

CS 589 Principles of Databases, Unit 2, Part 5

Principles of Databases

Normal Form Review

Key

FDs F on schema R , $K \subseteq R$

1. $K^+ = R$ $K \rightarrow R$
2. No proper subset of K has this property

Can be multiple keys

An attribute A is prime if it is in some key K

Superkey: Just Part 1. above

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Example

quarter Q *dept* D *course #* C *course name* N *room* R *prof* P *time* T

$\{DC \rightarrow N, DN \rightarrow C, QDCT \rightarrow RP, P \rightarrow D, QRT \rightarrow DC\}$

$QRT^+ = QRTDCNP$

$QR^+ = QR$

$QT^+ = QT$

$RT^+ = RT$

$Q^+ = Q$

$R^+ = R$

$T^+ = T$

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More Examples

$\{DC \rightarrow N, DN \rightarrow C, QDCT \rightarrow RP, P \rightarrow D, QRT \rightarrow DC\}$

$QCPT^+ = QCPTDNR$ *key*

$CPT^+ = PTDN$

$QPT^+ = QPTD$

$QCT^+ = QCT$

$QCP^+ = QCPDN$

What about $QRPT$?

*- not a key -
not minimal*

$QRPT^+ = QRPTCND$

$QRT^+ =$

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Different Example

Flight **D**ate **P**ilot **G**ate

$\{LD \rightarrow PG, L \rightarrow G\}$

$LD^+ = LDPG$
 $L^+ = LG$ $D^+ = D$

LD is a key, so L, D are prime

P, G are not prime -

*no key with P
no key with G*

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Third Normal Form (3NF)

Schema R with FDs F is ^{$A \notin X$} in third normal form if for any nontrivial FD $X \rightarrow A$, in F^+

X is a superkey of R or A is prime

Last example was not in 3NF

Consider $L \rightarrow G$

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Another Example

FLight Date PilotID PilotName

{LD \rightarrow IN, I \rightarrow N, N \rightarrow I}

LD key I, N not prime

I \rightarrow N } 3NF violations
N \rightarrow I }

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Aside: Boyce-Codd Normal Form (BCNF)

Schema R with FDs F is in Boyce-Codd normal form if for any nontrivial FD $X \rightarrow A$, X is superkey

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BCNF Example

Airport Company BillTo

$\{A C \rightarrow B, B \rightarrow C\}$

This schema is in 3NF

$B \rightarrow C$ prime, part of key AC

This schema is not in BCNF

$B \rightarrow C$
not a superkey

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Normalization through Decomposition

If R not in 3NF for FDs F, find an FD
 $Y \rightarrow Z$ in F^+ where Y is not a key; split
into

$R-Z, YZ$

FLight Date PilotID PilotName

{LD \rightarrow IN, I \rightarrow N, N \rightarrow I}

LDI, IN

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Problems with Decomposition

1. Figuring out non-prime attributes
can be hard *NP-complete*
2. Might end up with more relations
than you need
3. Might not be able to enforce FDs

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Decomposition Example

$R = A B C D E$

$\{AB \rightarrow CDE, AC \rightarrow BDE, B \rightarrow C, C \rightarrow B, C \rightarrow D, B \rightarrow E\}$

AB, AC are keys, so $C \rightarrow D$ is a problem

Decompose on $C \rightarrow D$

$R_1 = ABC E, R_2 = CD$

$R_3 = ABC \}$ 3NF
 $R_4 = BDE \}$

$B \rightarrow E$ is a problem, decompose again

$R_1 = ABC \quad R_2 = BE \quad R_3 = CD$

But ...

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Enforcing FDs Example

$R = A B C D E$

$\{A \rightarrow BCDE, CD \rightarrow E, CE \rightarrow B\}$

A is the only key, so $CD \rightarrow E$ is a problem

Decompose on $CD \rightarrow E$

$ABCD, CDE$

How do you enforce $CE \rightarrow B$?

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Normalization through Synthesis

Start with schema R and FDs F

Generate database schema

R_1, R_2, \dots, R_n such that

- Every relation schema is in 3NF
- Can enforce F
- Avoid extra relation schemas
- Have a lossless decomposition from R onto R_1, R_2, \dots, R_n .

Depends on a cover for F of a certain form

What's a Cover

A set of FDs G is a cover for a set of FDs F if $G^+ = F^+$ $G \equiv F$

G is a non-redundant cover if you cannot eliminate FDs and still be a cover

$\{A \rightarrow B, B \rightarrow C, \cancel{A \rightarrow C}\}$

Can test FDs one by one to see if any can be left out

$\{A \rightarrow B, B \rightarrow C\} \models A \rightarrow C$ yes, so omit

$\{A \rightarrow B\} \not\models B \rightarrow C$ no, so keep $B \rightarrow C$

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Minimum Cover

A minimum cover is one with fewest possible FDs

Non-redundant, but not minimum:

$\{A \rightarrow BC, B \rightarrow A, AD \rightarrow E, BD \rightarrow I\}$

$\{A \rightarrow BC, B \rightarrow A, AD \rightarrow EI\}$

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Finding a Minimum Cover

Replace every FD $X \rightarrow Y$ in F with $X \rightarrow X^+$, then find a non-redundant cover

$\{A \rightarrow BC, B \rightarrow A, AD \rightarrow E, BD \rightarrow I\}$

$\{A \rightarrow ABC, B \rightarrow ABC, AD \rightarrow ABCDEI, BD \rightarrow ABCDEI\}$

non-redundant

$\{A \rightarrow ABC, B \rightarrow ABC, AD \rightarrow ABCDEI\}$

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Reduced Covers

Are there extraneous attributes?

Can appear on the right side or the left

$$\begin{array}{l} \{A\cancel{B} \rightarrow C, A \rightarrow B\} \\ \{A \rightarrow B\cancel{C}, B \rightarrow C\} \end{array} \quad A \rightarrow B \models A \rightarrow AB \quad \left. \begin{array}{l} \models A \rightarrow C \\ \models AB \rightarrow C \end{array} \right\} \models A \rightarrow C$$

How to remove?

For $XA \rightarrow Y$, see if $F \models X \rightarrow Y$ if so, replace $XA \rightarrow Y$ with $X \rightarrow Y$

For $X \rightarrow YB$, see if

$$F - \{X \rightarrow YB\} \cup \{X \rightarrow Y\} \models X \rightarrow YB$$

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Reduce Left Sides First

$$G = \{A \rightarrow C, AB \rightarrow DE, AB \rightarrow \underline{CDI}, \underline{AC} \rightarrow J\}$$

Reduce left sides

$$G' = \{A \rightarrow C, AB \rightarrow DE, AB \rightarrow \underline{CDI}, A \rightarrow J\}$$

Reduce right sides

$$G'' = \{A \rightarrow C, AB \rightarrow DE, AB \rightarrow I, A \rightarrow J\}$$

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Synthesis Algorithm

Start with FDs F

Find G = minimum cover for F

Find H = reduced cover for G

For each $X \rightarrow Y$ in H , add a schema XY

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Synthesis Example

$$F = \{A \rightarrow BC, B \rightarrow A, AD \rightarrow E, BD \rightarrow I\}$$

$$G = \{A \rightarrow ABC, B \rightarrow ABC, AD \rightarrow ABCDEI\}$$

$$H = \{A \rightarrow BC, B \rightarrow A, AD \rightarrow EI\}$$

$$\begin{array}{c} / \\ \underline{A}BC \quad \underline{A}B \quad \underline{A}DEI \end{array}$$

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