

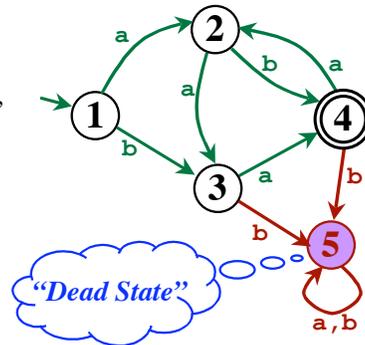
## Reducing a DFA to a Minimal DFA

**Input:** DFA<sub>IN</sub>  
Assume DFA<sub>IN</sub> never “gets stuck”  
(add a dead state if necessary)

**Output:** DFA<sub>MIN</sub>  
An equivalent DFA with the minimum number of states.

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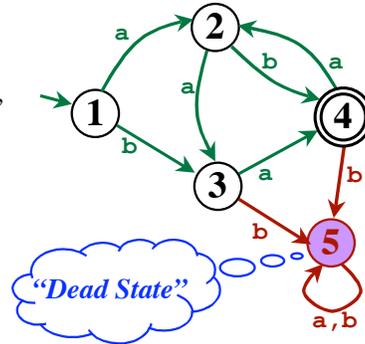


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Output:

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An equivalent DFA with the minimum number of states.

Approach:

Merge two states if they effectively do the same thing.  
“Do the same thing?”  
At EOF, is DFA<sub>IN</sub> in an accepting state or not?

### Sufficiently Different States

Merge states, if at all possible.

Are two states “*sufficiently different*”  
... that they cannot be merged?

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starting at *s*, given characters *w*, the DFA ends up accepting,  
... but starting at *t*, the DFA does not accept.

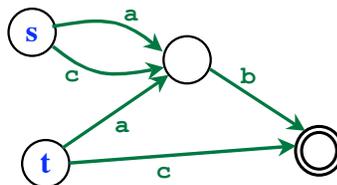
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Example:



“*ab*” does not distinguish *s* and *t*.  
But “*c*” distinguishes *s* and *t*.  
Therefore, *s* and *t* cannot be merged.

### Partitioning a Set

A partitioning of a set...

...breaks the set into non-overlapping subsets.  
 (The partition breaks the set into “groups”)

Example:

$S = \{A, B, C, D, E, F, G\}$

$\Pi = \{(A\ B)\ (C\ D\ E\ F)\ (G)\}$

$\Pi_2 = \{(A)\ (B\ C)\ (D\ E\ F\ G)\}$

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We can “refine” a partition...

$\Pi_i = \{(A\ B\ C)\ (D\ E)\ (F\ G)\}$



$\Pi_{i+1} = \{(A\ C)\ (B)\ (D)\ (E)\ (F\ G)\}$

Note:

$\{(\dots)\ (\dots)\ (\dots)\}$  means  $\{\{\dots\}, \{\dots\}, \{\dots\}\}$

## Hopcroft's Algorithm

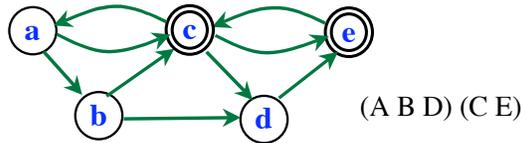
Consider the set of states.

Partition it...

- Final States
- All Other States

Repeatedly “refine” the partitioning.

Two states will be placed in different groups  
 ... If they can be “distinguished”



Repeat until no group contains states that can be distinguished.

Each group in the partitioning becomes one state in a newly constructed DFA

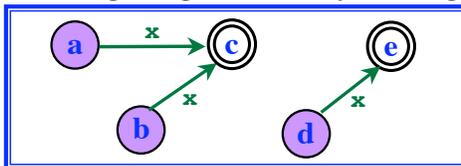
DFA<sub>MIN</sub> = The minimal DFA

## How to Refine a Partitioning?

$$\Pi_1 = \{ \underbrace{(A \ B \ D)}_{P_1} \ \underbrace{(C \ E)}_{P_2} \}$$

Consider one group... (A B D)

Look at output edges on some symbol (e.g., “x”)

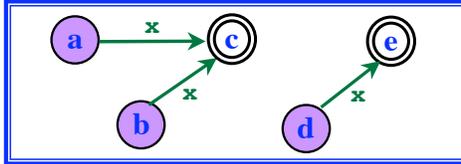


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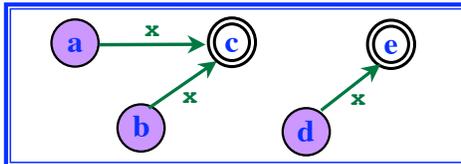
On "x", all states in  $P_1$  go to states belonging to the same group.

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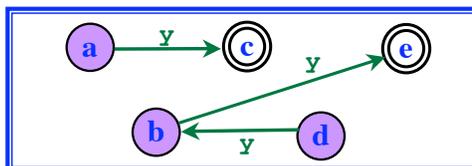
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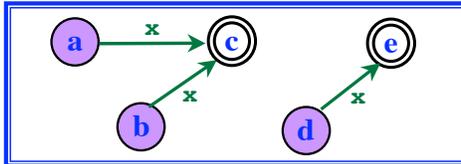
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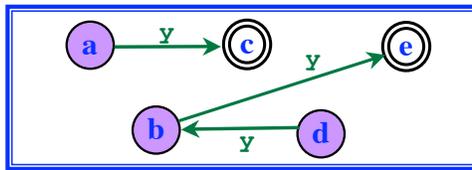
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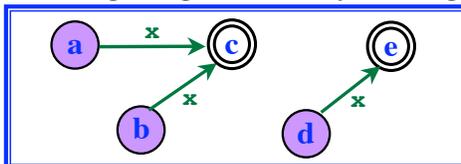
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D is distinguished from A and B!

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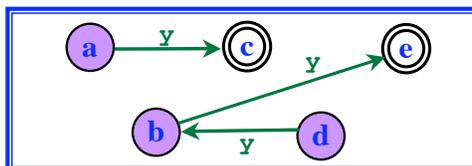
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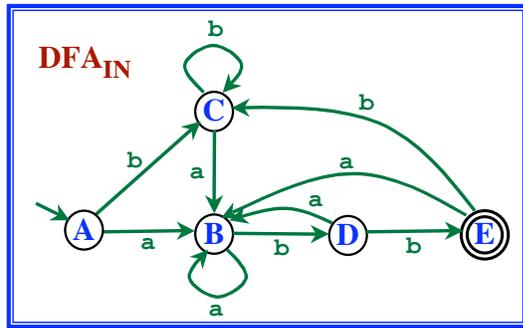
Now consider another symbol (e.g., "y")  
D is distinguished from A and B!

So **refine** the partition!

$$\Pi_{i+1} = \{ \underbrace{(A \ B)}_{P_3} \ \underbrace{(D)}_{P_4} \ \underbrace{(C \ E)}_{P_2} \}$$

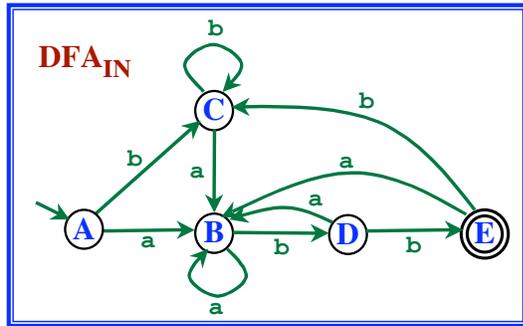
**Example**

Initial Partitioning:  $\Pi_1 = (A B C D) (E)$



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Consider (A B C D)



Consider (E)

Lexical Analysis - Part 4

**Example**

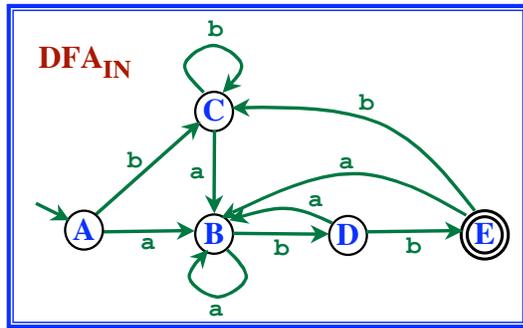
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Consider "a"

Consider "b"

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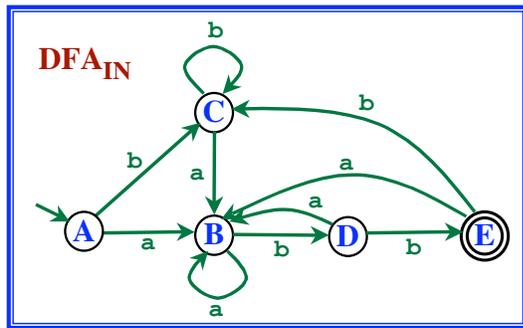
Consider (A B C D)

Consider "a"

Break apart?

Consider "b"

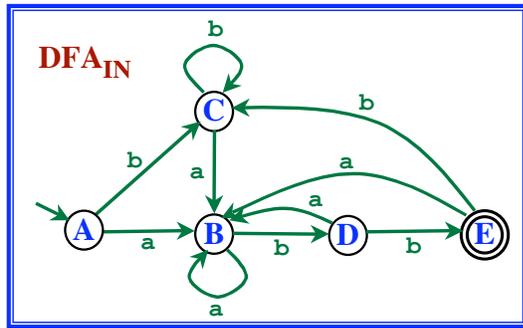
Consider (E)



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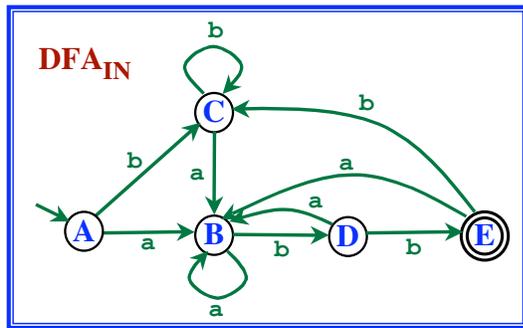
Initial Partitioning:  $\Pi_1 = (A B C D) (E)$   
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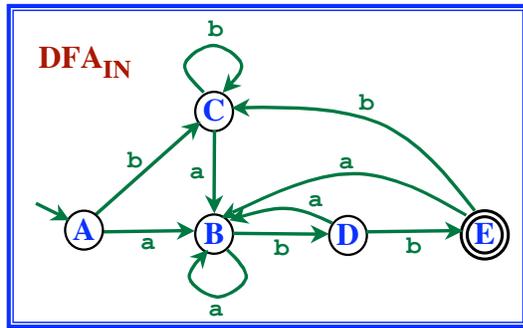
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Lexical Analysis - Part 4

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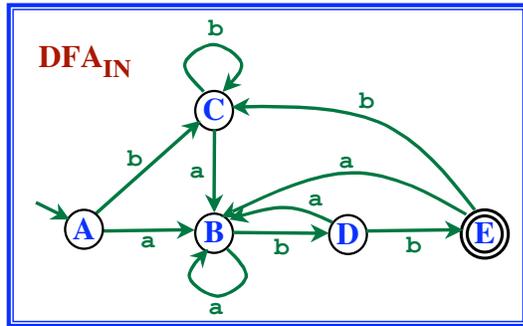
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Consider (E)  
  Not possible to break apart.



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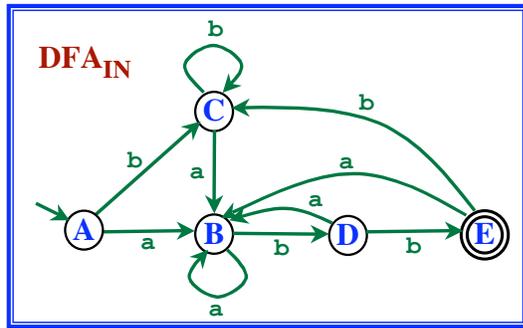
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New Partitioning:  $\Pi_2 = (A B C) (D) (E)$



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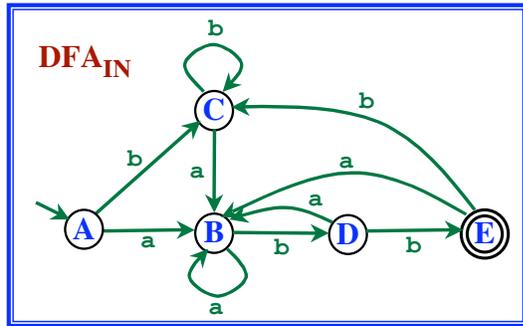
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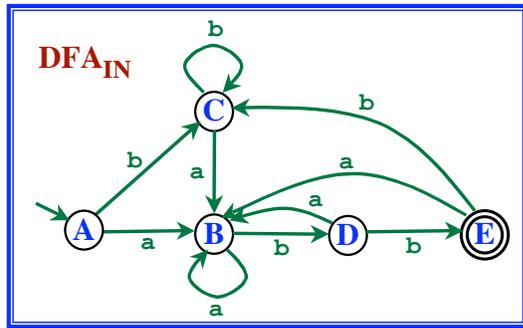
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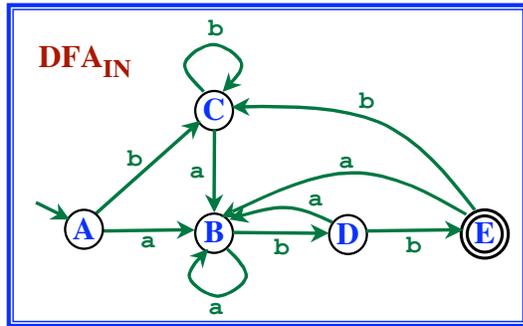
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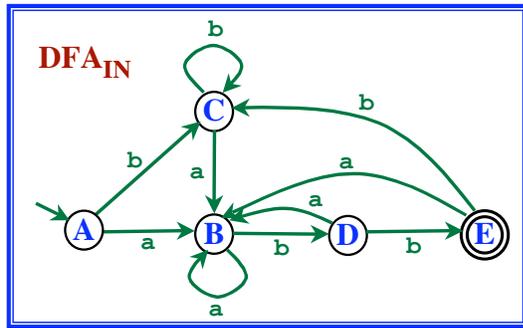
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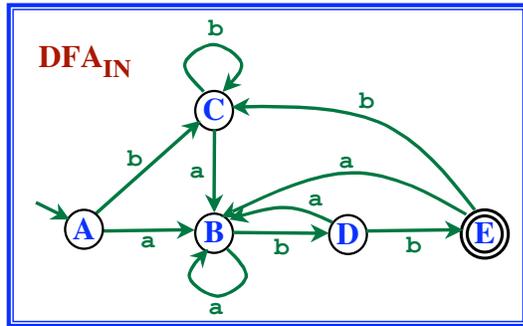
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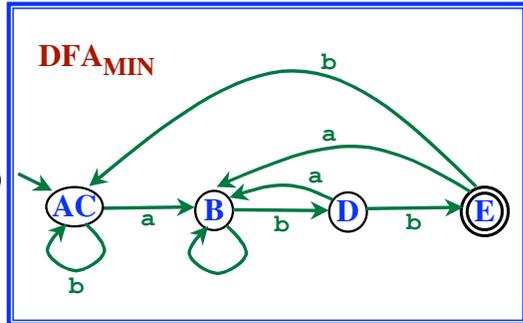
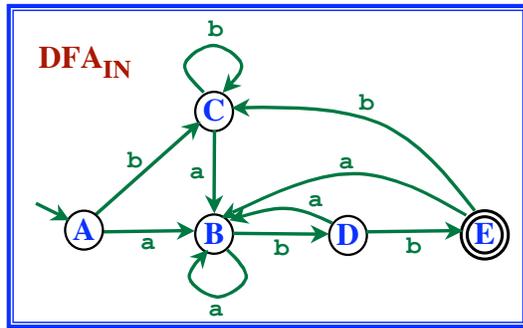
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**Hopcroft's Algorithm**

Add dead state and transitions to it if necessary.  
 (Now, every state has an outgoing edge on every symbol.)

$\Pi$  = initial partitioning  
loop  
 $\Pi_{NEW} = \text{Refine}(\Pi)$   
if ( $\Pi_{NEW} = \Pi$ ) then break  
 $\Pi = \Pi_{NEW}$   
endLoop

### Hopcroft's Algorithm

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     $\Pi$  = initial partitioning
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         $\Pi_{NEW}$  = Refine ( $\Pi$ )
        if ( $\Pi_{NEW}$  =  $\Pi$ ) then break
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    endLoop
    
```

Construct DFA<sub>MIN</sub>

- Each group in  $\Pi$  becomes a state

### Hopcroft's Algorithm

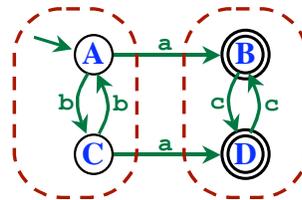
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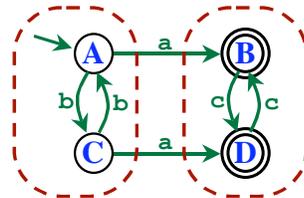
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Construct  $DFA_{MIN}$

- Each group in  $\Pi$  becomes a state
- Choose one state in each group (throw all other states away)
- Preserve the edges out of the chosen state



### Hopcroft's Algorithm

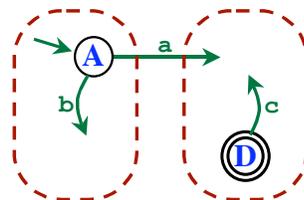
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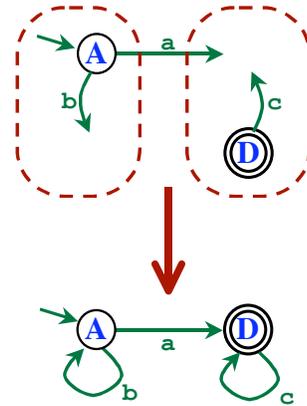
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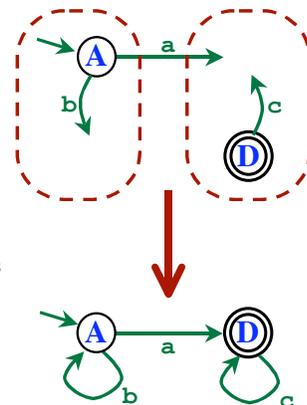
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- Each group in  $\Pi$  becomes a state
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  - Deal with start state and final states



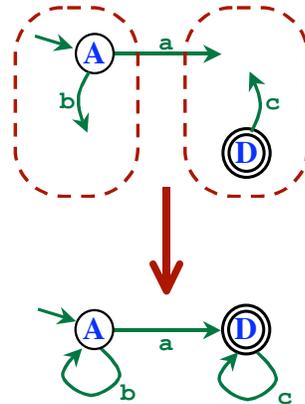
### Hopcroft's Algorithm

Add dead state and transitions to it if necessary.  
 (Now, every state has an outgoing edge on every symbol.)

```

Π = initial partitioning
loop
  ΠNEW = Refine (Π)
  if (ΠNEW = Π) then break
  Π = ΠNEW
endLoop
    
```

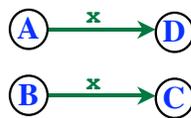
- Construct DFA<sub>MIN</sub>
- Each group in Π becomes a state
  - Choose one state in each group (throw all other states away)
  - Preserve the edges out of the chosen state
  - Deal with start state and final states
  - If desired...
    - Remove dead state
    - Remove any state unreachable from the start state



### Π<sub>NEW</sub> = Refine (Π)

```

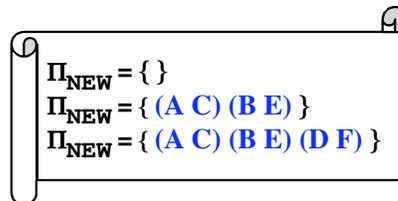
ΠNEW = {}
for each group G in Π do
  Example: Π = (A B C E) (D F)
  Break G into sub-groups
  (A B C E) → (A C) (B E)
  as follows:
  Put S and T into different subgroups if...
  For any symbol a ∈ Σ, S and T go to states
  in two different groups in Π
    
```



*Must split A and B into different groups*

```

Add the sub-groups to ΠNEW
endFor
return ΠNEW
    
```



Summarizing...

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- Regular Expressions to Describe Tokens

**Summarizing...**

- Regular Expressions to Describe Tokens
- Algorithm: Regular Expression  $\rightarrow$  NFA

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- Algorithm: Regular Expression  $\rightarrow$  NFA
- Algorithm for Simulating NFA

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- Algorithm for Simulating NFA
- Algorithm: NFA  $\rightarrow$  DFA
- Algorithm: DFA  $\rightarrow$  Minimal DFA

### Summarizing...

- Regular Expressions to Describe Tokens
- Algorithm: Regular Expression  $\rightarrow$  NFA
- Algorithm for Simulating NFA
- Algorithm: NFA  $\rightarrow$  DFA
- Algorithm: DFA  $\rightarrow$  Minimal DFA
- Algorithm for Simulating DFA

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- Regular Expressions to Describe Tokens
- Algorithm: Regular Expression  $\rightarrow$  NFA
- Algorithm for Simulating NFA
- Algorithm: NFA  $\rightarrow$  DFA
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  - *Fast:*
    - Get Next Char
    - Evaluate Move Function  
e.g., Array Lookup
    - Change State Variable
    - Test for Accepting State
    - Test for EOF
    - Repeat

### Summarizing...

- Regular Expressions to Describe Tokens
- Algorithm: Regular Expression → NFA
- Algorithm for Simulating NFA
- Algorithm: NFA → DFA
- Algorithm: DFA → Minimal DFA
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  - Fast:**
    - Get Next Char
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    - Change State Variable
    - Test for Accepting State
    - Test for EOF
    - Repeat
- Scanner Generators
 

*Create an efficient Lexer from regular expressions!*

### Scanner Generator: LEX

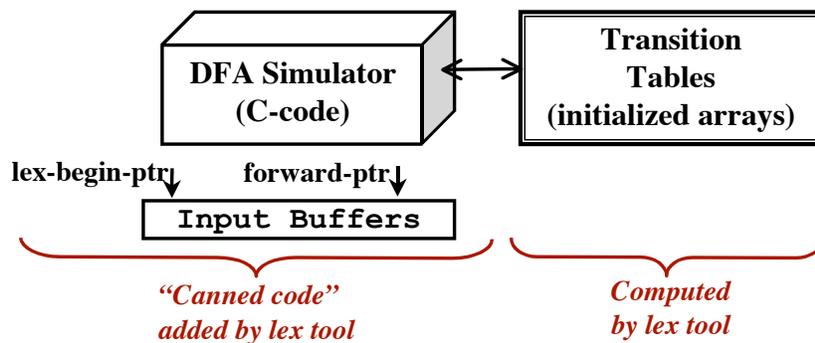
Input:

```

r1   { action1 }
r2   { action2 }
...
rN   { actionn }
    
```

Requirements:

- Choose the largest lexeme that matches.
- If more than one  $r_i$  matches, choose the first one.



## Lexical Analysis - Part 4

### Input:

a { Action-1 }  
abb { Action-2 }  
a\*b+ { Action-3 }

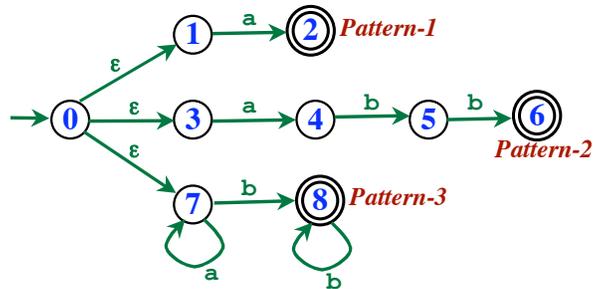
## Lexical Analysis - Part 4

### Input:

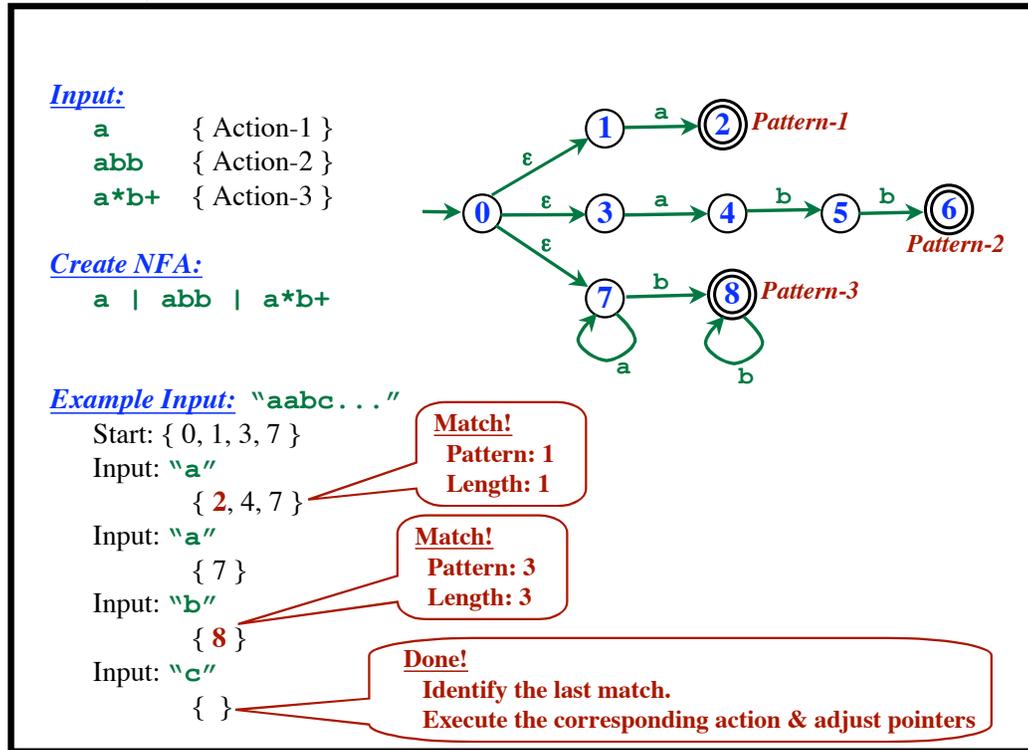
a { Action-1 }  
abb { Action-2 }  
a\*b+ { Action-3 }

### Create NFA:

a | abb | a\*b+



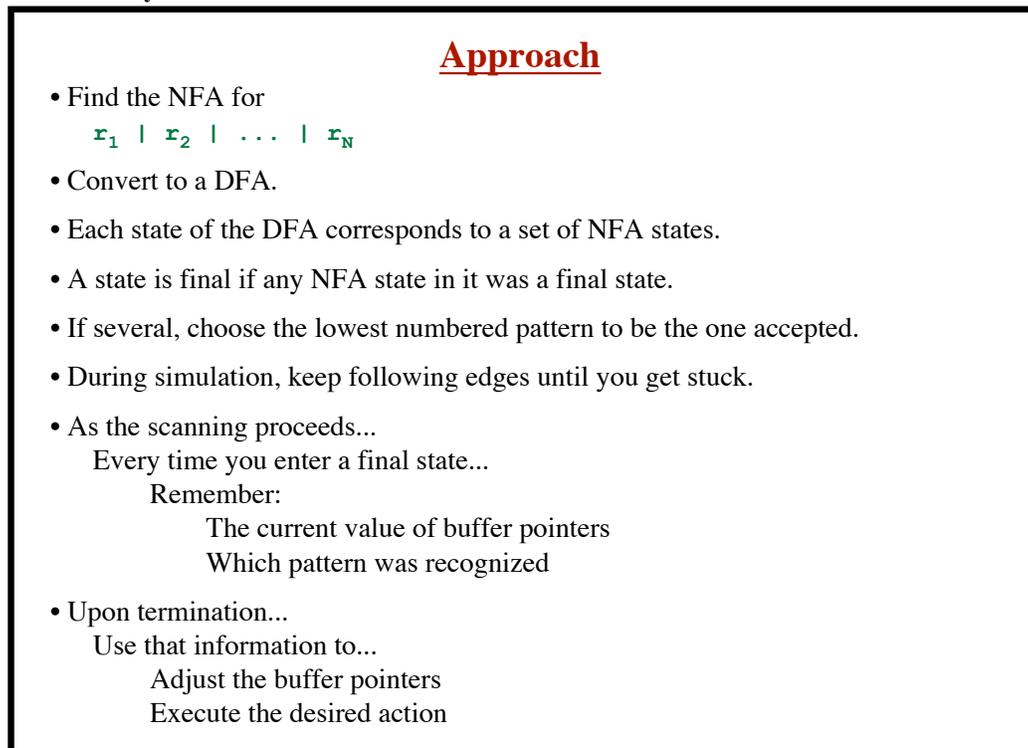
## Lexical Analysis - Part 4



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## Lexical Analysis - Part 4



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**Example**

Input:

- a { Action-1 }
- abb { Action-2 }
- a\*b+ { Action-3 }

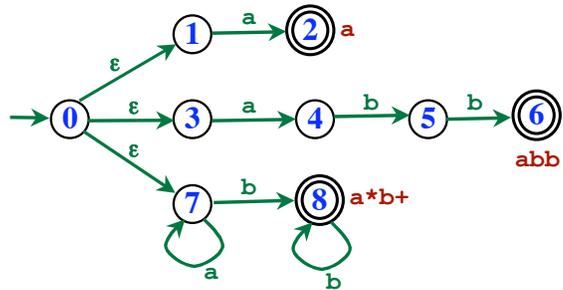
**Example**

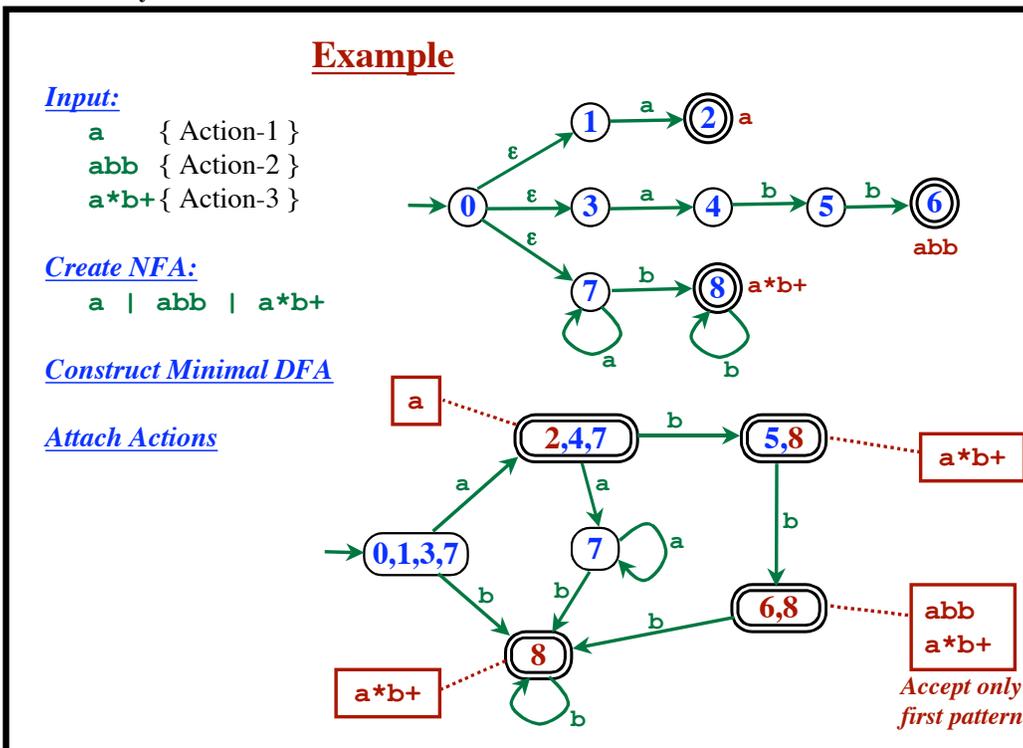
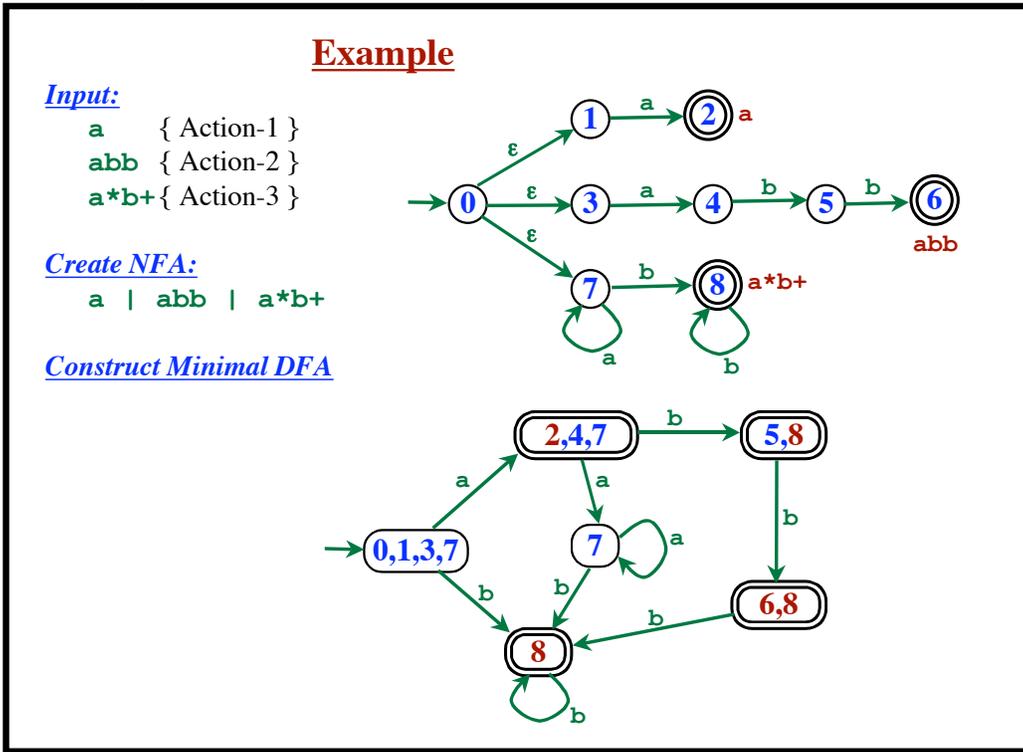
Input:

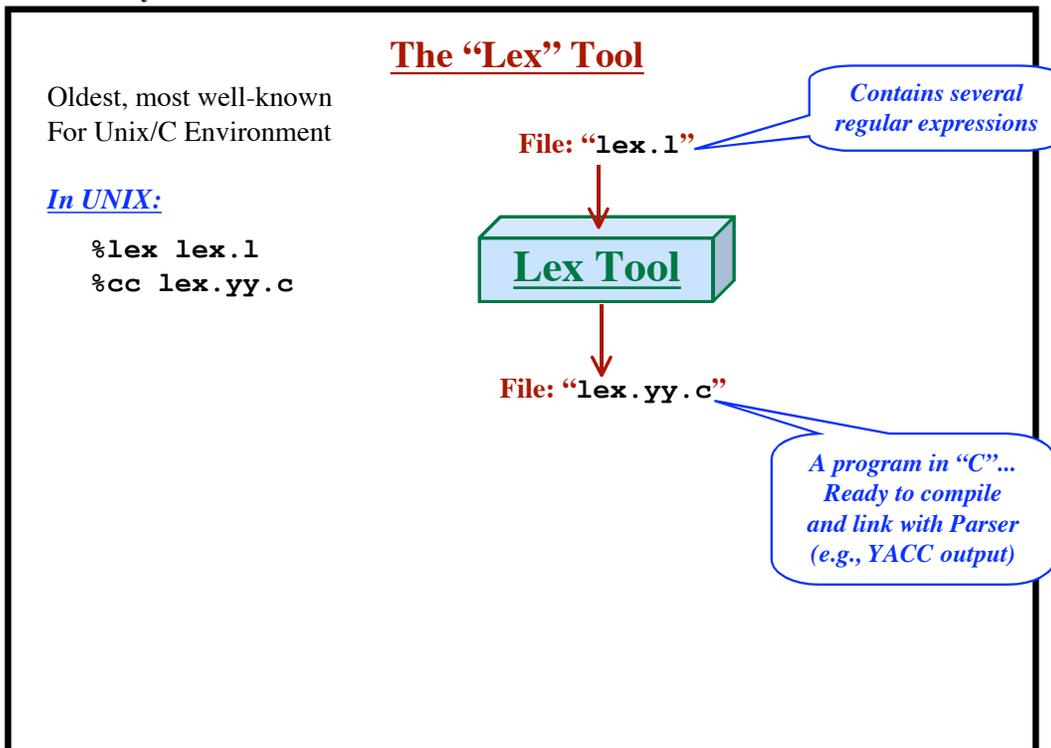
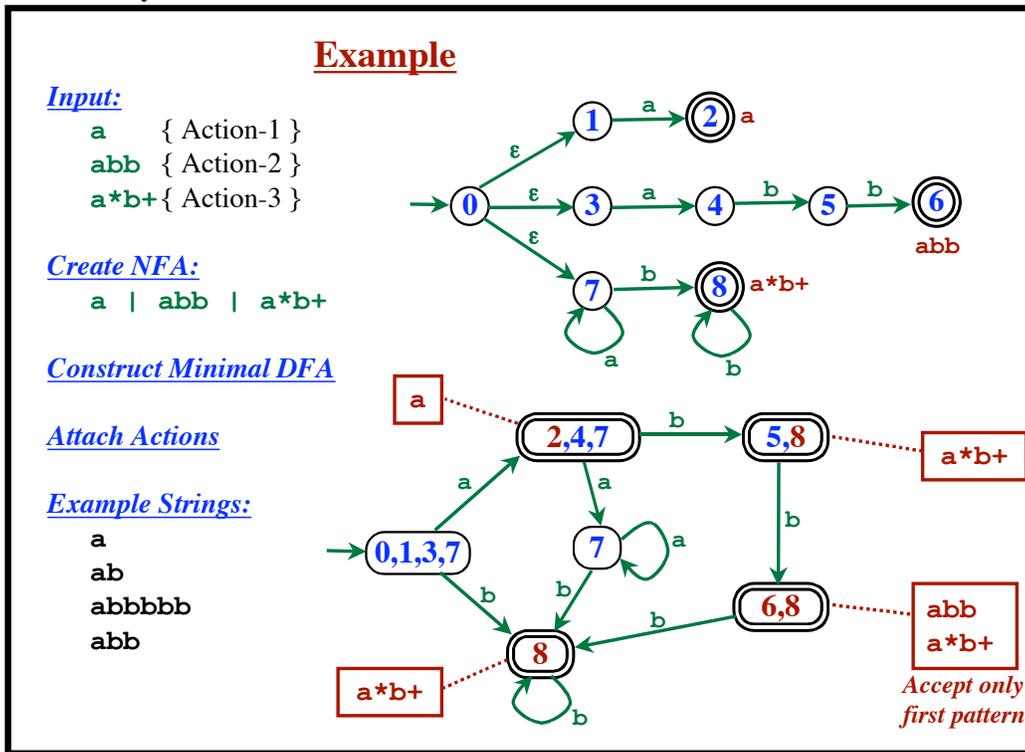
- a { Action-1 }
- abb { Action-2 }
- a\*b+ { Action-3 }

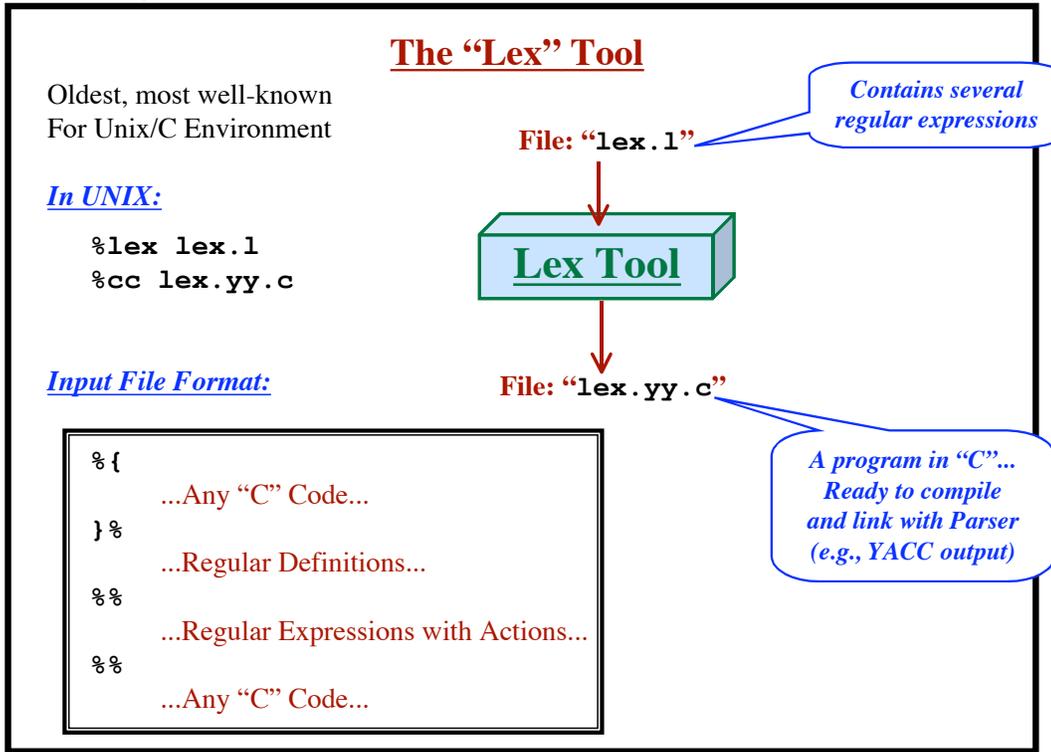
Create NFA:

a | abb | a\*b+









### Regular Expressions in Lex

**abc** Concatenation; Most characters stand for themselves

Meta Characters:

- | Usual meanings
- \* Example: **(a|b)\*c\***
- ()
- + One or more, e.g., **ab+c**
- ? Optional, e.g., **ab?c**
- [**x-y**] Character classes, e.g., **[a-z][a-zA-Z0-9]\***
- [**^x-y**] Anything but [**x-y**]
- \**x** The usual escape sequences, e.g., **\n**
- .
- ^ Beginning of line
- \$ End of line
- "**...**" To use the meta characters literally,  
Example: PCAT comments: **"(\*.\*"\*)"**
- {**...**}
- / Look-ahead  
Example: **ab/cd**  
(Matches **ab**, but only when followed by **cd**)

### Look-Ahead Operator, /

abb/cd

“Matches **abb**, but only if followed by **cd**.”

### Look-Ahead Operator, /

abb/cd

“Matches **abb**, but only if followed by **cd**.”

Add a special  $\epsilon$  edge for /

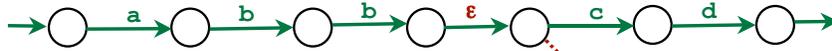


### Look-Ahead Operator, /

*abb/cd*

“Matches *abb*, but only if followed by *cd*.”

Add a special  $\epsilon$  edge for /



Mark the following state to make a note of...

- The pattern in question
- The current value of the buffer pointers

...whenever this state is encountered during scanning.

"/" Encountered  
Save buffer  
pointers

### Look-Ahead Operator, /

*abb/cd*

“Matches *abb*, but only if followed by *cd*.”

Add a special  $\epsilon$  edge for /



Mark the following state to make a note of...

- The pattern in question
- The current value of the buffer pointers

...whenever this state is encountered during scanning.

"/" Encountered  
Save buffer  
pointers

When a pattern is finally matched, check these notes.

- If we passed through a “/” state for the pattern accepted,  
Use the stored buffer positions,  
instead of the final positions  
to describe the lexeme matched.

### Lex: Input File Format

```

%{
  ...Any "C" Code...
}%
...Regular Definitions...
%%
...Regular Expressions with Actions...
%%
...Any "C" Code...
    
```

### Lex: Input File Format

```

%{
  ...Any "C" Code...
  #define ID    13
  #define NUM   14
  #define PLUS  15
  #define MINUS 16
  ...
  #define WHILE 37
  #define IF    38
  ...
}%
...Regular Definitions...
%%
...Regular Expressions with Actions...
%%
...Any "C" Code...
...
int lookup (char * p) {...}
int enter (char * p, int i) {...}
...
    
```

*Any "C" code;  
Copied without changes  
to beginning of the output file*

*Any "C" code; added to end of file  
(typically, auxillary support routines)*

### Lex: Input File Format

```

%{
  ...Any "C" Code...
}%

...Regular Definitions...
delim  [ \t\n]
white  {delim}+
letter [a-zA-Z]
digit  [0-9]
id     {letter}({letter}|{digit})*
num    {digit}+(\.{digit}+)?

%%

...Regular Expressions with Actions...

%%

...Any "C" Code...
    
```

*Defined Names*

*Blank: Every character is Itself literally*

*Defined names can be used in regular expressions*

### Lex: Input File Format

```

%{
  ...Any "C" Code...
}%

...Regular Definitions...
%%

...Regular Expressions with Actions...
"+"      {return PLUS;}
"-"      {return MINUS;}
...
while    {return WHILE;}
if       {return IF;}
...
{white}  {}
...
{num}    {yyval = ...; return NUM;}
{id}     {yyval = ...lookup(...)...; return ID;}

%%

...Any "C" Code...
    
```

*Regular expressions*

*Any "C" code. Include "return" to give the token to parser.*

*No return means "do nothing". (This "token" is recognized but not returned to parser)*

*yyval is where token attribute info is stored.*

*You may use these variables to access the lexeme:  
char \* yytext;  
int yyleng;*