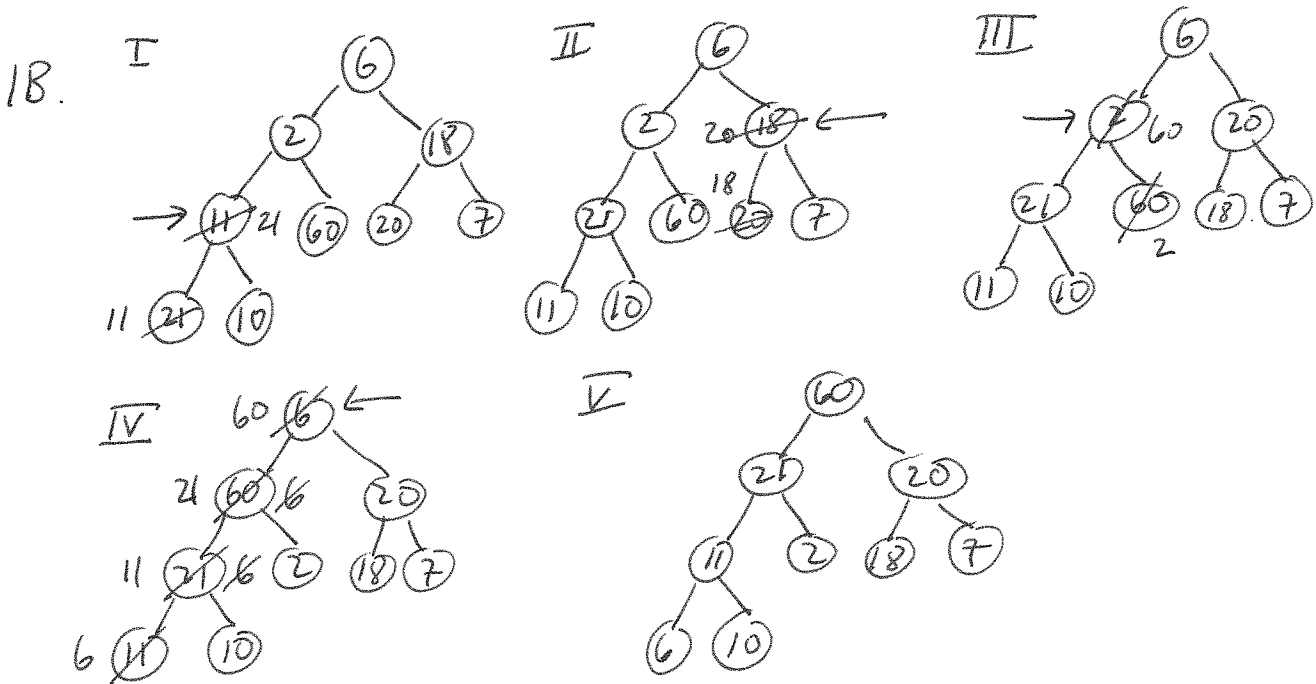


1A  $3^{n+2} = 3^2 \cdot 3^n = 9 \cdot 3^n \leq 9 \cdot 3^n$  for  $n > 0$

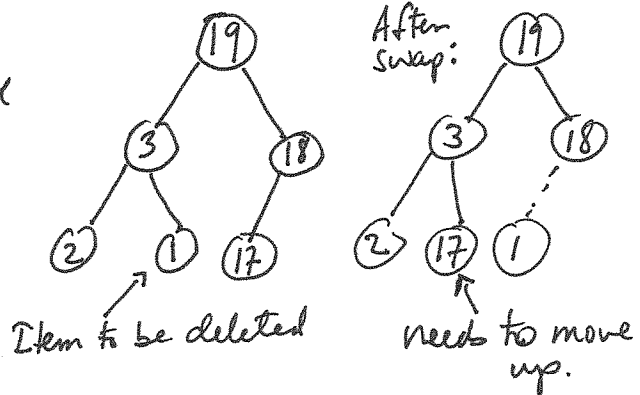
Hence  $3^{n+2} \in O(3^n)$

$3^{2n} = 3^n \cdot 3^n$ . Suppose  $3^n \cdot 3^n \leq c \cdot 3^n$  for some  $c$  and  $n > n_0$ . That would mean  $3^n \leq c$  for  $n > n_0$ .

However, note that  $3^k > k$  for  $k \geq 0$ . So choose  $j \geq \max(c, n_0)$ , and  $3^j > j \geq c$ . So no such  $c$  exists and  $3^{2n} \notin O(3^n)$ .



1C. The strategy is to swap the deleted element with the last element in the heap, then reheapify. A critical observation is that the moved element may need to move upward in the heap to get to the correct position.



There is an existing procedure, Heap-Increase-Key that will "bubble" an element upward. If the new element at  $A[i]$  is greater than the previous element, we ~~call~~ call that procedure. If not, the new element may need to move downward to restore the heap, which Max-Heapify will do.

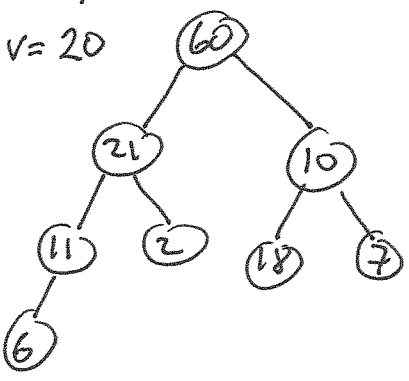
```

Heap-Delete (A, i)
  v ← A[i]
  A[i] ← A[heapsize[A]]
  heapsize[A] ← heapsize[A] - 1
  if A[i] > v
    then Heap-Increase-Key(A, i, A[i])
    else Max-Heapify(A, i)

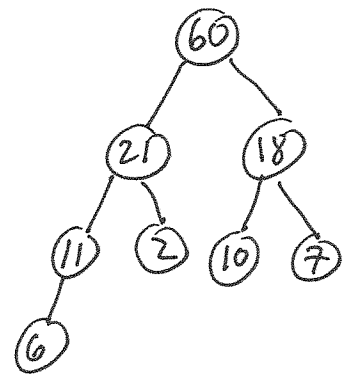
```

Note that which ever branch of the if is taken, the amount of work is bounded by the height of the heap, which is  $O(\lg n)$ .

Example: Suppose we call Heap-Delete (A, 3) where A is as shown in V. of the answer to 1B. Then after the swap and shortening of the heap, we have:



since  $10 \leq 20$ , we call Max-Heapify (A, 3) to get:



1D. (a)  $f(n)$  in  $O(g(n))$  does not imply  $g(n) \in O(f(n))$

C

Counterexample:  $f(n) = n$ ,  $g(n) = n^2$ .

(b)  $f(n)$  in  $O(g(n))$  implies  $O(f(n) + g(n)) = O(g(n))$

- Clearly  $O(g(n)) \subseteq O(f(n) + g(n))$ , since any function  $h(n) \leq c \cdot g(n)$  for  $n > n_0$ , we also have

$h(n) \leq c \cdot (f(n) + g(n))$  for  $n > n_0$ .

- Suppose  $h(n) \in O(f(n) + g(n))$ . Then there is a  $d > 0$  and an  $n_1$  such that  $h(n) \leq d \cdot (f(n) + g(n))$ ,  $n > n_1$ .

Since  $f(n) \in O(g(n))$ , there is a  $e > 0$  and an  $n_2$  such that  $f(n) \leq e \cdot g(n)$  for  $n > n_2$ .

- Let  $b = d \cdot (e + 1)$  and  $n_3 = \max(n_1, n_2)$ . Then

$b \cdot g(n) = d \cdot (e \cdot g(n) + g(n)) \geq d \cdot (f(n) + g(n)) \geq h(n)$ .

So  $h(n) \in O(g(n))$ . Since  $h(n)$  was arbitrary,

$O(f(n) + g(n)) \subseteq O(g(n))$ . Hence  $O(f(n) + g(n)) = O(g(n))$ .

(c)  $f(n)$  in  $O(g(n))$  does not imply  $2^{f(n)}$  in  $O(2^{g(n)})$ .

Counter example  $f(n) = 2n$ ,  $g(n) = n$ . Similar to 1-A, we know that  $2^{2n} \notin O(2^n)$ .

(d)  $f(n) \in O(g(n))$  implies  $\lg f(n) \in O(\lg g(n))$ .

If  $f(n) \in O(g(n))$ , then there are  $c > 0$  and  $n_0$  with  $f(n) \leq c \cdot g(n)$  for  $n > n_0$ . Since  $\lg$  is an increasing function, the inequality is preserved if we take logs of

both sides:  $\lg f(n) \leq \lg(c \cdot g(n)) = \lg c + \lg g(n)$  for  $n > n_0$ .

Let  $d = \lg c + 1$ . Then  $d \cdot (\lg g(n)) = (\lg c) \cdot (\lg g(n)) + \lg g(n) \geq \lg c + \lg g(n) \geq \lg f(n)$  for  $n > n_0$ . So

$\lg f(n) \in O(\lg g(n))$ . [I guess this assumes  $g(n) \geq 2$  for  $n > n_0$ ].

1 E. The list elements need to be turned into (key, list#) pairs.  
 [There can also be a pointer to the record, if it is longer than just the key.] Note that each component comes from a bounded range:  $1 \leq \text{key} \leq m$ ,  $1 \leq \text{list\#} \leq k$ , which should suggest something like counting sort or bucket sort, which are  $O(k+r)$  for  $k$  elements in the range  $1..r$ .

Pseudocode

1. Create a list L of pairs (i, j) where i is the key of a record in list j.  $O(k \cdot n)$
- \* 2. Bucket sort L on the key component  $O(k \cdot n + m)$
- \* 3. Bucket sort L on the list# component  $O(k \cdot n + k) = O(k \cdot n)$
4. Each bucket contains a sorted list in its first components  $O(k \cdot n)$
- \* The sort used needs to be stable: it keeps elements with the same list# in the same order on key.

Complexity:  $O(k \cdot n + m)$  is the dominant cost.

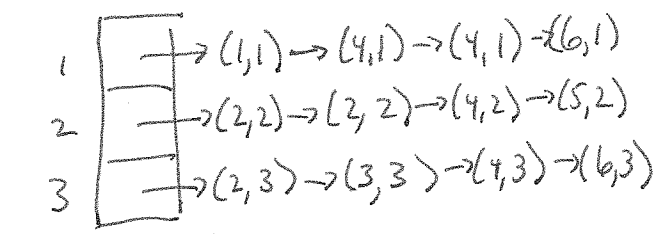
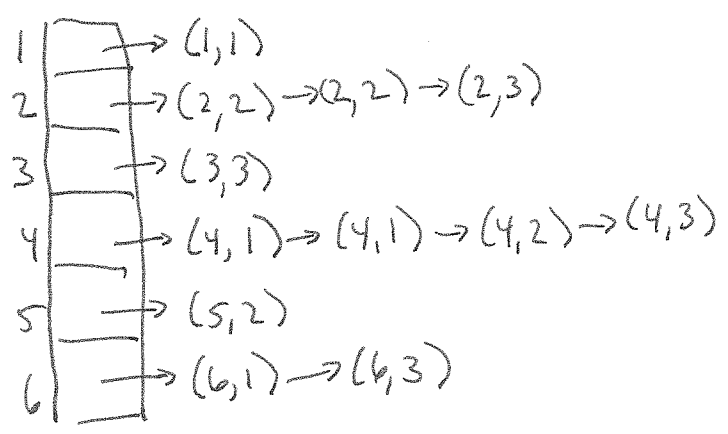
Example:  $m=6, k=3, n=4$

$l_1 = 1, 6, 4, 4$      $l_2 = 2, 5, 2, 4$      $l_3 = 3, 2, 4, 6$

$L = (1,1), (6,1), (4,1), (4,1), (2,2), (5,2), (2,2), (4,2), (3,3), (2,3), (4,3), (6,3)$

Bucket sort 1.

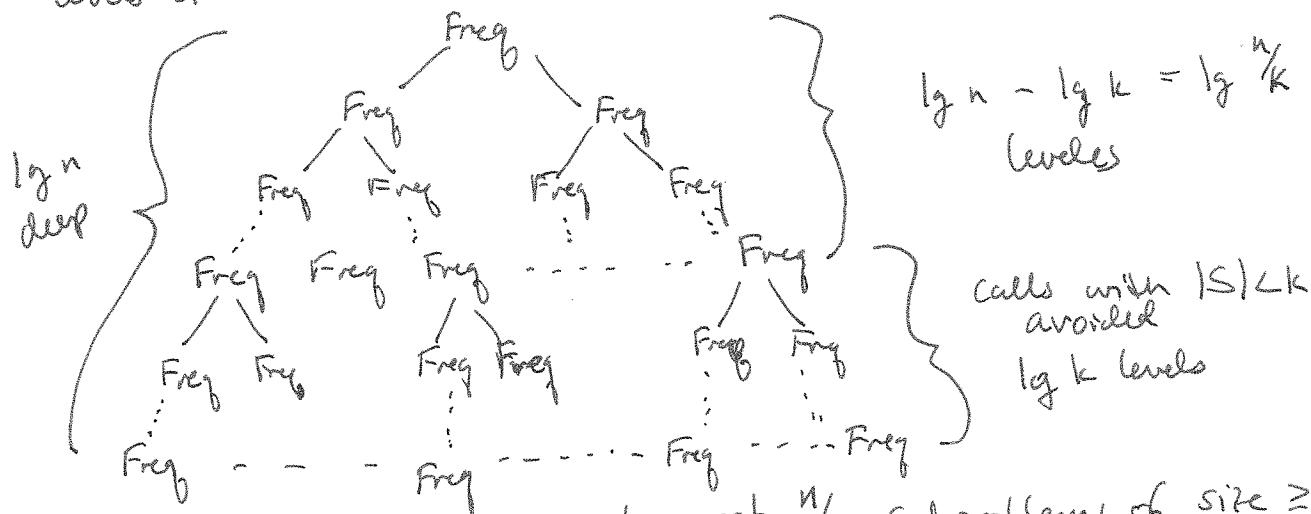
Bucket sort 3.



Sorted lists  
 $l_1 = 1, 4, 4, 6$   
 $l_2 = 2, 2, 4, 5$   
 $l_3 = 2, 3, 4, 6$

1-F There are two changes to the Freq algorithm. One is that we always ~~use~~ use Median(s) to choose  $e$  for splitting  $S$  into  $S_{low}$  and  $S_{high}$ . With just this change, we are guaranteed an  $O(n \lg n)$  algorithm, since the depth of recursion is at most  $\lg n$ , and the combined sizes of subproblems at each level is  $< n$ .

The second change is to always work on the largest remaining subproblem first, and never call a subproblem of size less than the ~~best~~ ~~median~~ most frequent item found so far. This policy guarantees that we will discover  $m$  before we call any problems of size  $\leq k$ . We can use a priority queue (say, implemented as a heap) to find the largest remaining subproblem at any point. The policy cuts off any recursive calls of size  $< k$ , hence the bottom  $\lg k$  levels of the call tree are avoided.



Also note that there are at most  $\frac{n}{k}$  subproblems of size  $\geq k$ , so the heap never gets deeper than  $\lg \frac{n}{k}$  levels. So any Extract-Min or Insert is  $O(\lg \frac{n}{k})$ .

Since the combined size of problems at each level is still  $< n$ , we get the  $O(n \lg \frac{n}{k})$  bound on time.

Example (i) = order of subproblems

