

# CS 589 Principles of Databases, Unit 2, Part 4

Principles of Databases

## Null Values

Used to represent missing information

At least two kinds

dne — does not exist

unk — value exists but unknown

PILOT	FLIGHT	DATE	TIME
Cushing	615	5Oct	unk
<b>dne</b>	704	6Oct	5:50a
Cook	<b>dne</b>	<b>dne</b>	<b>dne</b>

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## “Does Not Exist” Null

Like adding an additional value to domain of an attribute

So it enlarges the set of possible relation instances

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Issue: dne = dne?

Maybe no: LD → P

PILOT	FLIGHT	DATE	TIME
Cook	dne	dne	dne
Cadiz	dne	dne	dne

Maybe yes: FIRST MI LAST → AGE

FIRST	MI	LAST	AGE
Alex	dne	Cook	37
Alex	dne	Cook	39

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Unknown Null

More like variables

Can view an instance with **unk** nulls as a set of possible fully-defined instances

PILOT	FLIGHT	DATE	TIME
Cushing	615	50ct	<b>unk</b>
Cook	704	60ct	5:50a
Cushing	<b>unk</b>	60ct	<b>unk</b>

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## Some Possible Instances

PILOT	FLIGHT	DATE	TIME
Cushing	615	50ct	5:00p
Cook	704	60ct	5:50a
Cushing	872	60ct	11:00p

PILOT	FLIGHT	DATE	TIME
Cushing	615	50ct	6:00p
Cook	704	60ct	5:50a
Cushing	615	60ct	6:00p

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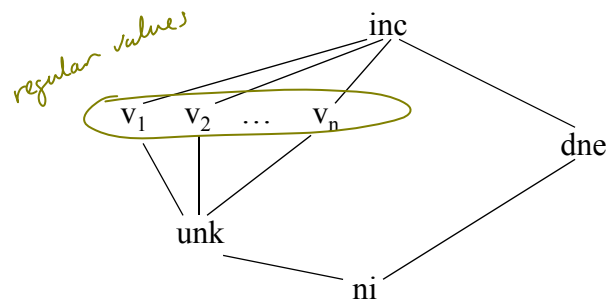
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## Also, “No-Information” and “Inconsistent”

$x \sqsubseteq y$ :  $y$  more informative than  $x$

$ni \sqsubseteq unk \sqsubseteq v_i \sqsubseteq inc$

$ni \sqsubseteq dne \sqsubseteq inc$



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## Extend Order to Tuples

Use  $\sqsubseteq$  on each component

```
<Fred, unk, 6>  $\sqsubseteq$  <Fred, 10.25, 6>  
<Fred, unk, 6>  $\sqsubseteq$  <Fred, unk, 6>  
<ni, dne, 6>  $\sqsubseteq$  <unk, inc, 6>  
<ni, dne, 6>  $\sqsubseteq$  <inc, inc, inc>
```

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## Extending to Relations

Concentrate on unk null

```
ord1(Person Price Amt)  
Fred   unk   6  
Fred   10.25 unk  
Fritz  unk   unk
```

```
ord2(Person Price Amt)  
Fred   11.50 6  
unk    10.25 7
```

```
ord3(Person Price Amt)  
Fred   11.50 6  
Fred   10.25 7  
Fritz  12.25 7
```

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## What Does a Tuple Mean

For partial relations  $r, q$ , what should  $r \sqsubseteq q$  require?  $q \supseteq r$

For tuple  $t$  in  $r$  <sup>tuple</sup>

- exactly one  $s$  in  $q$  with  $t \sqsubseteq s$ ?
- at least one  $s$  in  $q$  with  $t \sqsubseteq s$ ?

For tuples  $t_1, t_2$  in  $r$ , can there be a single  $s$  in  $q$  with  $t_1 \sqsubseteq s$  and  $t_2 \sqsubseteq s$ ?

Can there be  $s$  in  $q$  where  $t \not\sqsubseteq s$  for all  $t$  in  $r$ ? (closed world assumption)

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## Book's Definition

"Fill in the blanks"

$r \sqsubseteq q$  means there is a total, onto map  $\theta$  from  $r$  to  $q$  where for every  $t$  in  $r$

$$t \sqsubseteq \theta(t)$$

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## Examples

$\subseteq$   
 ord1(Person Price Amt)    ord3(Person Price Amt)  
 Fred    unk    6    →    Fred    11.50 6  
 Fred    10.25 unk →    Fred    10.25 7  
 Fritz    unk    unk →    Fritz    12.25 7  
 $\emptyset$

$\subseteq$   
 ord1(Person Price Amt)    ord4(Person Price Amt)  
 Fred    unk    6    →    Fred    10.25 6  
 Fred    10.25 unk →    Fritz    12.25 unk  
 Fritz    unk    unk →  
 $\emptyset$

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## But Consider

$\not\subseteq$   
 ord2(Person Price Amt)    ord3(Person Price Amt)  
 Fred    11.50 6    →    Fred    11.50 6  
 unk    10.25 7    →    Fred    10.25 7  
 ?    →    Fritz    12.25 7  
 $\emptyset$

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## Possible Worlds

View a partial relation as specifying a set of possible worlds *no unknowns*

$$\text{POSS}(r) = \{q \mid r \sqsubseteq q \text{ and } q \text{ is fully defined}\}$$

Can include constraints  $C$

$$\text{POSS}(r, C) = \{q \mid q \text{ in } \text{POSS}(r) \text{ and } q \text{ in } \text{SAT}(C)\}$$

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## What Happens if We Have Constraints?

### Recall

PILOT	FLIGHT	DATE	TIME
Cushing	615	50ct	unk
Cook	704	60ct	5:50a
Cushing	unk	60ct	unk

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## Not All Satisfy the FDs

$$F = \{L \rightarrow T, PDT \rightarrow L, LD \rightarrow P\}$$

PILOT	FLIGHT	DATE	TIME
Cushing	615	50ct	5:00p
Cook	704	60ct	5:50a
Cushing	615	60ct	5:00p

PILOT	FLIGHT	DATE	TIME
Cushing	615	50ct	5:00p
Cook	704	60ct	5:50a
Cushing	615	60ct	6:00

FD violation

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## Use FD-Chase on Instances with Unknowns

$r$

PILOT	FLIGHT	DATE	TIME
Cushing	704	50ct	unk
Cook	704	60ct	5:50a
Cushing	unk	60ct	unk

$r'$

PILOT	FLIGHT	DATE	TIME
Cushing	704	50ct	5:50a
Cook	704	60ct	5:50a
Cushing	unk	60ct	unk

$$POSS(r, F) = POSS(r', F)$$

$L \rightarrow T$

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## Querying Relations with Unknowns

Let  $r$  be a relation with unknowns, and  
 $Q$  a query on full relations

Would like  $Q'$  on partial relations  
 $Q'(r)$  represents  $\{Q(q) \mid q \text{ in } POSS(r)\}$

When  $r$  is fully defined, would like  
 $Q'(r)$  and  $Q(r)$  to agree. (Faithful)

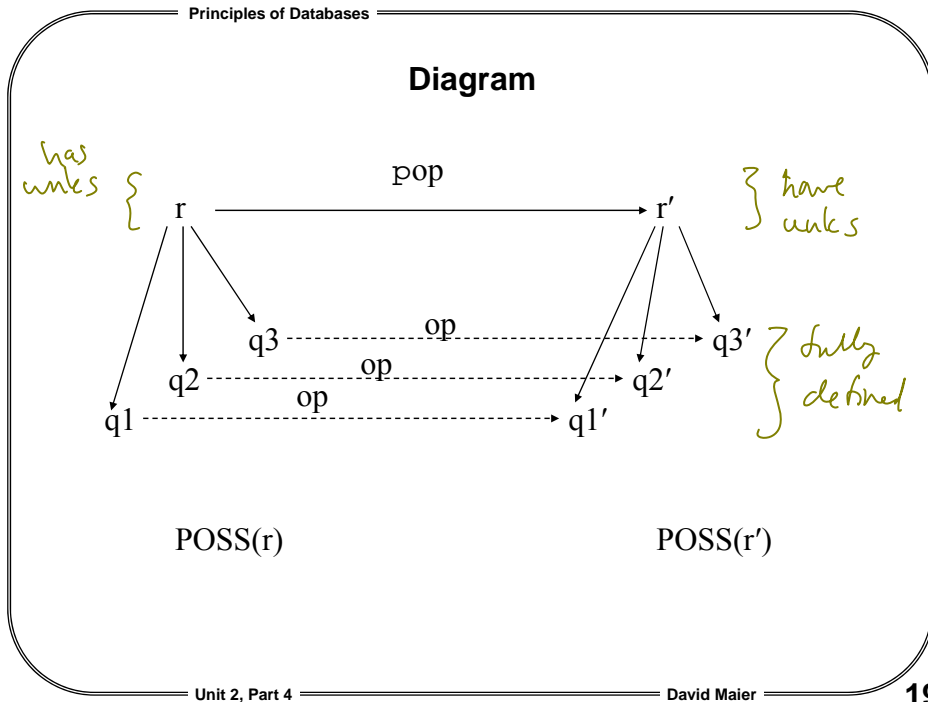
## Relational Algebra

One approach: Multi-relation

Let  $\text{pop}$  be partial version of operator  $op$   
 $\text{p}\pi$  and  $\pi$

$POSS(\text{p}op(r)) = \{op(q) \mid q \text{ in } POSS(r)\}$

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### Sometimes Exists

r				
PILOT	FLIGHT	DATE	TIME	
Cushing	615	50ct	unk	6:00p
Cook	704	60ct		5:50a
Cushing	unk	60ct	unk	7:00p

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$\rho\pi_{DT}(r)$	
DATE	TIME
50ct	unk
60ct	5:50a
60ct	unk

Fill in

DATE	TIME
5 Oct	6:00p
6 Oct	5:50a
6 Oct	7:00p

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## Not Always

$\sigma_{L=872}(r)$

r1

PILOT	FLIGHT	DATE	TIME
Cushing	615	5Oct	5:00p
Cook	704	6Oct	5:50a
Cushing	<u>872</u>	6Oct	<u>11:00p</u>

ans(r1)

PILOT	FLIGHT	DATE	TIME
Cushing	872	6Oct	11:00p

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## Not Always

$\sigma_{L=872}(r)$

r2

PILOT	FLIGHT	DATE	TIME
Cushing	615	5Oct	5:00p
Cook	704	6Oct	5:50a
Cushing	<u>615</u>	6Oct	<u>5:00p</u>

ans(r2)

PILOT	FLIGHT	DATE	TIME
$\emptyset$			

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## “For sure semantics”

Truth preserving: common part of all possible results

$$\bigcap \{q \mid q \text{ in } \text{POSS}(\text{pop}(r))\} = \bigcap \{op(q') \mid q' \text{ in } \text{POSS}(r)\}$$

*tuples that are definitely in the answer for anything in POSS(r)*

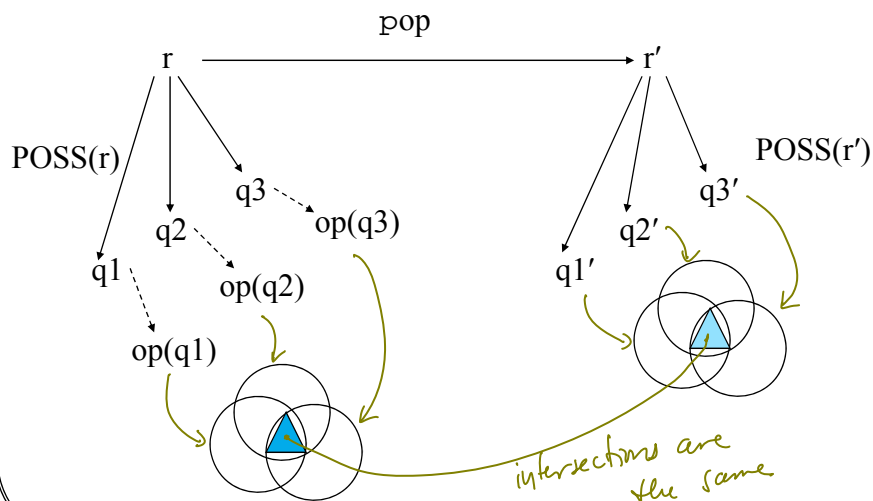
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## Diagram



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## Projection

$$\rho\pi_Y(r) = \{t[Y] \mid t \text{ in } r\}$$

Person	Price	Amt
Fred	unk	6
Fred	10.25	unk
Fritz	unk	unk

$\rho\pi_{\text{Person Price}}(r)$        $\{ \langle \text{Fred } 10.25 \rangle \}$

Person	Price
Fred	unk
Fred	10.25
Fritz	unk

□

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## Projection 2

POSS(r) includes

Person	Price	Amt
Fred	11.50	6
Fred	10.25	7
Fritz	12.25	7

$\pi_{\text{Person Price}}$   

Person	Price
Fred	11.50
Fred	10.25
Fritz	12.25

POSS( $\rho\pi_{\text{Person Price}}(r)$ ) includes

Person	Price
Fred	11.50
Fred	10.25
Fritz	12.25

Common part will be  $\langle \text{Fred } 10.25 \rangle$

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## Union

$r1 \cup r2 = \{t \mid t \text{ in } r1 \text{ or } t \text{ in } r2\}$

$ord1 \cup ord2$

ans(Person Price Amt)

Fred	unk	6
Fred	10.25	unk
Fritz	unk	unk
-----		
Fred	11.50	6
unk	10.25	7

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## What if We Let Intersection Be the Result?

Might only want tuples that are in every possible answer

$pop(r) = \{t \mid t \text{ in } op(q) \text{ for every } q \text{ in } POSS(r)\}$

For example, r

PILOT	FLIGHT	DATE	TIME
Cushing	615	50ct	unk
Cook	704	60ct	5:50a
Cushing	unk	60ct	unk

$p\pi_{PL}(r)$

PILOT	FLIGHT
Cushing	615
Cook	704

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## But Note

$r$

PILOT	FLIGHT	DATE	TIME
Cushing	615	50ct	unk
Cook	704	60ct	5:50a
Cushing	unk	60ct	unk

$$\rho\sigma_{P=Cushing}(r) = \emptyset$$

$$\rho\pi_{LD}(\rho\sigma_{P=Cushing}(r)) =$$

FLIGHT	DATE
615	50ct

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## “Could Be” Semantics

Possibility Preserving: combination of all possible results

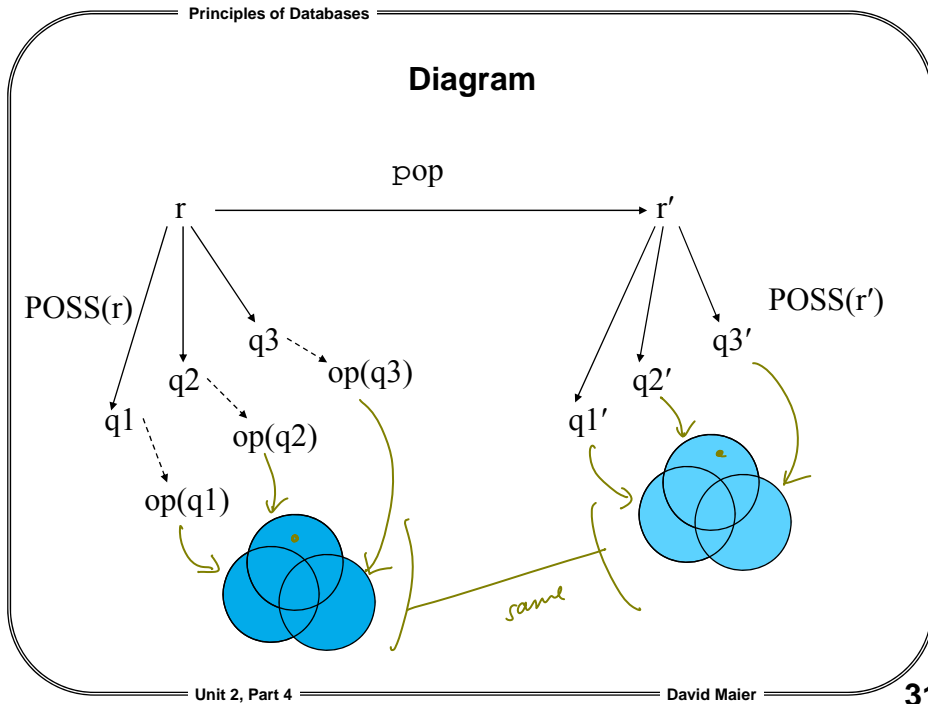
$$\cup\{q \mid q \text{ in } POSS(\rho op(r))\} = \cup\{op(q') \mid q' \text{ in } POSS(r)\}$$

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## Selection Conditions

$t.B = 6$

$\langle \text{Fred } 6 \rangle$	true
$\langle \text{Fred } 4 \rangle$	false
$\langle \text{Fred unk} \rangle$	maybe

3-valued logic

or	T	F	M
T	T	T	T
F	T	F	M
M	T	M	M

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## Example

$\begin{array}{c} A \quad B \\ \hline t1 \quad \langle \text{Fred} \text{ unk} \rangle \\ t2 \quad \langle \text{Fritz} \text{ unk} \rangle \end{array}$

$t.A = \text{Fritz} \text{ or } t.B = 6$

$\begin{array}{l} t1 \quad \quad \quad F \quad \quad \quad \text{or} \quad M \quad \quad = M \\ t2 \quad \quad \quad T \quad \quad \quad \text{or} \quad M \quad \quad = T \end{array}$

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## But

t1 <Fred unk>

$t.B < 6 \text{ or } t.B \geq 6$

t1 M or M = M

But it would be T for any value for unk

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