

# CS 457/557: Functional Languages

Lists and Algebraic Datatypes

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# Why Lists?

- ◆ Lists are a heavily used data structure in many functional programs
- ◆ Special syntax is provided to make programming with lists more convenient
- ◆ Lists are a special case / an example of:
  - An algebraic datatype (coming soon)
  - A parameterized datatype (coming soon)
  - A monad (coming, but a little later)

# Naming Convention:

- ◆ We often use a simple naming convention:
- ◆ If a typical value in a list is called **x**, then a typical list of such values might be called **xs** (i.e., the plural of **x**)
- ◆ ... and a list of lists of values called **x** might be called **xss**
- ◆ A simple convention, minimal clutter, and a useful mnemonic too!

# Prelude Functions:

`(++)` :: `[a] -> [a] -> [a]`

`reverse` :: `[a] -> [a]`

`take` :: `Int -> [a] -> [a]`

`drop` :: `Int -> [a] -> [a]`

`takeWhile` :: `(a -> Bool) -> [a] -> [a]`

`dropWhile` :: `(a -> Bool) -> [a] -> [a]`

`replicate` :: `Int -> a -> [a]`

`iterate` :: `(a -> a) -> a -> [a]`

`repeat` :: `a -> [a]`

...

# Constructor Functions:

- ◆ What if you can't find a function in the prelude that will do what you want to do?
- ◆ Every list takes the form:
  - `[]`, an empty list
  - `(x:xs)`, a non-empty list whose first element is `x`, and whose tail is `xs`
- ◆ Equivalently: the list type has two constructor functions:
  - The constant `[] :: [a]`
  - The operator `(:) :: a -> [a] -> [a]`
- ◆ Using "pattern matching", we can also take lists apart ...

# Functions on Lists:

`null` :: `[a] -> Bool`

`null []` = `True`

`null (x:xs)` = `False`

`head` :: `[a] -> a`

`head (x:xs)` = `x`

`tail` :: `[a] -> [a]`

`tail (x:xs)` = `xs`

# Recursive Functions:

last :: [a] -> a

last (x:[]) = x

last (x:y:xs) = last (y:xs)

init :: [a] -> [a]

init (x:[]) = []

init (x:y:xs) = x : init (y:xs)

map :: (a -> b) -> [a] -> [b]

map f [] = []

map f (x:xs) = f x : map f xs

# ... continued:

`inits` :: `[a] -> [[a]]`

`inits []` =  `[[] ]`

`inits (x:xs)` =  `[] : map (x:) (inits xs)`

in List  
library

`subsets` :: `[a] -> [[a]]`

`subsets []` =  `[[] ]`

`subsets (x:xs)` =  `subsets xs`

`++ map (x:) (subsets xs)`

user  
defined



# Why Does This Work?

- ◆ What does it mean to say that  $[]$  and  $(:)$  are the constructor functions for lists?
- ◆ No Junk: every list value is equal either to  $[]$ , or else to a list of the form  $(x:xs)$  (ignoring non-termination, for now)
- ◆ No Confusion: if  $x \neq y$ , or  $xs \neq ys$ , then  $x:xs \neq y:ys$
- ◆ A pair of equations  $f [] = \dots$   
 $f (x:xs) = \dots$   
defines a unique function on list values

# Algebraic Datatypes:

# Algebraic Datatypes:

- ◆ Booleans and Lists are both examples of “algebraic datatypes”:
- ◆ No Junk:
  - Every Boolean value can be constructed using either **False** or **True**
  - Every list can be described using (a combination of) **[]** and **(:)**
- ◆ No Confusion:
  - **True**  $\neq$  **False**
  - **[]**  $\neq$  **(x:xs)** and if **(x:xs)=(y:ys)**, then **x=y** and **xs=ys**

# In Haskell Notation:

**data** Bool = False | True

introduces:

- A type, **Bool**
- A constructor function, **False :: Bool**
- A constructor function, **True :: Bool**

**data** List a = Nil | Cons a (List a)

introduces

- A type, **List t**, for each type **t**
- A constructor function, **Nil :: List a**
- A constructor function, **Cons :: a -> List a -> List a**

# More Enumerations:

```
data Rainbow = Red | Orange | Yellow  
              | Green | Blue | Indigo | Violet
```

introduces:

- A type, **Rainbow**
- A constructor function, **Red :: Rainbow**
- ...
- A constructor function, **Violet :: Rainbow**

No Junk: Every value of type **Rainbow** is one of the above seven colors

No Confusion: The seven colors above are distinct values of type **Rainbow**

# More Recursive Types:

```
data Shape = Circle Radius
           | Polygon [Point]
           | Transform Transform Shape
```

```
data Transform
     = Translate Point
     | Rotate Angle
     | Compose Transform Transform
```

introduces:

- Two types, Shape and Transform
- Circle :: Radius -> Shape
- Polygon :: [Point] -> Shape
- Transform :: Transform -> Shape -> Shape
- ...

# More Parameterized Types:

**data** Maybe a = Nothing | Just a

introduces:

- A type, `Maybe t`, for each type `t`
- A constructor function, `Nothing :: Maybe a`
- A constructor function, `Just :: a -> Maybe a`

**data** Pair a b = Pair a b

introduces

- A type, `Pair t s`, for any types `t` and `s`
- A constructor function `Pair :: a -> b -> Pair a b`

# General Form:

Algebraic datatypes are introduced by top-level definitions of the form:

$$\mathbf{data} \ T \ a_1 \ \dots \ a_n \ = \ c_1 \ | \ \dots \ | \ c_m$$

where:

- $T$  is the type name (must start with a capital letter)
- $a_1, \dots, a_n$  are (distinct) (type) arguments/parameters/variables (must start with lower case letter) ( $n \geq 0$ )
- Each of the  $c_i$  is an expression  $F_i \ t_1 \ \dots \ t_k$  where:
  - ◆  $t_1, \dots, t_k$  are type expressions that (optionally) mention the arguments  $a_1, \dots, a_n$
  - ◆  $F_i$  is a new constructor function  $F_i :: t_1 \rightarrow \dots \rightarrow t_p \rightarrow T \ a_1 \ \dots \ a_n$
  - ◆ The arity of  $F_i$ ,  $k \geq 0$

Quite a lot for a single definition!



# No Junk and Confusion:

- ◆ The key properties that are shared by all algebraic datatypes:
  - No Junk: Every value of type  $T\ a_1 \dots a_n$  can be written in the form  $F_i\ e_1 \dots e_k$  for some choice of constructor  $F_i$  and (appropriately typed) arguments  $e_1, \dots, e_k$
  - No Confusion: Distinct constructors or distinct arguments produce distinct results
- ◆ These are fundamental assumptions that we make when we write and/or reason about functional programs.

# Pattern Matching:

- ◆ In addition to introducing a new type and a collection of constructor functions, each data definition also adds the ability to pattern match over values of the new type

- ◆ For example, given

**data** Maybe a = Nothing | Just a

then we can define functions like the following:

```
orElse           :: Maybe a -> a -> a
Just x `orElse` y = x
Nothing `orElse` y = y
```

# Pattern Matching & Substitution:

- ◆ The result of a pattern match is either:
  - A failure
  - A success, accompanied by a substitution that provides a value for each of the values in the pattern
  
- ◆ Examples:
  - `[]` does not match the pattern `(x:xs)`
  - `[1,2,3]` matches the pattern `(x:xs)` with `x=1` and `xs=[2,3]`

# Patterns:

More formally, a pattern is either:

- ◆ An identifier

- Matches any value, binds result to the identifier

- ◆ An underscore (a “wildcard”)

- Matches any value, discards the result

- ◆ A constructed pattern of the form  $C\ p_1 \dots p_n$ , where  $C$  is a constructor of arity  $n$  and  $p_1, \dots, p_n$  are patterns of the appropriate type

- Matches any value of the form  $C\ e_1 \dots e_n$ , provided that each of the  $e_i$  values matches the corresponding  $p_i$  pattern.

# Other Pattern Forms:

For completeness:

- ◆ “Sugared” constructor patterns:

- Tuple patterns  $(p_1, p_2)$
- List patterns  $[p_1, p_2, p_3]$
- Strings, for example: `"hi" = ('h' : 'i' : [])`

- ◆ Labeled patterns

- ◆ Numeric Literals:

- Can be considered as constructor patterns, but the implementation uses equality (`==`) to test for matches

- ◆ “as” patterns, `id@pat`

- ◆ Lazy patterns, `~pat`

- ◆  $(n+k)$  patterns

# Function Definitions:

- ◆ In general, a function definition is written as a list of adjacent equations of the form:

$$f\ p_1 \ \dots \ p_n = rhs$$

where:

- $f$  is the name of the function that is being defined
  - $p_1, \dots, p_n$  are patterns, and  $rhs$  is an expression
- ◆ All equations in the definition of  $f$  must have the same number of arguments (the arity of  $f$ )

## ... continued:

- ◆ Given a function definition with  $m$  equations:

$$f\ p_{1,1} \ \dots \ p_{n,1} = rhs_1$$

$$f\ p_{1,2} \ \dots \ p_{n,2} = rhs_2$$

...

$$f\ p_{1,m} \ \dots \ p_{n,m} = rhs_m$$

- ◆ The value of  $f\ e_1 \ \dots \ e_n$  is  $S\ rhs_i$ , where  $i$  is the smallest integer such that the expressions  $e_j$  match the patterns  $p_{j,i}$  and  $S$  is the corresponding substitution.

# Guards, Guards!

- ◆ A function definition may also include guards (Boolean expressions):

$$f \ p_1 \ \dots \ p_n \ \mid \begin{array}{l} g_1 = rhs_1 \\ g_2 = rhs_2 \\ g_3 = rhs_3 \end{array}$$

- ◆ An expression  $f \ e_1 \ \dots \ e_n$  will only match an equation like this if all of the  $e_i$  match the corresponding  $p_i$  and, in addition, at least one of the guards  $g_j$  is **True**
- ◆ In that case, the value is  $S \ rhs_j$ , where  $j$  is the smallest index such that  $g_j$  is **True**
- ◆ (The prelude defines **otherwise = True :: Bool** for use in guards.)



# Where Clauses:

- ◆ A function definition may also a where clause:

$$f\ p_1 \dots p_n = \text{rhs}$$

**where** decls

- ◆ Behaves like a let expression:

$$f\ p_1 \dots p_n = \text{let decls in rhs}$$

- ◆ Except that where clauses can scope across guards:

$$f\ p_1 \dots p_n \quad \left| \begin{array}{l} g_1 = \text{rhs}_1 \\ g_2 = \text{rhs}_2 \\ g_3 = \text{rhs}_3 \end{array} \right.$$

**where** decls

- ◆ Variables bound here in decls can be used in any of the  $g_i$  or  $\text{rhs}_i$

# Example: filter

filter :: (a -> Bool) -> [a] -> [a]

filter p [] = []

filter p (x:xs)

| p x = x : rest

| otherwise = rest

where rest = filter p xs

# Example: Binary Search Trees

**data** Tree = Leaf | Fork Tree Int Tree

insert :: Int -> Tree -> Tree

insert n Leaf = Fork Leaf n Leaf

insert n (Fork l m r)

| n <= m = Fork (insert n l) m r

| otherwise = Fork l m (insert n r)

lookup :: Int -> Tree -> Bool

lookup n Leaf = False

lookup n (Fork l m r)

| n < m = lookup n l

| n > m = lookup n r

| otherwise = True

# Case Expressions:

- ◆ Case expressions can be used for pattern matching:

```
case e of  
  p1 -> e1  
  p2 -> e2  
  ...  
  pn -> en
```

- ◆ Equivalent to:

```
let f p1 = e1  
    f p2 = e2  
    ...  
    f pn = en  
in f e
```

## ... continued:

- ◆ Guards and where clauses can also be used in case expressions:

filter p xs = **case** xs **of**

[] -> []

(x:xs) | p x -> x:ys

| otherwise -> ys

**where** ys = filter p xs

# If Expressions:

- ◆ If expressions can be used to test Boolean values:

**if**  $e$  **then**  $e_1$  **else**  $e_2$

- ◆ Equivalent to:

**case**  $e$  **of**

True  $\rightarrow e_1$

False  $\rightarrow e_2$

# Summary:

- ◆ Algebraic datatypes can support:
  - Enumeration types
  - Parameterized types
  - Recursive types
  - Products (composite/aggregate values); and
  - Sums (alternatives)
- ◆ Type constructors, Constructor functions, Pattern matching
- ◆ Unifying features: No junk, no confusion!

# Example: transpose

`transpose` :: `[[a]] -> [[a]]`

`transpose []` = `[]`

`transpose ([ ] : xss)` = `transpose xss`

`transpose ((x:xs) : xss)`  
= `(x : [h | (h:t) <- xss])`  
: `transpose (xs : [ t | (h:t) <- xss])`

Example:

`transpose [[1,2,3],[4,5,6]]` = `[[1,4],[2,5],[3,6]]`



# Example: say

```
Say> putStr (say "hello")
```

```
H   H   EEEEE   L   L   L   L   L   L   OOO
H   H   E           L   L   L   L   L   L   O   O
HHHHH   EEEEE   L   L   L   L   L   L   O   O
H   H   E           L   L   L   L   L   L   O   O
H   H   EEEEE   LLLLL   LLLLL   LLLLL   OOO
```

```
Say>
```

# ... continued:

```
say = ('\n':)
      . unlines
      . map (foldr1 (\xs ys->xs++"  "++ys))
      . transpose
      . map picChar
```

```
picChar 'A' = ["  A  ",
               " A A ",
               "AAAAA",
               "A  A",
               "A  A"]
```

etc...

# Composition and Reuse:

```
Say> (putStr . concat . map say . lines . say) "A"
```

```
  A
 A A
AAAAA
 A  A
 A  A
```

```
  A          A
 A A        A A
AAAAA      AAAAA
 A  A      A  A
 A  A      A  A
```

```
  A      A      A      A      A
 A A    A A    A A    A A    A A
AAAAA  AAAAA  AAAAA  AAAAA  AAAAA
 A  A  A  A  A  A  A  A  A  A
 A  A  A  A  A  A  A  A  A  A
```

```
  A          A
 A A        A A
AAAAA      AAAAA
 A  A      A  A
 A  A      A  A
```

```
  A          A
 A A        A A
AAAAA      AAAAA
 A  A      A  A
 A  A      A  A
```

```
Say>
```