

## January 16, 2013 (Week 2)

- Overview of the three query languages that we are using: relational algebra for sets, relational algebra for bags, SQL
- cross product, join, self join, outer join
  - lecture and then activity 2
  - demo 1 will take place during the activity 2 time
- embedded SQL (i.e., using SQL in a programming language)
  - lecture
  - assignment 2 requires that you use embedded SQL

# Which features are in these languages?

Language	Operators
relational algebra for sets works on sets; produces sets	$\sigma, \pi, \cup, \cap, -, \times, \bowtie, \div$ <i>plus renaming <math>\rho</math></i>
relational algebra for bags works on bags; produces bags	$\sigma, \pi, \cup, \cap, -, \times, \bowtie, \div$ <i>plus renaming <math>\rho</math></i>
extended operators for relational algebra for bags	duplicate elimination: $\delta$ aggregation operators grouping: $\gamma$ extended project (arithmetic) sorting: $\tau$ outerjoin: $\bowtie_L$ or $\bowtie_R$ or $\bowtie$
SQL works on bags; produces bags	all features of extended relational algebra for bags

# What did we cover in Week 1?

Language	Operators
<p>relational algebra for sets</p> <p>works on sets; produces sets</p>	<p><math>\sigma, \pi, \cup, \cap, -, \times, \bowtie, \div</math></p> <p><i>plus renaming <math>\rho</math></i></p>
<p>relational algebra for bags</p> <p>works on bags; produces bags</p>	<p><math>\sigma, \pi, \cup, \cap, -, \times, \bowtie, \div</math></p> <p><i>plus renaming <math>\rho</math></i></p>
<p>extended operators for relational algebra for bags</p> <p>features equivalent to <math>\sigma, \pi, \cup, \cap, -, \times</math> for sets</p>	<p>duplicate elimination: <math>\delta</math></p> <p>aggregation operators</p> <p>grouping: <math>\gamma</math></p> <p>extended project (arithmetic)</p> <p>sorting: <math>\tau</math></p> <p>outerjoin: <math>\bowtie_L</math> or <math>\bowtie_R</math> or <math>\bowtie</math></p>
<p>SQL</p> <p>works on bags; produces bags</p>	<p>all features of extended relational algebra for bags</p>

# What will we cover in Week 2 (tonight)?

Language	Operators
<p>relational algebra for sets</p> <p>works on sets; produces sets</p>	<p><math>\sigma, \pi, \cup, \cap, -, \times, \bowtie, \div</math></p> <p>plus renaming <math>\rho</math></p>
<p>relational algebra for bags</p> <p>works on bags; produces bags</p>	<p><math>\sigma, \pi, \cup, \cap, -, \times, \bowtie, \div</math></p> <p>plus renaming <math>\rho</math></p>
<p>extended operators for relational algebra for bags</p> <p>join, left outer join, right outer join, full outer join</p>	<p>duplicate elimination: <math>\delta</math></p> <p>aggregation operators</p> <p>grouping: <math>\gamma</math></p> <p>extended project (arithmetic)</p> <p>sorting: <math>\tau</math></p> <p>outerjoin: <math>\bowtie_L</math> or <math>\bowtie_R</math> or <math>\bowtie</math></p>
<p>SQL</p> <p>works on bags; produces bags</p>	<p>all features of extended relational algebra for bags</p>

# Cross product, join, self join, outer join

# X cross product operator produces every possible combination

Teacher	t-num	t-name
	101	Smith
	105	Jones
	110	Fong

Course	c-num	c-name
	586	Intro to DB
	533	Intro to OS

## Teacher X Course

t-num	t-name	c-num	c-name
101	Smith	586	Intro to DB
105	Jones	586	Intro to DB
110	Fong	586	Intro to DB
101	Smith	533	Intro to OS
105	Jones	533	Intro to OS
110	Fong	533	Intro to OS

Cross product  
produces:  
every possible  
combination of  
a teacher and  
a course

## Cross Product an operator from set theory

Suppose..  $A = \{a, b, c\}$   $B = \{1, 2\}$

then in *set theory*, the cross product is defined as:

$$A \times B = \{(a, 1), (b, 1), (c, 1), (a, 2), (b, 2), (c, 2)\}$$

$A \times B$  is a set consisting of pairs (2-tuples) where each pair consists of an element from A and an element from B

# Cross Product in Set Theory

Suppose..  $A = \{a, b, c\}$   $B = \{1, 2\}$   $C = \{x, y\}$  then

$$A \times B = \{(a, 1), (b, 1), (c, 1), (a, 2), (b, 2), (c, 2)\}$$

and  $(A \times B) \times C =$

$$\{((a,1),x), ((b,1),x), ((c,1),x), ((a,2),x), ((b,2),x), ((c,2),x), \\ ((a,1),y), ((b,1),y), ((c,1),y), ((a,2),y), ((b,2),y), ((c,2),y)\}$$

## Cross Product in Relational Algebra vs. Set Theory

Given  $A = \{a, b, c\}$   $B = \{1, 2\}$   $C = \{x, y\}$

then  $(A \times B) \times C$ , in *set theory*, =

$\{((a,1),x), ((b,1),x), ((c,1),x), ((a,2),x), ((b,2),x), ((c,2),x),$   
 $((a,1),y), ((b,1),y), ((c,1),y), ((a,2),y), ((b,2),y), ((c,2),y)\}$

Codd simplified it in *relational algebra* to:

$\{(a,1,x), (b,1,x), (c,1,x), (a,2,x), (b,2,x), (c,2,x), (a,1,y), (b,1,y),$   
 $(c,1,y), (a,2,y), (b,2,y), (c,2,y)\}$

by eliminating parentheses...."flattening" the tuples.

## Same slide with color eliminated

Given  $A = \{a, b, c\}$   $B = \{1, 2\}$   $C = \{x, y\}$  with the cross product

**$(A \times B) \times C$  in set theory =**

$\{((a,1),x), ((b,1),x), ((c,1),x), ((a,2),x), ((b,2),x), ((c,2),x),$   
 $((a,1),y), ((b,1),y), ((c,1),y), ((a,2),y), ((b,2),y), ((c,2),y)\}$

**$(A \times B) \times C$  in relational algebra (as implied by Codd):**

$\{(a,1,x), (b,1,x), (c,1,x), (a,2,x), (b,2,x), (c,2,x), (a,1,y), (b,1,y),$   
 $(c,1,y), (a,2,y), (b,2,y), (c,2,y)\}$

by eliminating parentheses...."flattening" the tuples.

Account Number	Owner	Balance	Type
101	J. Smith	1000.00	checking
102	W. Wei	2000.00	checking
103	J. Smith	5000.00	savings
104	M. Jones	1000.00	checking
105	H. Martin	10,000.00	checking

Deposit			
Account	T-id	Date	Amount
102	1	10/22/00	500.00
102	2	10/29/00	200.00
104	3	10/29/00	1000.00
105	4	11/02/00	10,000.00

$\sigma_{\text{Number} = \text{Account}}(\text{Account X Deposit})$

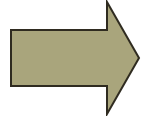
Cross product followed by select.

<b>Account</b>			
Number	Owner	Balance	Type
101	J. Smith	1000.00	checking
102	W. Wei	2000.00	checking
103	J. Smith	5000.00	savings
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<b>Deposit</b>			
Account	T-id	Date	Amount
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102	2	10/29/00	200.00
104	3	10/29/00	1000.00
105	4	11/02/00	10,000.00

No! Throw it away.

$\sigma$  Number = Account (Account X Deposit)



notice the columns

Number	Owner	Balance	Type	Account	T-id	Date	Amount

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No! Throw it away.

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Yes! Place in query answer.

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All combinations fail! →

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Yes!

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102	1	10/22/00	500.00
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104	3	10/29/00	1000.00
105	4	11/02/00	10,000.00

The first three fail.

$\sigma_{\text{Number} = \text{Account}}$  (Account X Deposit)

Number	Owner	Balance	Type	Account	T-id	Date	Amount
102	W. Wei	2000.00	checking	102	1	10/22/00	500.00
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Account	T-id	Date	Amount
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104	3	10/29/00	1000.00
105	4	11/02/00	10,000.00

Yes! Place in query answer.  
Final answer:

$\sigma_{\text{Number} = \text{Account}}(\text{Account X Deposit})$

Number	Owner	Balance	Type	Account	T-id	Date	Amount
102	W. Wei	2000.00	checking	102	1	10/22/00	500.00
102	W. Wei	2000.00	checking	102	2	10/29/00	200.00
104	M. Jones	1000.00	checking	104	3	10/29/00	1000.00
105	H. Martin	10,000.00	checking	105	4	11/02/00	10,000.00

## ⋈ join operator (defined using $\sigma$ and X)

Account	Number	Owner	Balance	Type
Deposit	Account	Transaction-id	Date	Amount
Check	Account	Check-number	Date	Amount

$\sigma_{A.Number=Deposit.Account}$  (Account X Deposit)

is equivalent to

Account  $\bowtie_{A.Number=Deposit.Account}$  Deposit

# Basic Theta Join $\equiv$ INNER JOIN

This query with INNER JOIN:

```
SELECT      C.Name, S.Name
FROM        Customer C INNER JOIN Salesperson S ON
           C.Salesperson = S.Number;
```

is equivalent to this query:

```
SELECT      C.Name, S.Name
FROM        Customer C JOIN Salesperson S ON
           C.Salesperson = S.Number;
```

And equivalent to this one – with the join condition in WHERE:

```
SELECT      C.Name, S.Name
FROM        Customer C, Salesperson S
WHERE       C.Salesperson = S.Number;
```

# Equivalent queries: SQL and relational algebra

Customer(Number, Name, Address, CRating, CAmount, CBalance, Salesperson)  
Salesperson(Number, Name, Address, Office)

```
SELECT DISTINCT C.Name, S.Name  
FROM Customer C JOIN Salesperson S ON C.Salesperson = S.Number  
WHERE C.CRating < 6;
```

$$\pi_{C.Name, S.Name}(\sigma_{C.CRating < 6}(\text{Customer } C \bowtie_{C.Salesperson=S.Number} \text{Salesperson } S))$$

```
SELECT DISTINCT C.Name, S.Name  
FROM Customer C, Salesperson S  
WHERE C.Salesperson = S.Number AND C.CRating < 6;
```

$$\pi_{C.Name, S.Name}(\sigma_{C.CRating < 6 \text{ AND } C.Salesperson=S.Number}(\text{Customer } C \times \text{Salesperson } S))$$

## Join .. with all six comparators

- Student  $\bowtie_{\text{advisor=number}}$  Faculty
- Student S  $\bowtie_{\text{S.age} < \text{F.age}}$  Faculty F
- Student S  $\bowtie_{\text{S.salary} \geq \text{F.salary}}$  Faculty F etc.
- Join is sometimes called “theta-join” or “ $\theta$ -join” where the  $\theta$  represents any of the 6 comparators ( $<$ ,  $>$ ,  $=$ ,  $\neq$ ,  $\leq$ ,  $\geq$ )  
(In PostgreSQL the 6 comparators are ( $<$ ,  $>$ ,  $=$ ,  $\neq$  or  $<>$ ,  $\geq$ ,  $\leq$ ).
- The most common join (with equality) is called equi-join.

## Extensions to the FROM clause - Joins

There are a number of join types that can be expressed in the WHERE clause:

- inner **join** (the regular join)
- **cross join** (this is a cross product)
- **natural join** (don't use this in practice)
- **left outer join**
- **right outer join**
- **full outer join**

the words “inner” and “outer” are not needed.

# Consider this join

sailors			
sid	sname	rating	age
22	Dustin	7	45
29	Brutus	1	33
31	Lubber	8	55.5
32	Andy	8	25.5
58	Rusty	10	35
64	Horatio	7	35
71	Zorba	10	16
74	Horatio	9	40
85	Art	3	25.5
95	Bob	3	63.5

reserves		
sid	bid	day
22	101	10/10/98
22	102	10/10/98
22	103	10/8/98
22	104	10/7/98
31	102	11/10/98
31	103	11/6/98
31	104	11/12/98
64	101	9/5/98
64	102	9/8/98
74	103	9/8/98

boats		
bid	bname	color
101	Interlake	blue
102	Interlake	red
103	Clipper	green
104	Marine	red

What is the query  
answer:

```
SELECT sname, day  
FROM sailors JOIN  
      reserves  
      ON  
(sailors.sid = reserves.sid)
```

# Which sailors are omitted from this join? Why?

sailors			
sid	sname	rating	age
22	Dustin	7	45
29	Brutus	1	33
31	Lubber	8	55.5
32	Andy	8	25.5
58	Rusty	10	35
64	Horatio	7	35
71	Zorba	10	16
74	Horatio	9	40
85	Art	3	25.5
95	Bob	3	63.5

reserves		
sid	bid	day
22	101	10/10/98
22	102	10/10/98
22	103	10/8/98
22	104	10/7/98
31	102	11/10/98
31	103	11/6/98
31	104	11/12/98
64	101	9/5/98
64	102	9/8/98
74	103	9/8/98

boats		
bid	bname	color
101	Interlake	blue
102	Interlake	red
103	Clipper	green
104	Marine	red

What is the query  
answer:  
SELECT sname, day  
FROM sailors JOIN  
reserves  
ON  
(sailors.sid = reserves.sid)

# What if you want ALL sailors in the answer?

- You can use a LEFT OUTER JOIN

Regular (inner join):

```
SELECT *  
FROM sailors JOIN reserves USING (sid)
```

Left outer join

```
SELECT *  
FROM sailors LEFT JOIN reserves USING (sid)
```

You get all sailors-reserves pairs that join plus all sailors that don't join.

What about the attribute values for boats for sailors that don't match? They are the **null** value.

# JOIN vs. LEFT JOIN in PostgreSQL

```
select *
from   sailors S JOIN
       reserves R using (sid)
```

sid	sname	rating	age	bid	day
22	Dustin	7	45	102	1998-10-10
22	Dustin	7	45	103	1998-10-08
22	Dustin	7	45	104	1998-10-07
31	Lubber	8	55.5	102	1998-11-10
31	Lubber	8	55.5	103	1998-11-06
31	Lubber	8	55.5	104	1998-11-12
64	Horatio	7	35	101	1998-09-05
64	Horatio	7	35	102	1998-09-08
74	Horatio	9	35.5	103	1998-09-08
22	Dustin	7	45	101	1998-10-10

```
select *
from   sailors S LEFT JOIN
       reserves R using (sid)
```

sid	sname	rating	age	bid	day
22	Dustin	7	45	101	1998-10-10
22	Dustin	7	45	102	1998-10-10
22	Dustin	7	45	103	1998-10-08
22	Dustin	7	45	104	1998-10-07
29	Brutus	1	33	NULL	NULL
31	Lubber	8	55.5	102	1998-11-10
31	Lubber	8	55.5	103	1998-11-06
31	Lubber	8	55.5	104	1998-11-12
32	Andy	8	25.5	NULL	NULL
58	Rusty	10	35.5	NULL	NULL
64	Horatio	7	35	101	1998-09-05
64	Horatio	7	35	102	1998-09-08
71	Zorba	10	16	NULL	NULL
74	Horatio	9	35.5	103	1998-09-08
85	Art	3	25.5	NULL	NULL
95	Bob	3	63.5	NULL	NULL

# (INNER) JOIN vs. LEFT OUTER JOIN

Customer

Number	Name	Address	CRating	CAmount	CBalance	Salesperson
1	smith	xxx	5	1,000	1,000	101
2	jones	yyy	7	5,000	4,000	101
3	wei	zzz	10	10,000	10,000	<null>

Salesperson

Number	Name	Address	Office
101	johnson	aaa	23
102	milller	bbb	26

Customer C INNER JOIN Salesperson S  
on C.Salesperson = S.Number gives us:

1 smith xxx 5 1,000 1,000 101 101 johnson aaa 23  
1 jones yyy 7 5,000 4,000 101 101 johnson aaa 23

Customer C LEFT OUTER JOIN Salesperson S  
on C.Salesperson = S.Number gives us:

1 smith xxx 5 1,000 1,000 101 101 johnson aaa 23  
1 jones yyy 7 5,000 4,000 101 101 johnson aaa 23  
3 wei zzz 10 10,000 10,000 <null> <null> <null> <null> <null>

# (INNER) JOIN vs. RIGHT OUTER JOIN

Customer

Number	Name	Address	CRating	CAmount	Cbalance	Salesperson
1	smith	xxx	5	1,000	1,000	101
2	jones	yyy	7	5,000	4,000	101
3	wei	zzz	10	10,000	10,000	<null>

Salesperson

Number	Name	Address	Office
101	johnson	aaa	23
102	milller	bbb	26

Customer C INNER JOIN Salesperson S  
on C.Salesperson = S.Number gives:

1 smith xxx 5 1,000 1,000 101 101 johnson aaa 23  
1 jones yyy 7 5,000 4,000 101 101 johnson aaa 23

Customer C RIGHT OUTER JOIN Salesperson S  
on C.Salesperson = S.Number gives:

1 smith xxx 5 1,000 1,000 101 101 johnson aaa 23  
1 jones yyy 7 5,000 4,000 101 101 johnson aaa 23  
<null><null><null><null><null><null><null> 102 milller bbb 26

# (INNER) JOIN vs. FULL OUTER JOIN

Customer

Number	Name	Address	CRating	CAmount	CBalance	Salesperson
1	smith	xxx	5	1,000	1,000	101
2	jones	yyy	7	5,000	4,000	101
3	wei	zzz	10	10,000	10,000	<null>

Salesperson

Number	Name	Address	Office
101	johnson	aaa	23
102	miller	bbb	26

Customer C INNER JOIN Salesperson S  
on C.Salesperson = S.Number gives us:

1 smith xxx 5 1,000 1,000 101 101 johnson aaa 23  
1 jones yyy 7 5,000 4,000 101 101 johnson aaa 23

Customer C FULL OUTER JOIN Salesperson S  
on C.Salesperson = S.Number gives us:

1 smith xxx 5 1,000 1,000 101 101 johnson aaa 23  
1 jones yyy 7 5,000 4,000 101 101 johnson aaa 23  
3 wei zzz 10 10,000 10,000 <null><null><null><null><null>  
<null><null><null><null><null><null> <null> 102 miller bbb 26

## INNER JOIN vs OUTER JOIN

an INNER (regular) JOIN includes only those customers that have salespersons; an INNER JOIN includes **only the matches**

a LEFT OUTER JOIN includes **all matches** plus all Customers that don't have a Salesperson ....

a RIGHT OUTER JOIN includes **all matches** plus all Salespersons that are not assigned to any customers

a FULL OUTER JOIN will include all of these!

# LEFT OUTER JOIN Exercise

Student

Number	Name	Age	Advisor
1	Bob	22	102
2	Sally	19	<null>
3	Ted	19	101

Faculty

Number	Name	Age
101	Todd	35
102	Beth	22
103	Anya	<null>

SELECT \*

FROM Student S LEFT OUTER JOIN Faculty F  
ON S.Advisor = F.Number

Number	Name	Age	Advisor	Number	Name	Age

# Another LEFT OUTER JOIN Exercise

Student				Faculty		
Number	Name	Age	Advisor	Number	Name	Age
1	Bob	22	102	101	Todd	35
2	Sally	19	<null>	102	Beth	22
3	Ted	19	101	103	Anya	<null>

```
SELECT S.Name AS SName, F.Name AS FName
FROM Student S LEFT OUTER JOIN Faculty F
      ON S.Advisor = F.Number
WHERE F.Name <> 'Beth'
```

SName	FName

# RIGHT OUTER JOIN Exercise

Student

Number	Name	Age	Advisor
1	Bob	22	102
2	Sally	19	<null>
3	Ted	19	101

Faculty

Number	Name	Age
101	Todd	35
102	Beth	22
103	Anya	<null>

SELECT \*

FROM Student S RIGHT OUTER JOIN Faculty F

ON S.Advisor = F.Number

--	--	--	--	--	--	--

# FULL OUTER JOIN Exercise

Student				Faculty		
Number	Name	Age	Advisor	Number	Name	Age
1	Bob	22	102	101	Todd	35
2	Sally	19	<null>	102	Beth	22
3	Ted	19	101	103	Anya	<null>

SELECT \*

FROM Student S FULL OUTER JOIN Faculty F

ON S.Age = F.Age

--	--	--	--	--	--	--	--

# CROSS JOIN

A “CROSS JOIN” is a cross product

The following queries are equivalent:

```
SELECT *  
FROM Customer, Salesperson;
```

```
SELECT *  
FROM Customer CROSS JOIN Salesperson;
```

Write this query in relational algebra.

# NATURAL JOIN

NATURAL JOIN ... like a “macro” that joins tables with an equality check for all attributes **with the same name**.

Consider the following database

Course (CNumber, CName, Description)

Teacher (TNumber, TName, Phone)

Offering (CNumber, TNumber, Time, Days, Room)

# Natural Join

List course name and teacher name for all course offerings. This query can be expressed with the NATURAL JOIN or with an INNER JOIN.

These two queries are equivalent.

```
SELECT      CName, TName
FROM        Course NATURAL JOIN Offering
           NATURAL JOIN Teacher;
```

```
SELECT      CName, TName
FROM        Course C, Offering O, Teacher T
WHERE       C.CNumber = O.CNumber AND
           O.TNumber = T.TNumber;
```

Because the join attributes have the same attribute names.

## What if course name and teacher name had the same attribute: name?

Course (CNumber, Name, Description)

Teacher (TNumber, Name, Phone)

Offering (CNumber, TNumber, Time, Days, Room)

```
SELECT *  
FROM Course NATURAL JOIN Offering NATURAL JOIN Teacher;
```

would join O.CNumber to C.CNumber AND  
O.TNumber AND C.Name to T.Name!!!

# Natural join

- Joins two relations by checking for equality on all pairs of attributes with the same name.
- Eliminates duplicate columns from query answer.
- This is **risky**; your queries might change if you change your schema. (If you use natural join in SQL queries.)  
This is great for textbooks – queries are simpler.

## row value constructors in SQL:1999

- A row value constructor allows you to create a row “on the fly” for example:

WHERE (E.Lname, E.Fname) = (S.Lname, S.Fname)

Each of these are rows...

and the equality comparison is doing a pair-wise comparison on the two rows.

## table value constructors in SQL:1999

- You can also create a table “on the fly”

**VALUES** row-value-expr, ..., row-value-expr

Example:

```
INSERT INTO movie_stars
```

```
VALUES (“Rocky Horror Picture Show”, 1977, “Curry, Tim”),  
      (“Rocky V”, 1984, “Stallone, Sylvester”);
```

- The part shown in RED font is a table value constructor

## What about row/table constructors in relational algebra?

If you need to have either a row or a relation, you can just define it and then use it in relational algebra.

You could say something like:

Let  $R = \{("John", 5, "male"), ("Sue", 6, "female")\}$

or let R be the table

Name	Age	Gender
John	5	male
Sue	6	female

and then use R in expressions ... like  $R \times \text{Student}$  ...or whatever