

NFA defined

# NFA

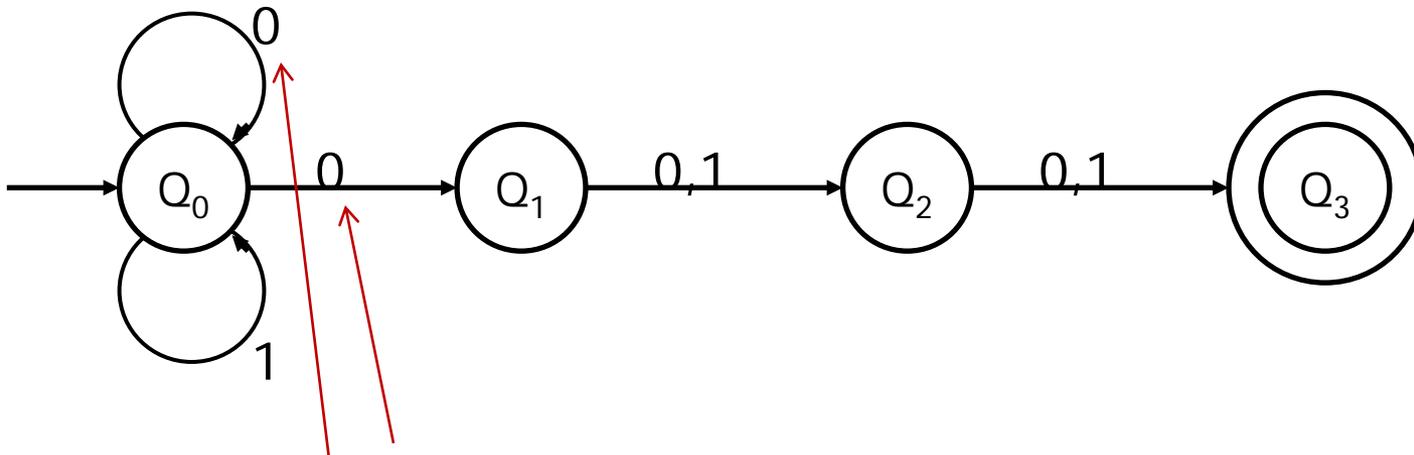
- A Non-deterministic Finite-state Automata (NFA) is a language recognizing system similar to a DFA.
- It supports a level of non-determinism. I.e. At some points in time it is possible for the machine to take on many next-states.
- Non-determinism makes it easier to express certain kinds of languages.

# Nondeterministic Finite Automata (NFA)

- When an NFA receives an input symbol  $a$ , it can make a transition to zero, one, two, or even more states.
  - each state can have multiple edges labeled with the same symbol.
- An NFA accepts a string  $w$  iff there exists a path labeled  $w$  from the initial state to one of the final states.
  - In fact, because of the non-determinism, there may be many states labeled with  $w$

# Example N1

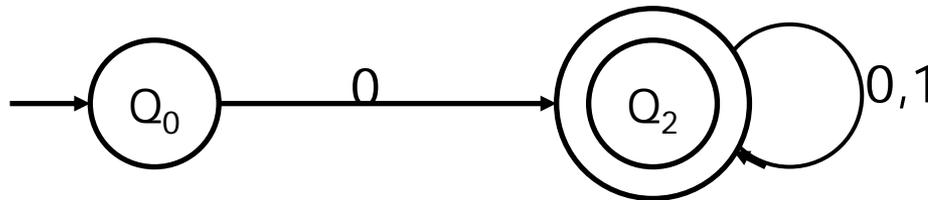
- The language of the following NFA consists of all strings over  $\{0, 1\}$  whose 3<sup>rd</sup> symbol from the right is 0.



- Note  $Q_0$  has multiple transitions on 0

# Example N2

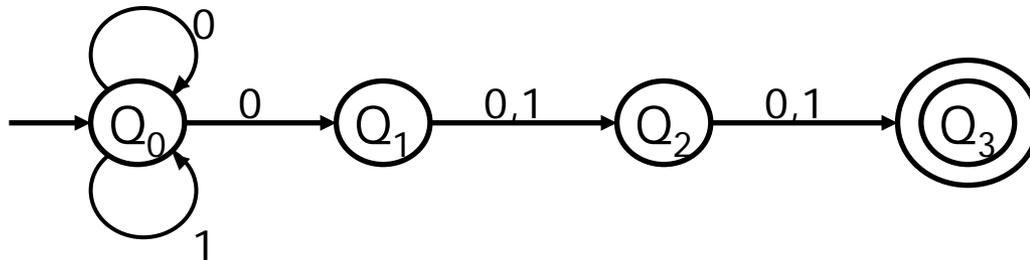
- The NFA  $N_2$  accepts strings beginning with 0.



- Note  $Q_0$  has no transition on 1
  - It is acceptable for the transition function to be undefined on some input elements for some states.

# NFA Processing

- Suppose  $N_1$  receives the input string  $0011$ . There are three possible execution sequences:
- $q_0 \longrightarrow q_0 \longrightarrow q_0 \longrightarrow q_0 \longrightarrow q_0$
- $q_0 \longrightarrow q_0 \longrightarrow q_1 \longrightarrow q_2 \longrightarrow q_3$
- $q_0 \longrightarrow q_1 \longrightarrow q_2 \longrightarrow q_3$



- Only the second finishes in an accept state. The third even gets stuck (cannot even read the fourth symbol).
- As long as there is at least one path to an accepting state, then the string is accepted.

# Implementation

- Implementation of NFAs has to be deterministic, using some form of backtracking to go through all possible executions .
- Any thoughts on how this might be accomplished?

# Formal Definition

- An NFA is a quintuple  $A = (Q, \Sigma, s, F, T)$ , where the first four components are as in a DFA, and the transition function takes values in  $P(Q)$  (the power set of  $Q$ ) instead of  $Q$ . Thus
  - $T: Q \times \Sigma \longrightarrow P(Q)$  note that T returns a set of states
- A NFA  $A = (Q, \Sigma, s, F, T)$ , *accepts* a string  $x_1x_2 \dots x_n$  (an element of  $\Sigma^*$ ) iff there exists a sequence of states  $q_1q_2 \dots q_nq_{n+1}$  such that
  - $q_1 = s$
  - $q_{i+1} \in T(q_i, x_i)$
  - $q_{n+1} \cap F \neq \emptyset$

## Compare with

A DFA  $A = (Q, \Sigma, s, F, T)$ , *accepts* a string  $x_1x_2 \dots x_n$  (an element of  $\Sigma^*$ ) iff

There exists a sequence of states

$q_1q_2 \dots q_nq_{n+1}$  such that

1.  $q_1 = s$
2.  $q_{i+1} = T(q_i, x_i)$
3.  $q_{n+1}$  is an element of  $F$

# The extension of the transition function

- Let an NFA  $A = (Q, \Sigma, s, F, \delta)$
- The extension  $\underline{\delta} : Q \times \Sigma^* \longrightarrow P(Q)$  extends  $\delta$  so that it is defined over a string of input symbols, rather than a single symbol. It is defined by

$$- \underline{\delta}(q, \varepsilon) = \{q\}$$

$$- \underline{\delta}(q, ua) = \bigcup_{p \in \underline{\delta}(q, u)} \delta(p, a),$$

Compute this by taking the union of the sets  $\delta(p, a)$ , where  $p$  varies over all states in the set  $\underline{\delta}(q, u)$

- First compute  $\underline{\delta}(q, u)$ , this is a set, call it  $S$ .
- for each element,  $p$  in  $S$ , compute  $\delta(p, a)$ ,
- Union all these sets together.

# Another NFA Acceptance Definition

- An NFA accepts a string  $w$  iff  $\underline{\delta}(s, w)$  contains a final state. The language of an NFA  $N$  is the set  $L(N)$  of accepted strings:
- $L(N) = \{w \mid \underline{\delta}(s, w) \cap F \neq \emptyset\}$
- Compare this with the 2 definitions of DFA acceptance in last weeks lecture.

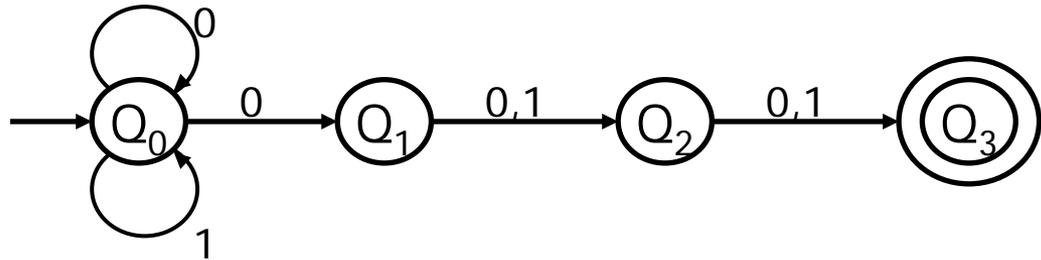
A DFA  $A = (Q, \Sigma, s, F, T)$ , accepts a string  $x_1x_2 \dots x_n$  (an element of  $\Sigma^*$ ) iff there exists a sequence of states  $q_1q_2 \dots q_nq_{n+1}$  such that

1.  $q_1 = s$
2.  $q_{i+1} = T(q_i, x_i)$
3.  $q_{n+1}$  is an element of  $F$

$$L(A) = \{w \mid \underline{I}(s, w) \in F\}$$

compute  $\underline{\delta}(q_0, 000)$

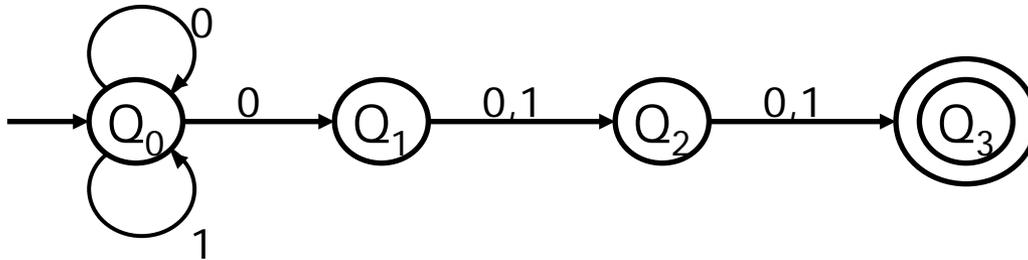
- $\underline{\delta}(q, ua) = \bigcup_{p \in \underline{\delta}(q, u)} \delta(p, a)$



- $\underline{\delta}(q_0, 000) = \bigcup_{x \in \underline{\delta}(q_0, 00)} \delta(x, 0)$
- $\underline{\delta}(q_0, 00) = \bigcup_{y \in \underline{\delta}(q_0, 0)} \delta(y, 0)$
- $\underline{\delta}(q_0, 0) = \delta(q_0, 0) = \{q_0, q_1\}$
- $\underline{\delta}(q_0, 00) = \bigcup_{y \in \{q_0, q_1\}} \delta(y, 0)$
- $\underline{\delta}(q_0, 00) = \{q_0, q_1\} \cup \{q_2\} = \{q_0, q_1, q_2\}$
- $\underline{\delta}(q_0, 000) = \bigcup_{x \in \{q_0, q_1, q_2\}} \delta(x, 0)$
- $\underline{\delta}(q_0, 000) = \{q_0, q_1\} \cup \{q_2\} \cup \{q_3\}$
- $\underline{\delta}(q_0, 000) = \{q_0, q_1, q_2, q_3\}$

# Intuition

- At any point in the walk over a string, such as “000” the machine can be in a set of states.
- To take the next step, on a character ‘c’, we create a new set of states. Those reachable from the old set on a single ‘c’



|                      | 0                    | 1                 |
|----------------------|----------------------|-------------------|
| <b>{Q0}</b>          | <b>{Q0,Q1}</b>       | <b>{Q0}</b>       |
| <b>{Q0,Q1}</b>       | <b>{Q0,Q1,Q2}</b>    | <b>{Q0,Q2}</b>    |
| <b>{Q0,Q2}</b>       | <b>{Q0,Q1,Q3}</b>    | <b>{Q0,Q3}</b>    |
| <b>{Q0,Q1,Q3}</b>    | <b>{Q0,Q1,Q2}</b>    | <b>{Q0,Q2}</b>    |
| <b>{Q0,Q3}</b>       | <b>{Q0,Q1}</b>       | <b>{Q0}</b>       |
| <b>{Q0,Q1,Q2}</b>    | <b>{Q0,Q1,Q2,Q3}</b> | <b>{Q0,Q2,Q3}</b> |
| <b>{Q0,Q1,Q2,Q3}</b> | <b>{Q0,Q1,Q2,Q3}</b> | <b>{Q0,Q2,Q3}</b> |
| <b>{Q0,Q2,Q3}</b>    | <b>{Q0,Q1,Q3}</b>    | <b>{Q0,Q3}</b>    |