yw, \beta)$   
Then  $(q, x, \alpha) \vdash^* (p, y, \beta)$

It is ok to remove unused input, since a PDA cannot add input back on once consumed.

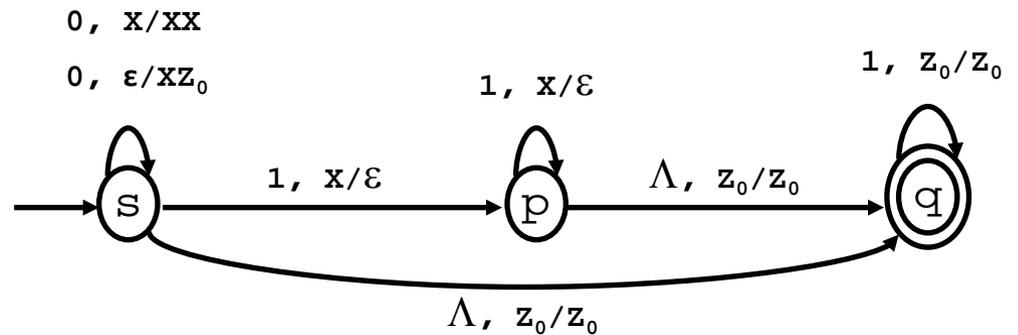
## Another notion of acceptance

A PDA as above *accepts* the string  $w$  iff  $(q_0, w, \varepsilon) \vdash^* (p, \varepsilon, \alpha)$  is true for some final state  $p$  and some  $\alpha$ . (We don't care what's on the stack at the end of input.)

The *language*  $L(P)$  of the PDA  $P$  is the set of all strings accepted by  $P$ .

Here is the chain of IDs showing that the string 001111 is accepted by our example PDA:

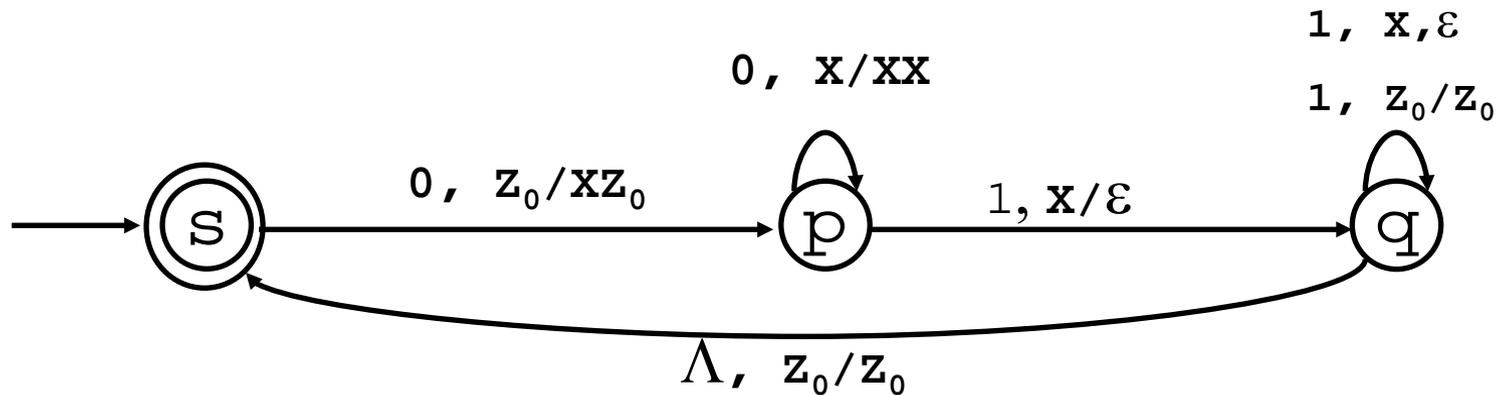
- (s,001111, $\epsilon$ )
- | - (s,01111,XZ<sub>0</sub>)
- | - (s, 1111,XXZ<sub>0</sub>)
- | - (p,111,XZ<sub>0</sub>)
- | - (p,11,Z<sub>0</sub>)
- | - (q,11,Z<sub>0</sub>)
- | - (q,1,Z<sub>0</sub>)
- | - (q, $\epsilon$ ,Z<sub>0</sub>)



The language of the following PDA is

$$\{0^i 1^j \mid 0 < i \leq j\}^*.$$

How can we prove this?

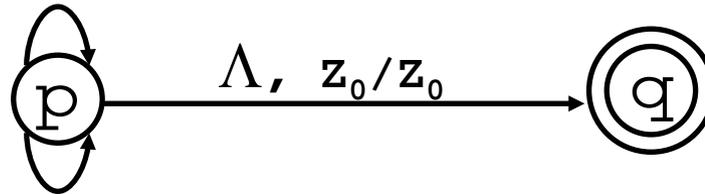


# Example

A PDA for the language of balanced parentheses:

$(, z_0/xz_0$

$(, x/xx$



$), x/\epsilon$

# Acceptance by Empty Stack

Define  $N(P)$  to be the set of all strings  $w$  such that

$$(q_0, w, \varepsilon) \vdash^* (q, \varepsilon, \varepsilon)$$

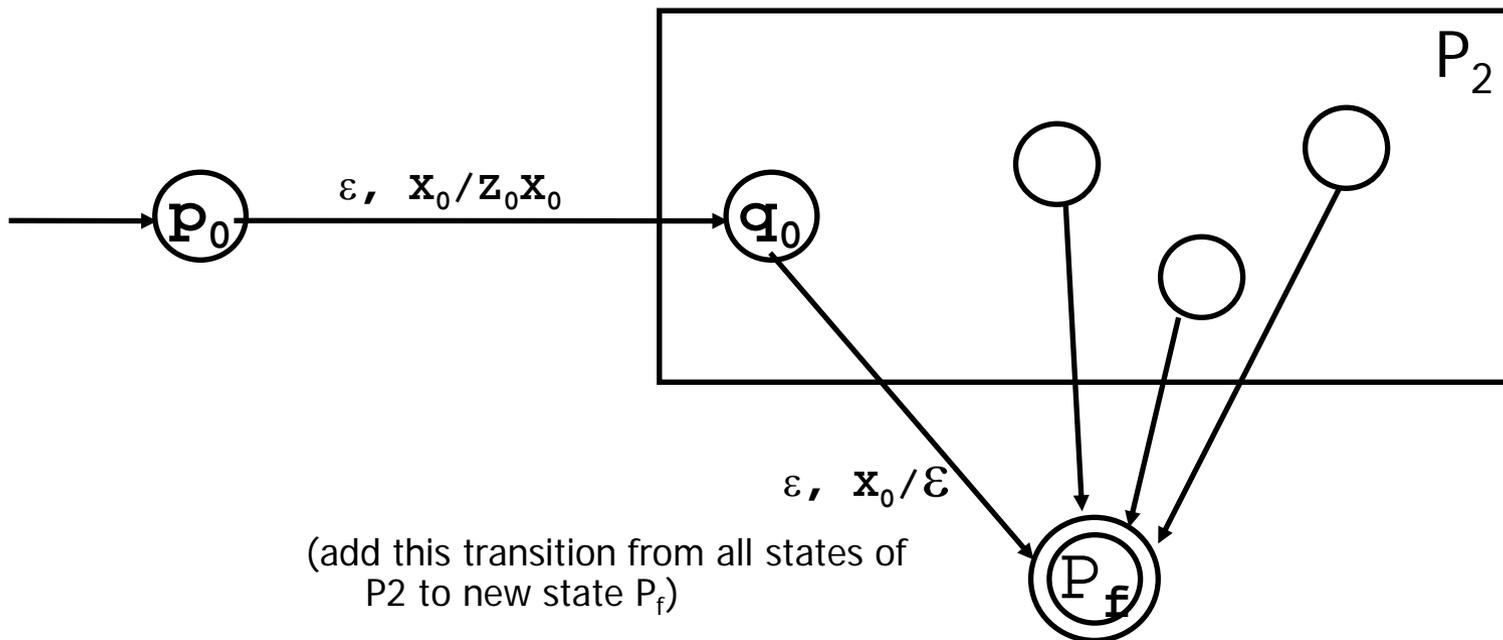
for some state  $q$ . These are the strings  $P$  *accepts by empty stack*. Note that the set of final states plays no role in this definition.

**Theorem.** A language is  $L(P_1)$  for some PDA  $P_1$  if and only if it is  $N(P_2)$  for some PDA  $P_2$ .

# Proof 1

1. *From empty stack to final state.*

Given  $P_2$  that accepts by empty stack, get  $P_1$  by adding a new start state and a new final state as in the picture below. We also add a new stack symbol  $X_0$  and make it the start symbol for  $P_1$ 's stack.



## Proof 2

2. *From final state to empty stack.*

Given  $P_1$ , we get  $P_2$  again by adding a new start state, final state and start stack symbol. New transitions are seen in the picture.

