

# Turing Machines

# Intro to Turing Machines

- A *Turing Machine* (TM) has finite-state control (like PDA), and an infinite read-write *tape*. The tape serves as both input and unbounded storage device.
- The tape is divided into *cells*, and each cell holds one symbol from the *tape alphabet*.
- There is a special *blank* symbol B. At any instant, all but finitely many cells hold B.
- *Tape head* sees only one cell at any instant. The contents of this cell and the current state determine the next move of the TM.

The tape extends to infinity in both directions with all "B" s



State = 1

state	0	1	2
1	L,2,3		
2			
3			
4			

# Moves

- A *move* consists of:
  - replacing the contents of the scanned cell
  - repositioning of the tape head to the nearest cell on the left, or on the right
  - changing the state
- The *input alphabet* is a subset of the tape alphabet. Initially, the tape holds a string of input symbols (the *input*), surrounded on both sides with an infinite sequence of blanks. The initial position of the head is at the first non-blank symbol.

# Formal Definition

- A TM is a septuple  $M=(Q,\Sigma,\Gamma,\delta,q_0,B,F)$ , where
  - $Q$  is a finite set of states
  - $\Gamma$  is the tape alphabet, and  $\Sigma \subseteq \Gamma$  is the input alphabet
  - $B \in \Gamma - \Sigma$  is the blank symbol
  - $q_0 \in Q$  is the start state, and  $F \subseteq Q$  is the set of accepting states
  - $\delta : Q \times \Gamma \rightarrow Q \times \Gamma \times \{L,R\}$  is a partial function. The value of  $\delta (q,X)$  is either undefined, or is a triple consisting of the new state, the replacement symbol, and direction (left/right) for the head motion.

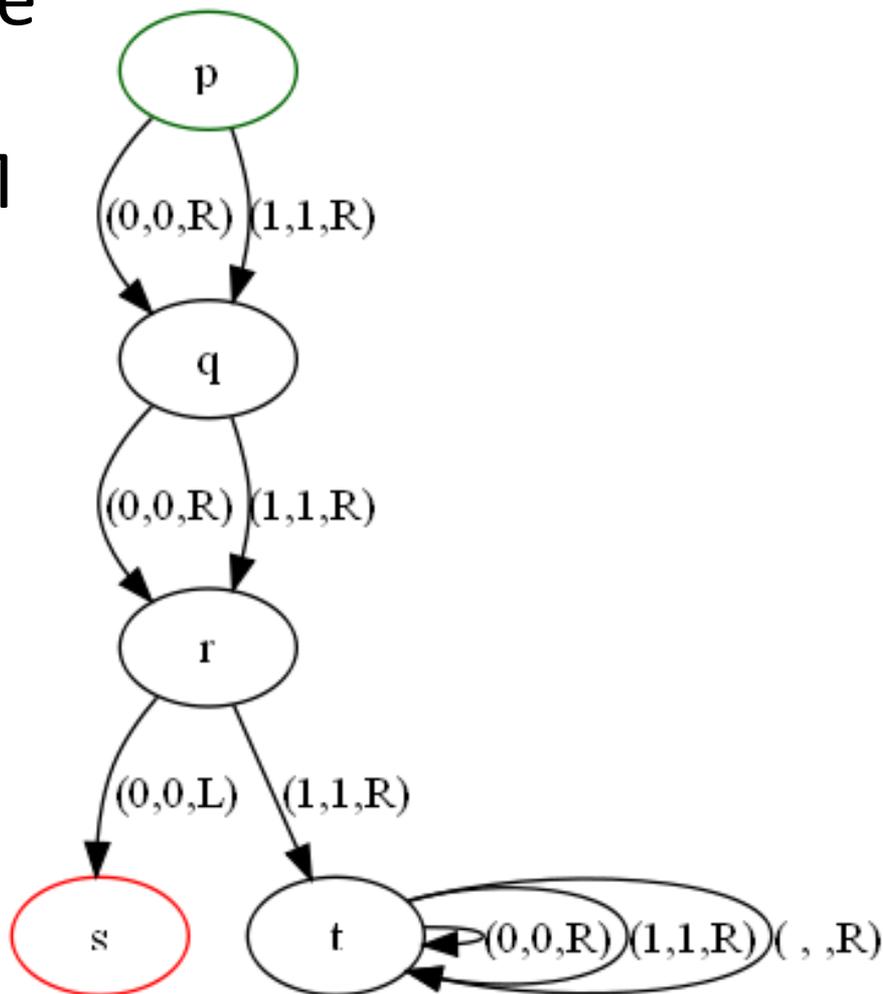
# Example

- Here is a TM that checks its third symbol is 0, accepts if so, and runs forever, if not.
- $M = (\{p, q, r, s, t\}, \{0, 1\}, \{0, 1, B\}, p, B, \{s\})$
- $\delta(p, X) = (q, X, R)$  for  $X=0, 1$
- $\delta(q, X) = (r, X, R)$  for  $X=0, 1$
- $\delta(r, 0) = (s, 0, L)$
- $\delta(r, 1) = (t, 1, R)$
- $\delta(t, X) = (t, X, R)$  for  $X=0, 1, B$

# Transition Diagrams for TM

- Pictures of TM can be drawn like those for PDA's. Here's the TM of the example below.

$$\begin{aligned} \delta(p,X) &= (q,X,R) && \text{for } X=0,1 \\ \delta(q,X) &= (r,X,R) && \text{for } X=0,1 \\ \delta(r,0) &= (s,0,L) \\ \delta(r,1) &= (t,1,R) \\ \delta(t,X) &= (t,X,R) && \text{for } X=0,1,B \end{aligned}$$



# Implicit Assumptions

- Input is placed on tape in contiguous block of cells
- All other cells are blank: 'B'
- Tape head positioned at Left of input block
- There is one start state
  
- The text uses a single Halt state, an alternative is to have many final states. These are equivalent, why?

# Example 2: $\{ a^n b^m \mid n, m \text{ in Nat} \}$

states = 0,1,H

tape alphabet = a,b, $\wedge$

input alphabet = a,b

start = 0

blank = ' $\wedge$ '

final = H

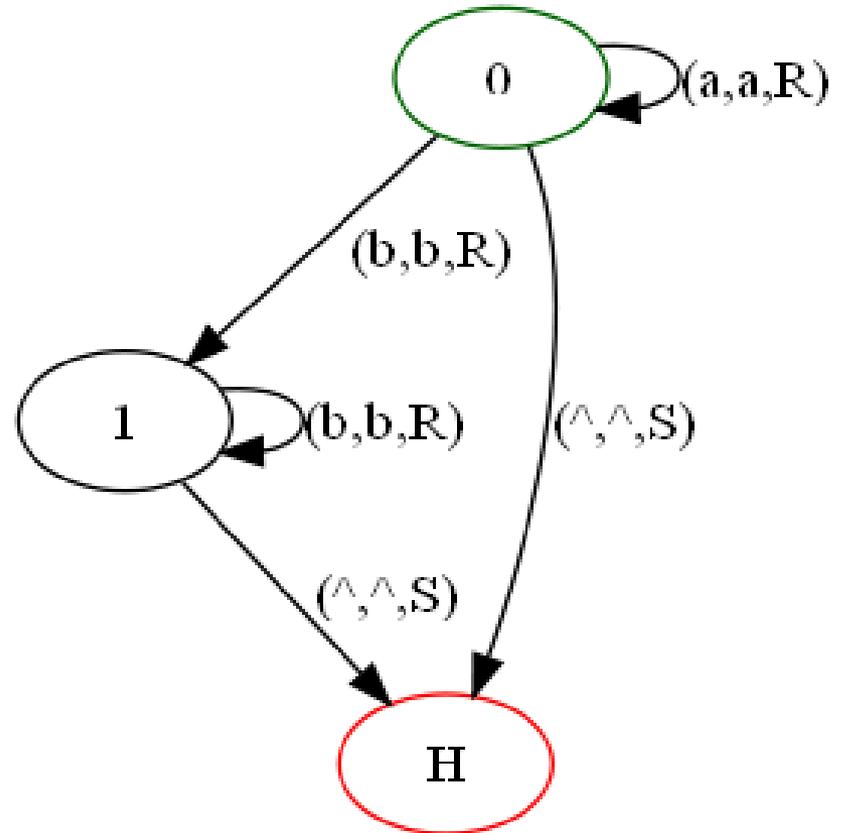
delta = (0, $\wedge$ , $\wedge$ ,S,H)

(0,a,a,R,0)

(0,b,b,R,1)

(1,b,b,R,1)

(1, $\wedge$ , $\wedge$ ,S,H)



# Example 3: $\{ a^n b^n c^n \mid n \text{ in Nat} \}$

delta =

- (0,a,X,R,1) Replace a by X and scan right
- (0,Y,Y,R,0) Scan right over Y
- (0,Z,Z,R,4) Scan right over Z, but make final check
- (0,^,^,S,H) Nothing left, so its success
- (1,a,a,R,1) Scan right looking for b, skip over a
- (1,b,Y,R,2) Replace b by y, and scan right
- (1,Y,Y,R,1) scan right over Y
- (2,c,Z,L,3) Scan right looking for c, replacxe it by Z
- (2,b,b,R,2) scan right skipping over b
- (2,Z,Z,R,2) scan right skipping over Z
- (3,a,a,L,3) scan left looking for X, skipping over a
- (3,b,b,L,3) scan left looking for X, skipping over b
- (3,X,X,R,0) Found an X, move right one cell
- (3,Y,Y,L,3) scan left over Y
- (3,Z,Z,L,3) scan left over Z
- (4,Z,Z,R,4) Scan right looking for ^, skip over Z
- (4,^,^,S,H) Found what we're looking for, success!

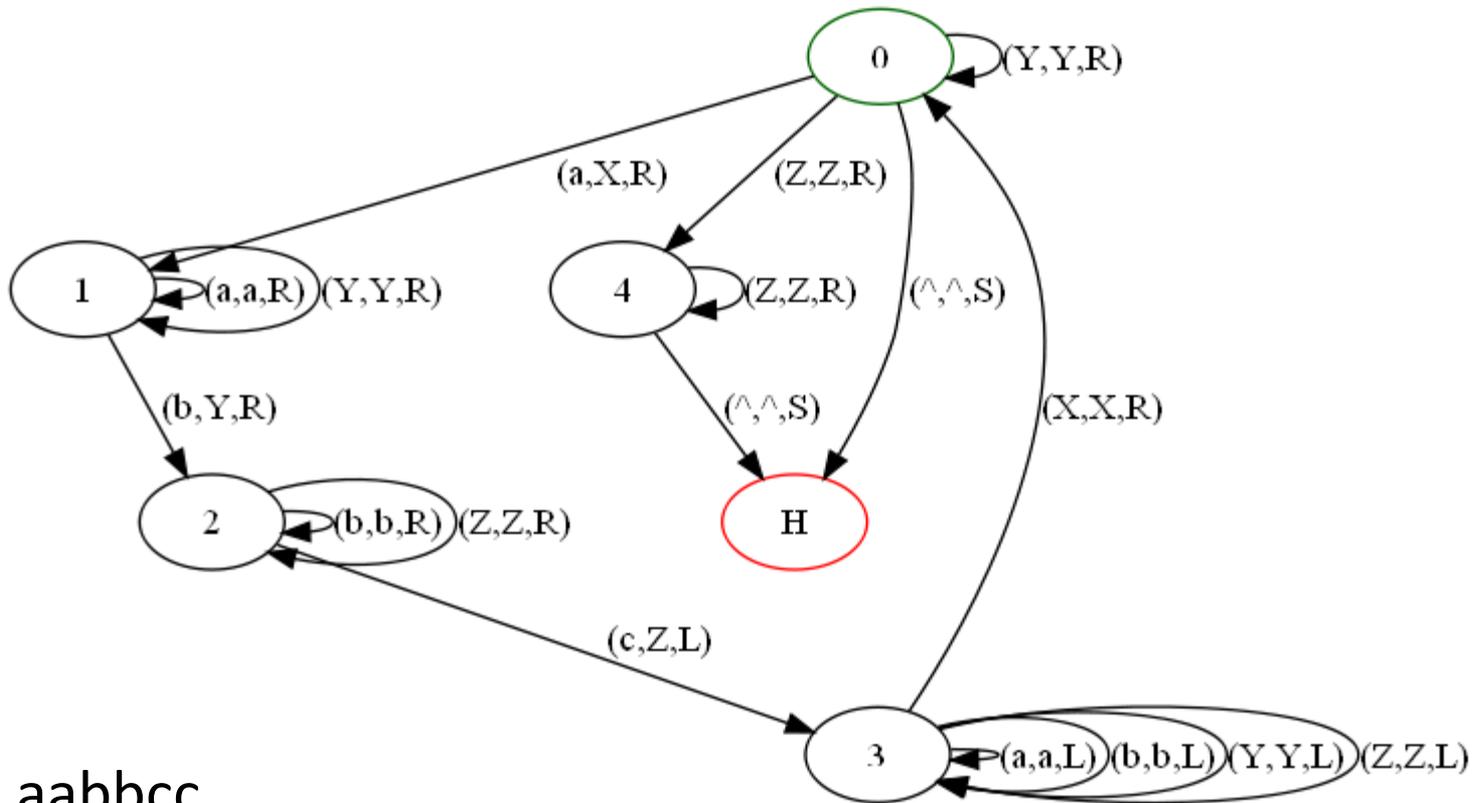
tape alphabet = a,b,c,^,X,Y,Z

input alphabet = a,b,c

start = 0

blank = '^ '

final = H



aabbcc  
 Xabbcc  
 XaYbcc  
 XaYbZc  
 XXYYZc  
 XXYYZZ

# Turing machines with output

- A Turing machine can compute an output by leaving an answer on the tape when it halts.
- We must specify the form of the output when the machine halts.

# Adding two to a number in unary

states = 0,1,H

tape alphabet = 1,^

input alphabet = 1

start = 0

blank = '^'

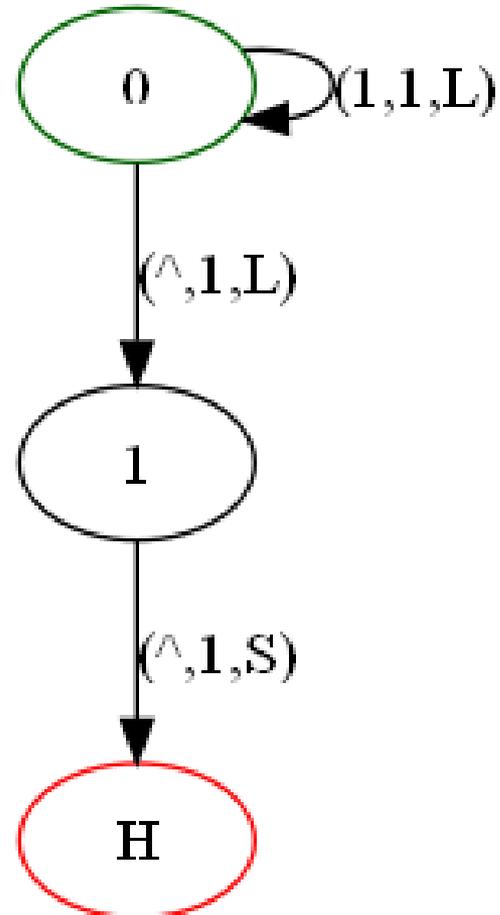
final = H

delta =

(0,1,1,L,0)

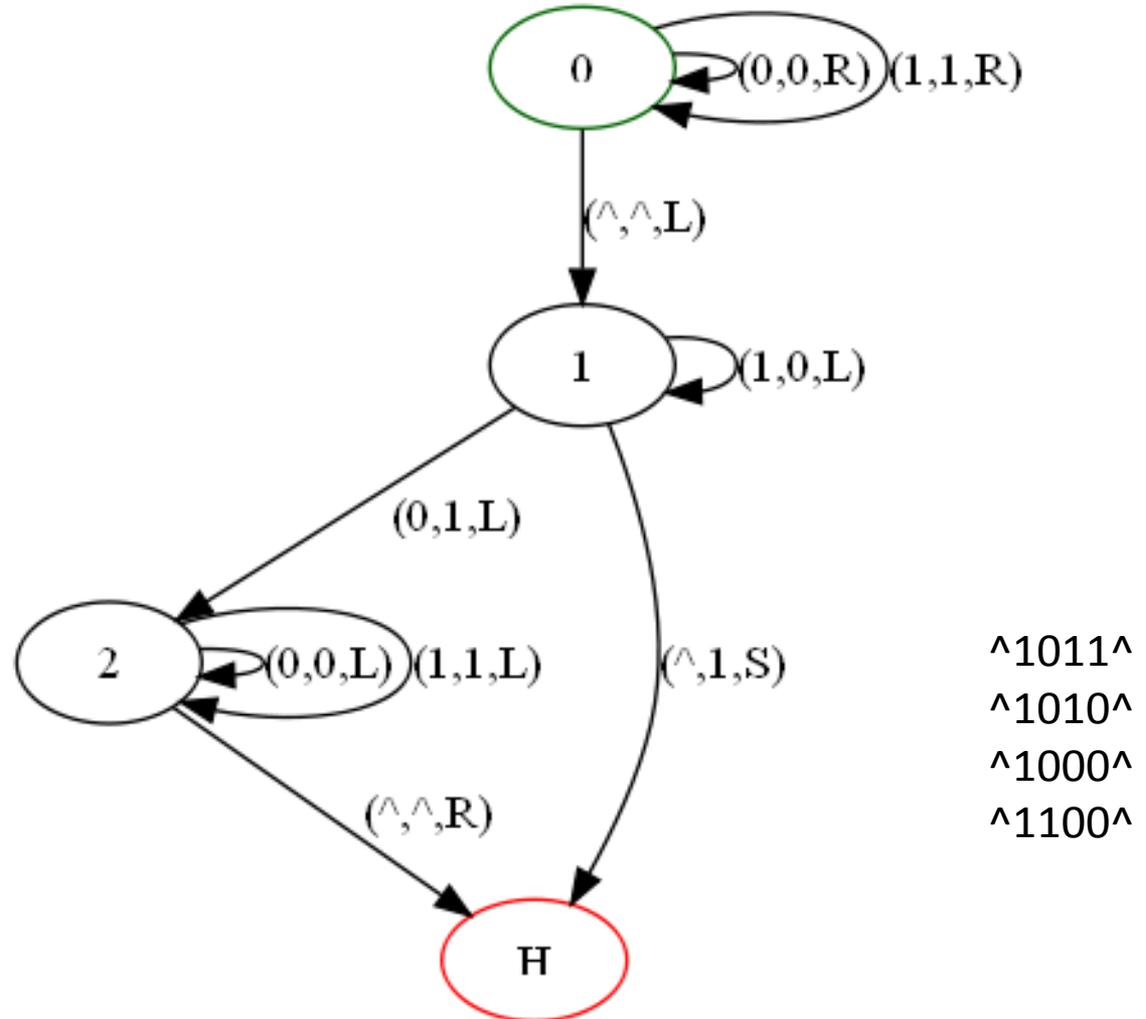
(0,^,1,L,1)

(1,^,1,S,H)



# Adding 1 to a Binary Number

states = 0,1,2,H  
 tape alphabet = 1,0, $\wedge$   
 input alphabet = 1,0  
 start = 0  
 blank = ' $\wedge$ '  
 final = H  
 delta =  
 (0,0,0,R,0)  
 (0,1,1,R,0)  
 (0, $\wedge$ , $\wedge$ ,L,1)  
 (1,0,1,L,2)  
 (1,1,0,L,1)  
 (1, $\wedge$ ,1,S,H)  
 (2,0,0,L,2)  
 (2,1,1,L,2)  
 (2, $\wedge$ , $\wedge$ ,R,H)

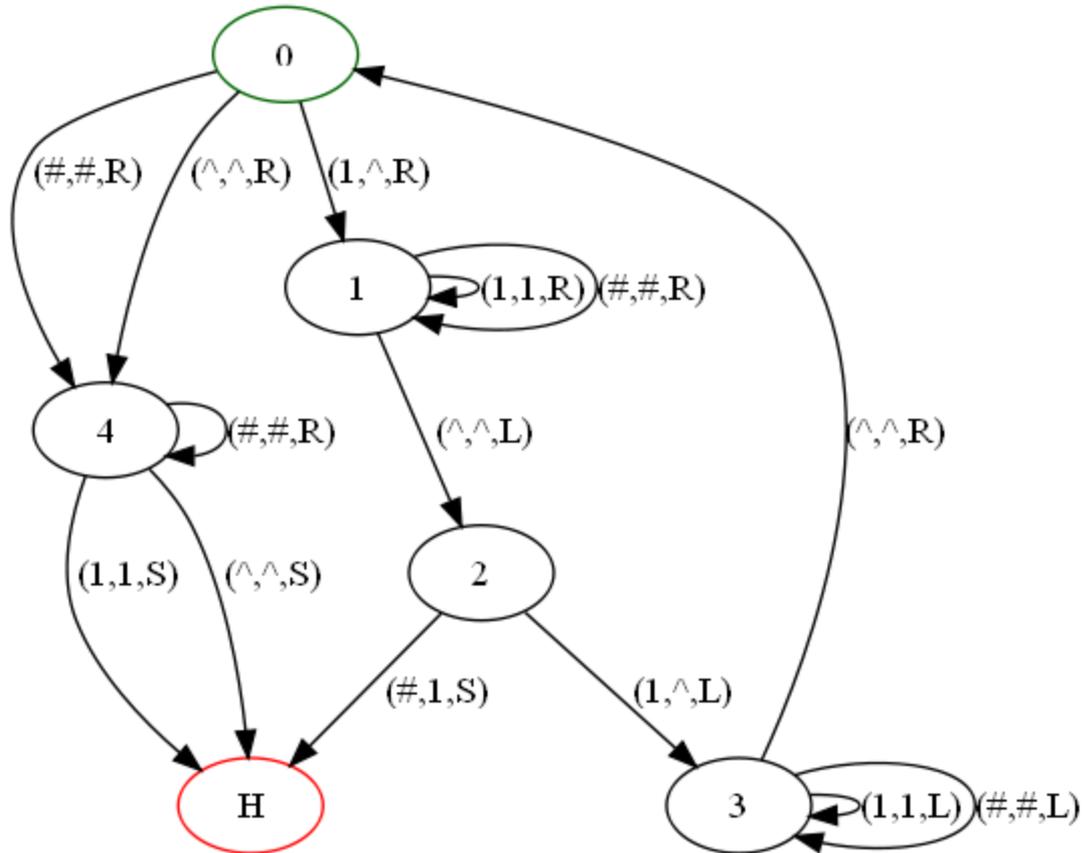


states = 0,1,2,3,4,H  
 tape alphabet = 1,0,#, $\wedge$   
 input alphabet = 1,0,#  
 start = 0  
 blank = ' $\wedge$ '  
 final = H

# An equality Test

delta =

- (0,1, $\wedge$ ,R,1)
- (0, $\wedge$ , $\wedge$ ,R,4)
- (0,#,#,R,4)
- (1,1,1,R,1)
- (1, $\wedge$ , $\wedge$ ,L,2)
- (1,#,#,R,1)
- (2,1, $\wedge$ ,L,3)
- (2,#,1,S,H)
- (3,1,1,L,3)
- (3, $\wedge$ , $\wedge$ ,R,0)
- (3,#,#,L,3)
- (4,1,1,S,H)
- (4, $\wedge$ , $\wedge$ ,S,H)
- (4,#,#,R,4)

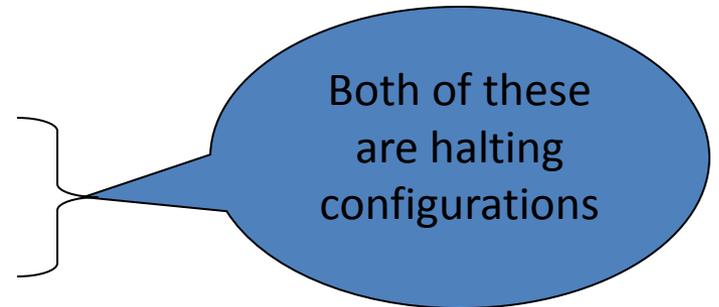


# Instantaneous Descriptions

- ID's for TM's are strings of the form  $\alpha q \beta$ , where  $\alpha, \beta \in \Gamma^*$  and  $q \in Q$ . (Assume that  $Q$  and  $\Gamma^*$  are disjoint sets, guaranteeing unique parsing of ID's.)
- The string  $\alpha$  represents the non-blank tape contents to the left of the head.
- The string  $\beta$  represents the non-blank tape contents to the right of the head, including the currently scanned cell.
- Adding or deleting a few blank symbols at the beginning of an ID results in an equivalent ID. Both represent the same instant in the execution of a TM.

# Sipser terminology

- Sipser calls instantaneous descriptions configurations
- Starting Configuration
- Accepting Configuration
- Rejecting Configuration

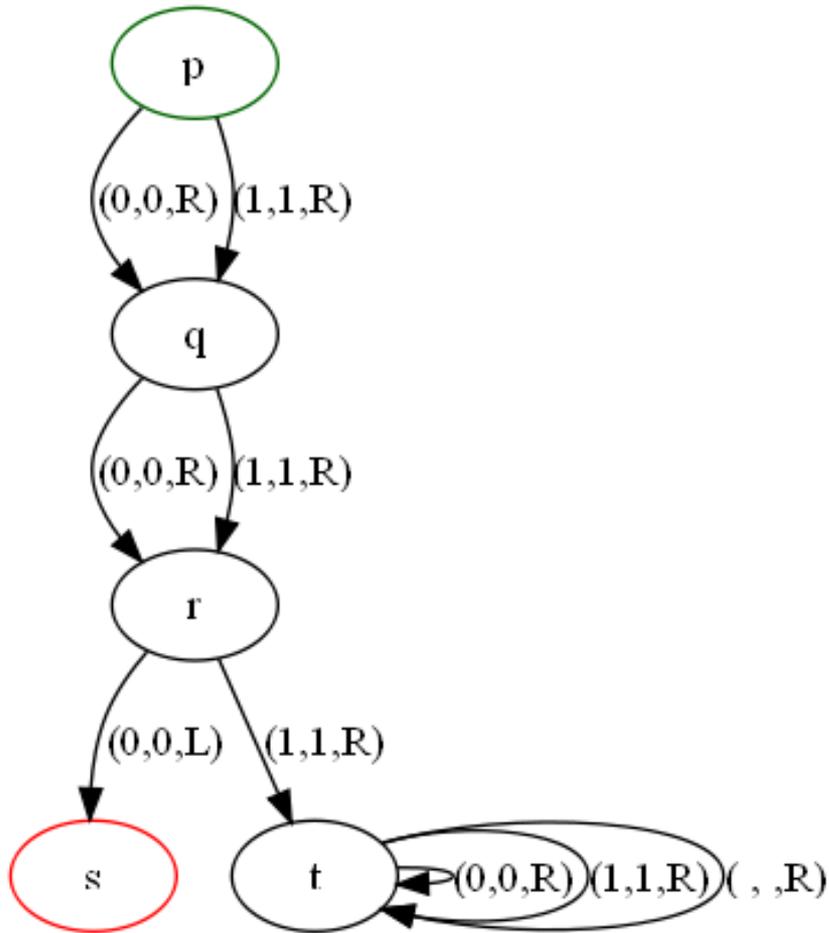


- TM's transitions induce the relation  $\mid\text{-}$  between ID's.
- Let  $\omega = X_1 \dots X_{i-1} q X_i \dots X_k$  be an ID.
- If  $\delta(q, X_i)$  is undefined, then there are no ID's  $\omega'$  such that  $\omega \mid\text{-} \omega'$ .
- If  $\delta(q, X_i) = (p, Y, R)$  then  
 $\omega \mid\text{-} \omega'$  holds for  $\omega' = X_1 \dots X_{i-1} Y p X_{i+1} \dots X_k$
- Similarly, if  $\delta(q, X_{i-1}) = (p, Y, L)$   
then  $\omega \mid\text{-} \omega'$  holds for  $\omega' = X_1 \dots p X_{i-1} Y X_{i+1} \dots X_k$
- When  $\omega \mid\text{-} \omega'$  Sipser says: “  $\omega$  **yields**  $\omega'$  ”

# Note

- If, in the first case, we have  $i=k$ , (that is we are at the end of the non-blank portion of the tape to the right) then we need to use the equivalent representation
- $\omega = X_1 \dots X_{k-1} q X_k B$
- for our formula to make sense. Similarly, we add a B to the beginning of  $\omega$  whenever necessary.

# Example



- Here is the sequence of ID's of our example machine, showing its execution with the given input 0101:
- $p0101 \mid - 0q101 \mid - 01r01 \mid - 0s101$
- The machine halts, since there are no moves from the state  $s$ . When the input is 0111, the machine goes forever, as follows:
- $p0111 \mid - 0q111 \mid - 01r11 \mid - 011t1$   
 $\mid - 0111t \mid - 0111Bt \mid - 0111BBt \mid - \dots$

# The Language of a TM

- We define the language of the TM  $M$  to be the set  $L(M)$  of all strings  $w \in \Sigma^*$
- such that:  $Q_0 w \vdash^* \alpha p \beta$
- for some  $p \in F$  and any  $\alpha, \beta$
- Languages accepted by TM's are call *recursively enumerable* (RE). Sipser calls this Turing-recognizable
- **Example.** For our example machine, we have  $L(M) = (0+1)(0+1)0(0+1)^*$
- If the machine recognizes some language, and also halts for all inputs. We say the language is Turing-decidable.

# Acceptance by Halting

- Some text books define an alternative way of defining a language associated with a TM  $M$ . (But not Sipser, though the idea is still interesting).
- We denote it  $H(M)$ , and it consists of strings that cause the TM to halt. Precisely, a string  $w \in \Sigma^*$  belongs to  $H(M)$
- iff  $q_0 w \vdash^* \alpha p X \beta$
- where  $\delta(p, X)$  is undefined.
  
- **Example.** For our example machine, we have
- $H(M) = \varepsilon + 0 + 1 + (0+1)(0+1) + (0+1)(0+1)0(0+1)^*$

# Equivalence of Acceptance by Final State and Halting

- How would we prove such an equivalence?
- 1. Construct a TM that accepts by Halting from an ordinary one.
- 2. Construct an ordinary TM from one that accepts by halting.

# Computable Functions

- Importance of having precise definitions of *effectively* computable functions, or algorithms, was understood in the 1930's. There were several attempts to formalize the basic notions of computability:
  - Turing Machines (1936)
  - Post Systems (1936)
  - Recursive Functions (Kleene, 1936)
  - Markov Algorithms (1947)
  - $\lambda$ -calculus (Church 1936)
- On the surface, these approaches look quite different. It turned out, however, that they are all equivalent! All these, and all later formalizations (combinatory logic, *while* programs, C programs, etc.) give essentially the same meaning to the word *algorithm* .

# Church's Thesis

- The statement that these formalizations correspond to the intuitive concept of computability is known as *Church's Thesis*.
- Church's Thesis is a belief, not a theorem.
- (though we often act as if we believe it is true, even though we don't know its is true)

# Power of Turing Machines (1)

- Recall the Church Thesis: *Every problem that has an algorithmic solution can be solved by a Turing Machine !*
- How do we become convinced that it is reasonable to believe this thesis?
- **First**, we can develop some programming techniques for TM's, allowing us to write machines for more and more complicated problems. Structuring states and tape symbols is particularly useful. Then, there is a possibility to use one TM as a subroutine for another. After having written enough TM's, we may get a feeling that everything that we can program in a convenient programming language could be done with TM.

# Power of Turing Machines (2)

- **Second**, we can consider some generalizations of the concept of TM (multitape TM's, non-deterministic TM's, ...) and prove that they are essentially just as powerful as the plain TM's.
- **Finally**, we can prove that all proposed formalizations of the concept of *computable*, of which TM's is only one, are equivalent. In later lectures we will look at both Kleene and Church's systems.