

# CS 457/557: Functional Languages

Lecture 1: Introduction

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# What is Functional Programming?

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## What is Functional Programming?

- ◆ An alternative to dysfunctional programming?
- ◆ Programming with functions?
- ◆ Programming without side-effects?

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## What is Functional Programming?

- ◆ Functional programming is a style of programming that emphasizes the evaluation of expressions, rather than execution of commands
- ◆ Expressions are formed by using functions to combine basic values
- ◆ A functional language is a language that supports and encourages programming in a functional style

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## Functions:

In a pure functional language:

- ◆ The result of a function depends *only* on the values of its inputs:
  - Like functions in mathematics
  - No global variables / side-effects
- ◆ Functions are first-class values:
  - They can be stored in data structures
  - They can be passed as arguments or returned as results of other functions

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## Functional Languages:

- ◆ Pure, lazy evaluation, strong typing:
  - Haskell, Miranda, Orwell, ...
- ◆ Impure, strict evaluation, strong typing:
  - Standard ML (SML), Objective CAML (OCaml), F#, ...
- ◆ Impure, strict evaluation, dynamic typing:
  - Lisp, Scheme, Erlang, ...
- ◆ Pure, strict evaluation, strong typing:
  - Relatively unexplored (Timber, Habit, ...)

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## Good News, Bad News:

- ◆ Good News: You can write Functional Programs in almost any language
- ◆ Bad News: You can write "C code" in a functional language ...

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## Example:

- ◆ Write a program to add up the numbers from 1 to 10

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## In C, C++, Java, C#, ... :

```
int tot = 0;
for (int i=1; i<10; i++)
    tot = tot + i;
```

initialization (points to `int tot = 0;`)  
initialization (points to `int i=1;`)  
iteration (points to `i<10;`)  
update (points to `i++`)  
update (points to `tot = tot + i;`)

implicit result returned in the variable `tot`

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## In ML:

```
let fun sum i tot
    = if i>10
      then tot
      else sum (i+1) (tot+i)
in sum 1 0
end
```

accumulating parameter (points to `tot`)  
initialization (points to `sum 1 0`)  
(tail) recursion (points to `sum (i+1) (tot+i)`)

result is the value of this expression

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## In Haskell:

```
sum [1..10]
```

combining function (points to `sum`)  
the list of numbers to add (points to `[1..10]`)

result is the value of this expression

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## Reflections:

- ◆ I've tried to use "idiomatic" solutions in each language
- ◆ This example makes Haskell look good
- ◆ But it wouldn't be too difficult to adapt any one solution to any of the other languages
- ◆ An imperative version of the Haskell solution would require linked list code that is built-in to Haskell
- ◆ An objective comparison between languages should account for library code as well as the main program

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## Reflections (continued):

- ◆ What makes a good program?
  - correctness
  - clarity
  - conciseness (none of my solutions are optimally concise!)
  - Performance (not really an issue here)

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## Raising the Level of Abstraction:

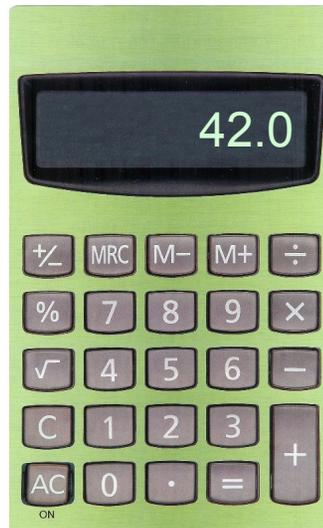
"If you want to reduce [design time], you have to stop thinking about something you used to have to think about." (Joe Stoy, quoted on the Haskell mailing list)

- ◆ Example: memory allocation
- ◆ Example: data representation
- ◆ Example: order of evaluation
- ◆ Example: (restrictive) type annotations

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## Computing by Calculating:

- ◆ Calculators are a great tool for manipulating numbers
- ◆ Buttons for:
  - entering digits
  - combining values
  - using stored values
- ◆ Not so good for manipulating large quantities of data
- ◆ Not good for manipulating other types of data



## Computing by Calculating:

- ◆ What if we could "calculate" with other types of value?
- ◆ Buttons for:
  - entering pixels
  - combining pictures
  - using stored pictures
- ◆ I wouldn't want to calculate a whole picture this way!
- ◆ I probably want to deal with *several different types of data at the same time*





## The read-eval-print loop:

1. Enter expression at the prompt
2. Hit return
3. *The expression is read, checked, and evaluated*
4. *Result is displayed*
5. Repeat at Step 1

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## Simple Expressions:

Expressions can be constructed using:

◆ The usual arithmetic operations:

$1 + 2 * 3$

◆ Comparisons:

$1 == 2$

$'a' < 'z'$

◆ Boolean operators:

$\text{True} \ \&\& \ \text{False}$

$\text{not False}$

◆ Built-in primitives:

$\text{odd } 2$

$\text{sin } 0.5$

◆ Parentheses:

$\text{odd } (2 + 1)$

$(1 + 2) * 3$

◆ Etc ...

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## Expressions Have Types:

- ◆ The *type* of an expression tells you what kind of value you might expect to see if you evaluate that expression
- ◆ In Haskell, read “::” as “has type”
- ◆ Examples:
  - $1 :: \text{Int}$ ,  $'a' :: \text{Char}$ ,  $\text{True} :: \text{Bool}$ ,  $1.2 :: \text{Float}$ , ...
- ◆ You can even ask Hugs for the type of an expression: `:t expr`

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## Type Errors:

```
Hugs> 'a' && True
ERROR - Type error in application
*** Expression      : 'a' && True
*** Term           : 'a'
*** Type           : Char
*** Does not match : Bool
```

```
Hugs> odd 1 + 2
ERROR - Cannot infer instance
*** Instance       : Num Bool
*** Expression    : odd 1 + 2
```

```
Hugs>
```

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## Pairs:

- ◆ A pair packages two values into one  
(1, 2)      ('a', 'z')      (True, False)
- ◆ Components can have different types  
(1, 'z')      ('a', False)      (True, 2)
- ◆ The type of a pair whose first component is of type **A** and second component is of type **B** is written **(A,B)**
- ◆ What are the types of the pairs above?

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## Operating on Pairs:

- ◆ There are built-in functions for extracting the first and second component of a pair:
  - `fst (True, 2) = True`
  - `snd (0, 7) = 7`
- ◆ Is the following property true?  
For any pair `p`, `(fst p, snd p) = p`

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## Lists:

- ◆ Lists can be used to store zero or more elements, in sequence, in a single value:  
[]    [1, 2, 3]    ['a', 'z']    [True, True, False]
- ◆ All of the elements in a list must have the same type
- ◆ The type of a list whose elements are of type **A** is written as **[A]**
- ◆ What are the types of the lists above?

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## Operating on Lists:

- ◆ There are built-in functions for extracting the head and the tail components of a list:
  - `head [1,2,3,4] = 1`
  - `tail [1,2,3,4] = [2,3,4]`
- ◆ Conversely, we can build a list from a given head and tail using the “cons” operator:
  - `1 : [2, 3, 4] = [1, 2, 3, 4]`
- ◆ Is the following property true?  
For any list `xs`, `head xs : tail xs = xs`

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## More Operations on Lists:

- ◆ Finding the length of a list:  
`length [1,2,3,4,5] = 5`
- ◆ Finding the sum of a list:  
`sum [1,2,3,4,5] = 15`
- ◆ Finding the product of a list:  
`product [1,2,3,4,5] = 120`
- ◆ Applying a function to the elements of a list:  
`map odd [1,2,3,4] = [True, False, True, False]`

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## Continued ...

- ◆ Selecting an element (by position):  
`[1,2,3,4,5] !! 3 = 4`
- ◆ Taking an initial prefix (by number):  
`take 3 [1,2,3,4,5] = [1,2,3]`
- ◆ Taking an initial prefix (by property):  
`takeWhile odd [1,2,3,4,5] = [1]`
- ◆ Checking for an empty list:  
`null [1,2,3,4,5] = False`

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## More ways to Construct Lists:

- ◆ Concatenation:  
`[1,2,3] ++ [4,5] = [1,2,3,4,5]`
- ◆ Arithmetic sequences:  
`[1..10] = [1, 2, 3, 4, 5, 6, 7, 8, 9, 10]`  
`[1,3..10] = [1, 3, 5, 7, 9]`
- ◆ Comprehensions:  
`[ 2 * x | x <- [1,2,3,4,5] ] = [2, 4, 6, 8, 10]`  
`[ y | y <- [1,2,3,4], odd y ] = [ 1, 3 ]`

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## Strings are Lists:

- ◆ A String is just a list of Characters  
`['w', 'o', 'w', '!'] = "wow!"`  
`['a'..'j'] = "abcdefghij"`  
`"hello, world" !! 7 = 'w'`  
`length "abcdef" = 6`  
`"hello, " ++ "world" = "hello, world"`  
`take 3 "functional" = "fun"`

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## Functions:

◆ The type of a function that maps values of type **A** to values of type **B** is written **A -> B**

### ◆ Examples:

- `odd :: Int -> Bool`
- `fst :: (a, b) -> a` (*a, b* are type variables)
- `length :: [a] -> Int`

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## Operations on Functions:

◆ Function Application. If `f :: A -> B` and `x :: A`, then `f x :: B`

◆ Notice that function application associates more tightly than any infix operator:

$$f x + y = (f x) + y$$

◆ In types, arrows associate to the right:

$$A -> B -> C = A -> (B -> C)$$

Example: `take :: Int -> [a] -> [a]`

$$\text{take } 2 \text{ [1,2,3,4]} = (\text{take } 2) \text{ [1,2,3,4]}$$

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## Sections:

◆ If  $\oplus$  is a binary op of type **A -> B -> C**, then we can use “sections”:

- $(\oplus) \quad \quad \quad :: A -> B -> C$
- `(expr  $\oplus$ ) :: B -> C` (assuming `expr :: A`)
- `( $\oplus$  expr) :: A -> C` (assuming `expr :: B`)

### ◆ Examples:

- `(1+)`, `(2*)`, `(1/)`, `(<10)`, ...

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## Higher-order Functions:

◆ `map :: (a -> b) -> [a] -> [b]`

- `map (1+) [1..5] = [2,3,4,5,6]`

◆ `takeWhile :: (a -> Bool) -> [a] -> [a]`

- `takeWhile (<5) [1..10] = [1,2,3,4]`

◆ `(.) :: (a -> b) -> (c -> a) -> c -> b`

- `(odd . (1+)) 2 = True`

“composition”

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## Definitions:

- ◆ So far, we've been focusing on expressions that we might want to evaluate.
- ◆ What if we wanted to:
  - Define a new constant (i.e., Give a name to the result of an expression)?
  - Define a new function?
- ◆ Definitions are placed in files with a .hs suffix that can be loaded into the interpreter

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## Simple Definitions:

Put the following text in a file "defs.hs":

```
greet name = "hello " ++ name
```

```
square x = x * x
```

```
fact n = product [1..n]
```

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## Loading Defined Values:

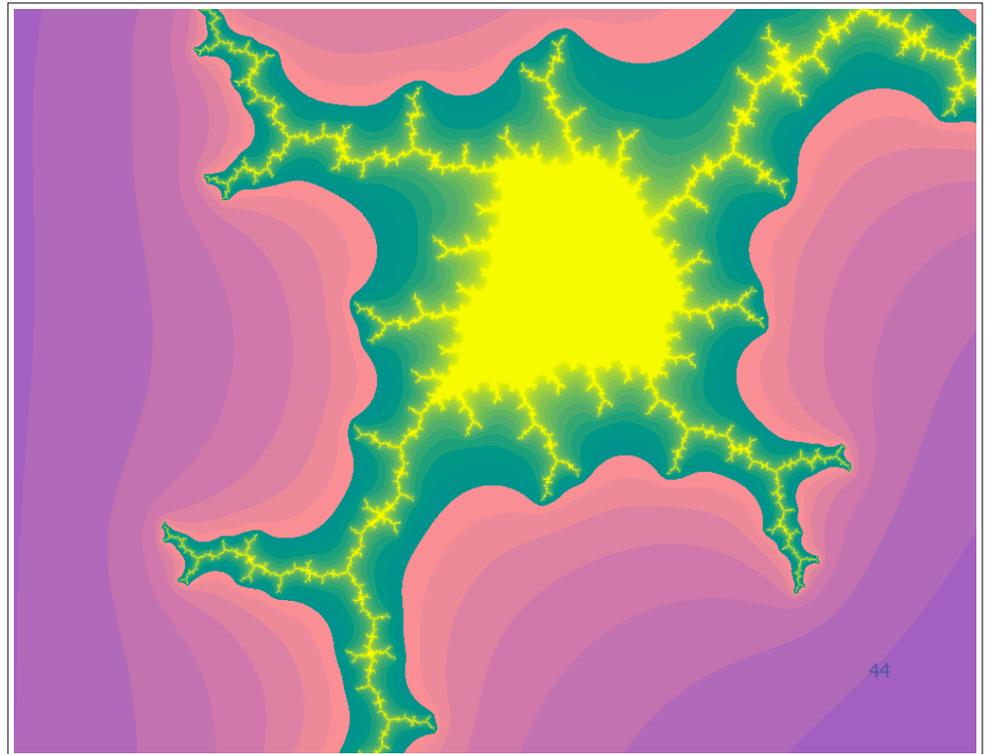
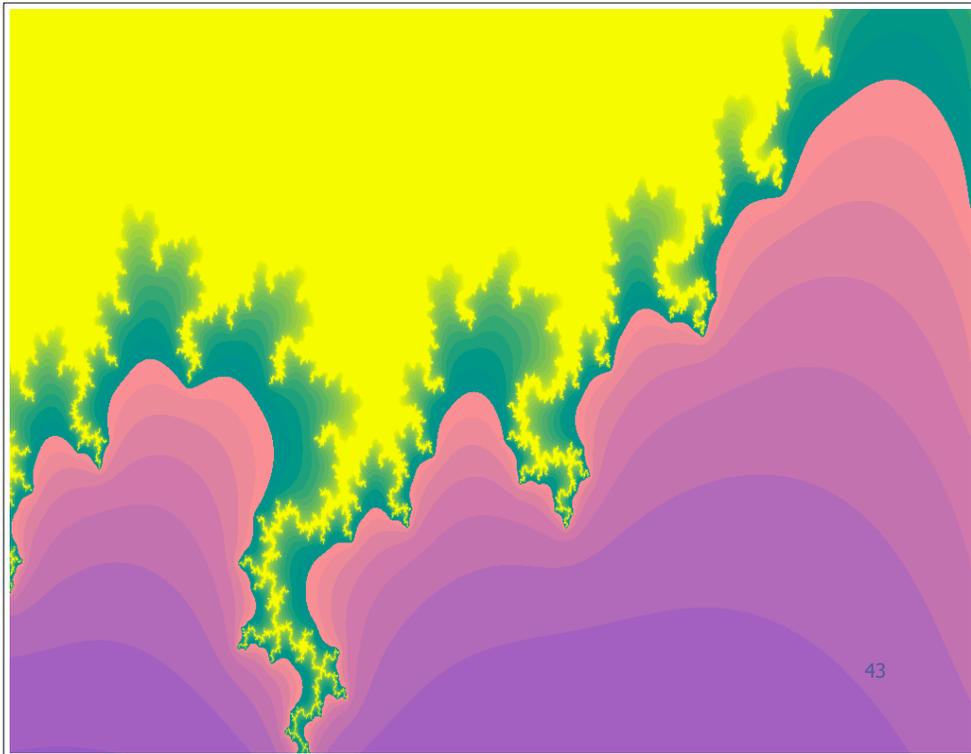
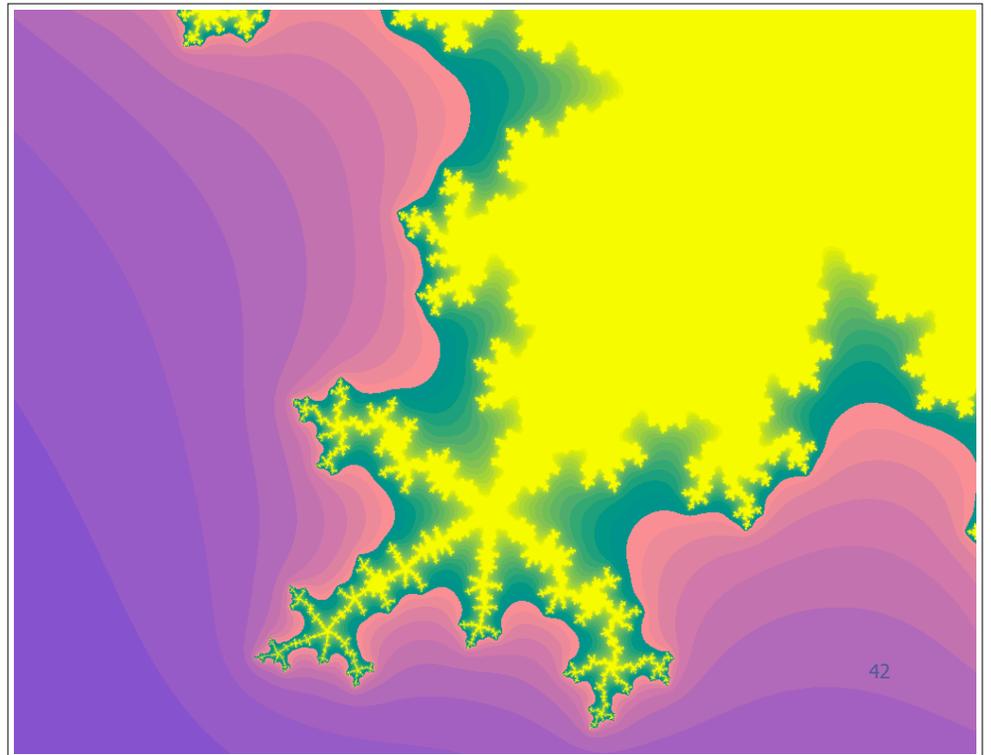
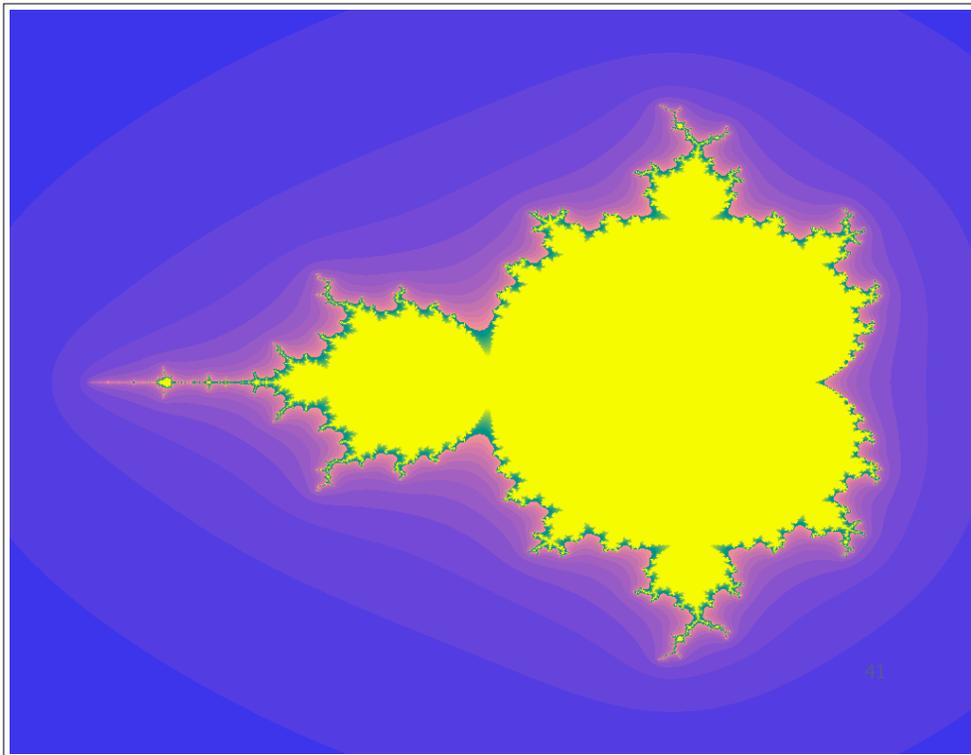
Pass the filename as a command line argument to Hugs, or use the :l command from inside Hugs:

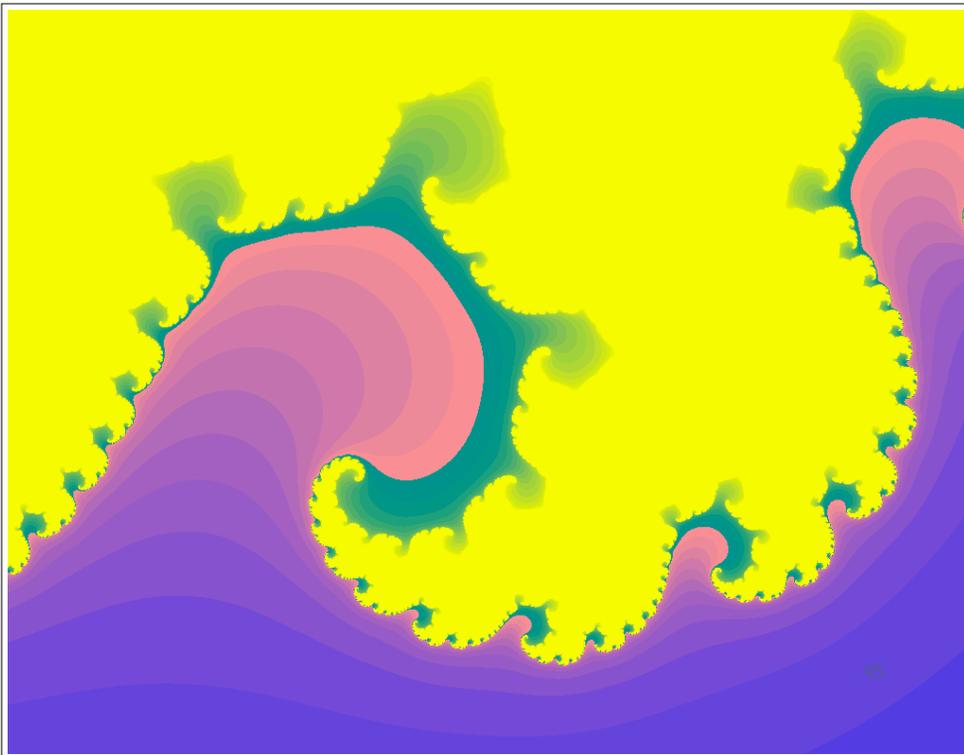
```
Main> :l defs
Main> greet "everybody"
"hello everybody"
Main> square 12
144
Main> fact 32
26313083693369353016721801216000000
Main>
```

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## Example: Calculating Fractals

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## Calculating Fractals:

- ◆ Based on my article "Composing Fractals" that was published as a "functional pearl" in the Journal of functional Programming
- ◆ Flexible programs for drawing Mandelbrot and Julia set fractals in different ways
- ◆ No claim to be the best/fastest fractal drawing program ever created!
- ◆ Illustrates key features of functional programming in an elegant and "calculational" style
- ◆ As it happens, no recursion!

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## Mandelbrot Sequences:

```
type Point = (Float, Float)
```

```
next :: Point -> Point -> Point
```

```
next (u,v) (x,y) = (x*x-y*y+u, 2*x*y+v)
```

The source of all that beauty & complexity!

```
mandelbrot :: Point -> [Point]
```

```
mandelbrot p = iterate (next p) (0,0)
```

Apply function repeatedly, producing as many elements as we like ...

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## Converge or Diverge?

```
Fractals> mandelbrot (0,0)
```

```
[(0.0,0.0), (0.0,0.0), (0.0,0.0), (0.0,0.0), (0.0,0.0), (0.0,0.0), (0.0,0.0), ^C{Interrupted}]
```

```
Fractals> mandelbrot (0.1,0)
```

```
[(0.0,0.0), (0.1,0.0), (0.11,0.0), (0.1121,0.0), (0.1125664,0.0), (0.1126712,0.0), (0.1126948,0.0) ^C{Interrupted}]
```

```
Fractals> mandelbrot (0.5,0)
```

```
[(0.0,0.0), (0.5,0.0), (0.75,0.0), (1.0625,0.0), (1.628906,0.0), (3.153336,0.0), (10.44353,0.0) ^C{Interrupted}]
```

```
Fractals> mandelbrot (1,0)
```

```
[(0.0,0.0), (1.0,0.0), (2.0,0.0), (5.0,0.0), (26.0,0.0), (677.0,0.0), (458330.0,0.0) ^C{Interrupted}]
```

```
Fractals>
```

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## The Mandelbrot Set:

- ◆ The Mandelbrot Set is the set of all points for which the corresponding Mandelbrot sequence converges
- ◆ How can we test for this?
- ◆ How can we visualize the results?

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## Testing for Membership:

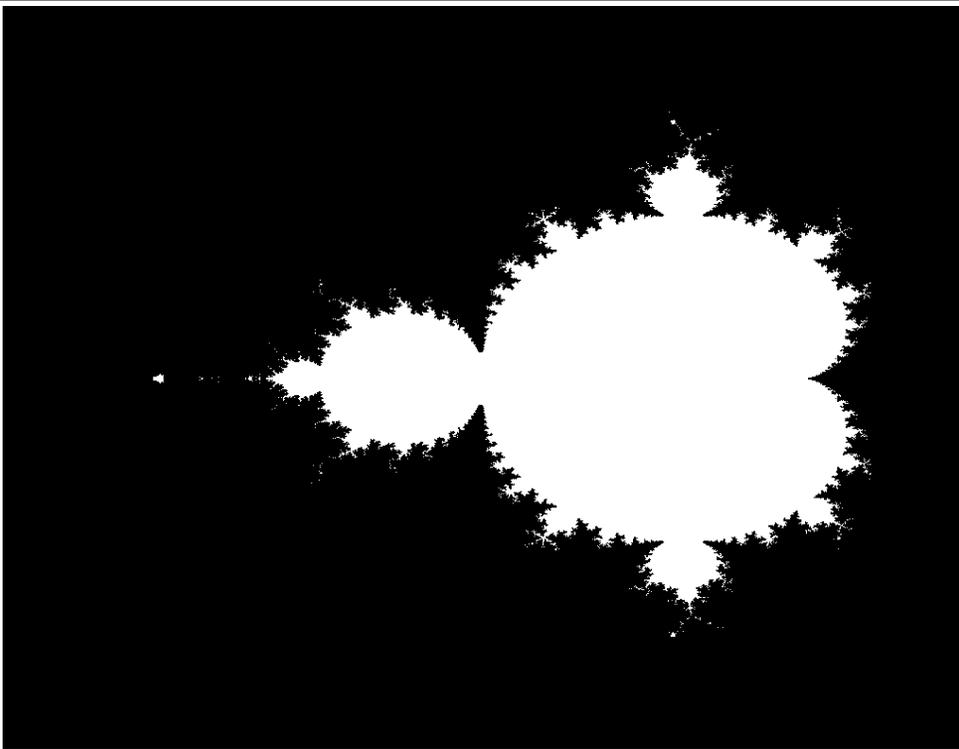
```
fairlyClose      :: Point -> Bool
fairlyClose (u,v) = (u*u + v*v) < 100
```

An almost arbitrary constant

```
inMandelbrotSet :: Point -> Bool
inMandelbrotSet p = all fairlyClose (mandelbrot p)
```

This could take a long time ...

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## Pragmatics:

- ◆ For points very close to the edge, it may take many steps to determine whether the sequence will converge or not.
- ◆ It is impossible to determine membership with complete accuracy because of rounding errors
- ◆ And besides, the resulting diagram is really dull!
- ◆ If life gives you lemons ... make lemonade!

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## Approximating Membership:

```
fracImage      :: [color] -> Point -> color
fracImage palette = (palette!!)
                  . length
                  . take n
                  . takeWhile fairlyClose
                  . mandelbrot
                  where n = length palette - 1
```

Only looks at initial prefix

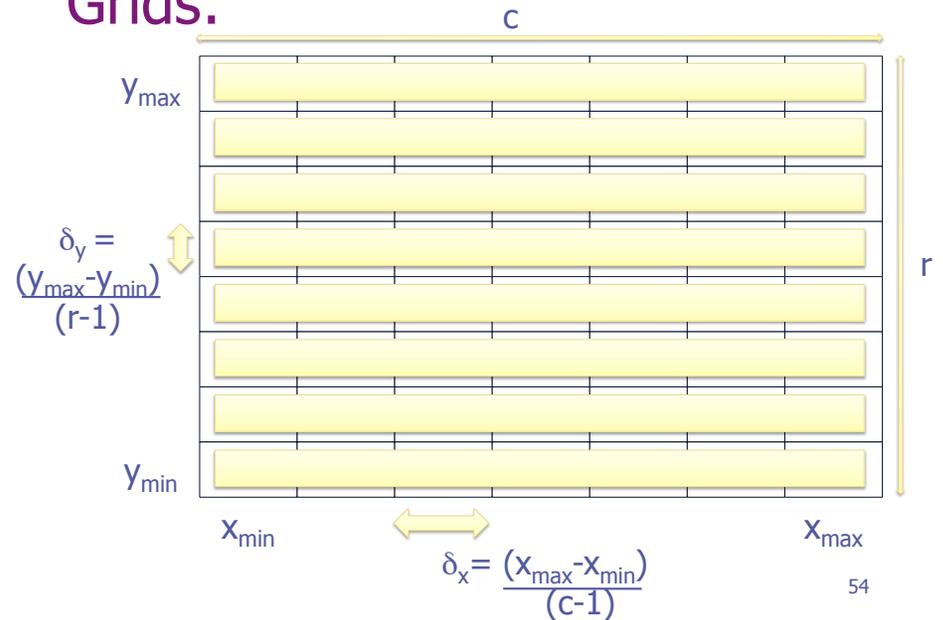
A pipeline of functions ...

Now we're using a palette of multiple colors instead of a monochrome membership!

But how are we going to render this?

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## Grids:



## Grids:

```
type Grid a = [[a]]

grid :: Int -> Int -> Point -> Point -> Grid Point
grid c r (xmin, ymin) (xmax, ymax)
    = [[ (x, y) | x <- for c xmin xmax ]
        | y <- for r ymin ymax ]
```

Give meaningful names to types

List comprehensions

```
for      :: Int -> Float -> Float -> [Float]
for n min max = take n [min, min+delta ..]
  where delta = (max-min) / fromIntegral (n-1)
```

Capture recurring pattern

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## Some Sample Grids:

```
mandGrid = grid 79 37 (-2.25, -1.5) (0.75, 1.5)
juliaGrid = grid 79 37 (-1.5, -1.5) (1.5, 1.5)
```

Names make it easier to refer to previously defined values!

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# Images:

Allow for different types of "color"

```
type Image color = Point -> color

sample :: Grid Point -> Image color -> Grid color
sample points image
  = map (map image) points
```

Functions are just regular values ...

# Putting it all together:

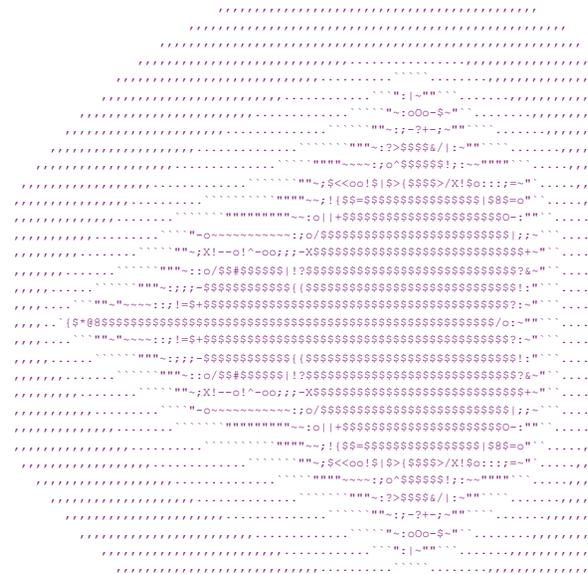
```
draw :: [color] ->
      Grid Point ->
      (Grid color -> pic) -> pic
draw palette grid render
  = render (sample grid (fracImage palette))
```

# Example 1:

```
charPalette :: [Char]
charPalette = " , . ` \" ~ : ; o - ! | ? / < > X + = { ^ _ # % & @ 8 * $ "

charRender  :: Grid Char -> IO ()
charRender  = putStr . unlines

example1 = draw charPalette mandGrid charRender
```



draw charPalette mandGrid charRender

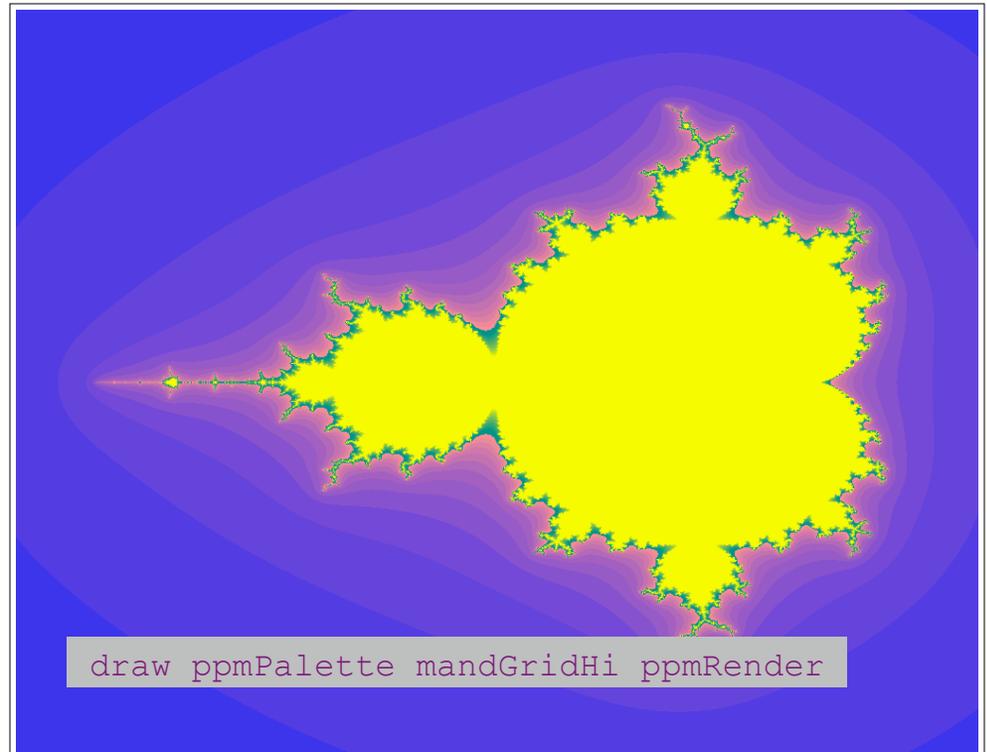
## Example 2:

```
type PPMcolor = (Int, Int, Int)

ppmPalette :: [PPMcolor]
ppmPalette = [ ((2*i) `mod` (ppmMax+1)), i, ppmMax-i
              | i <- [0..ppmMax] ]
ppmMax      = 31 :: Int

ppmRender :: Grid PPMcolor -> [String]
ppmRender g = ["P3", show w ++ " " ++ show h, show ppmMax]
              ++ [ show r ++ " " ++ show g ++ " " ++ show b
                  | row <- g, (r,g,b) <- row ]
              where w = length (head g)
                    h = length g
```

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## Down with Tangling!

- ◆ Changes to a program may require modifications of the source code in multiple places
- ◆ The implementation of a program feature may be “tangled” through the code
- ◆ Programs are easier to understand and maintain when important changes can be isolated to a single point in the code (and, perhaps, turned into a parameter)
- ◆ A simpler example:
  - Calculate the sum of the squares of the numbers from 1 to 10
  - `sum (map square [1..10])`

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## Summary:

- ◆ An appealing, high-level approach to program construction in which independent aspects of program behavior are neatly separated
- ◆ It is possible to program in a similar compositional / calculational manner in other languages ...
- ◆ ... but it seems particularly natural in a functional language like Haskell ...

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