

REDUCIBILITY:

A TECHNIQUE FOR

PROVING UNDECIDABILITY

# REDUCIBILITY

How can we prove that some problems are undecidable?

## NEW TECHNIQUE:

"REDUCE" ONE PROBLEM  
TO ANOTHER PROBLEM.

## RESULTS:

THE EQUIVALENCE OF TWO  
TURING MACHINE? ... UNDECIDABLE!

IS A GIVEN PROGRAM/ALGORITHM  
GUARANTEED TO HALT?  
... UNDECIDABLE!

WILL A GIVEN TURING MACHINE ACCEPT  
ANY STRING? ... UNDECIDABLE!

An engineer and a mathematician were hiking when they were suddenly attacked by a bear.

The engineer grabbed a stick and, yelling and stabbing wildly with the stick, managed to fight off the bear.

The next day, when they were hiking, they were attacked again by a bear.

The mathematician picked up a nearby stick and handed it to the engineer, thereby "REDUCING" the problem to a previously solved problem.

## REDUCIBILITY

WE WANT TO "REDUCE" A HARD PROBLEM INTO AN EASIER PROBLEM.

A SOLUTION TO THE EASIER PROBLEM CAN THEN BE USED TO SOLVE THE HARDER PROBLEM.

### HARD PROBLEM:

Fly from Portland to Cairo.

We know there are direct flights from Portland to ~~the~~ New York.

So we have "REDUCED" the problem to an easier problem.

### EASIER PROBLEM:

Fly from New York to Cairo.

So... If we can find a solution to the easier problem, we can use it to solve the harder problem!

## REVERSING THE LOGIC

REDUCE A HARD PROBLEM INTO AN EASIER PROBLEM.

BUT WHAT IF THE HARD PROBLEM IS KNOWN TO BE UNSOLVABLE? THEN THE "EASIER" PROBLEM MUST ALSO BE UNSOLVABLE!

HARD PROBLEM: LIVE FOREVER

↻ KNOWN TO BE ~~IMPOSSIBLE~~ IMPOSSIBLE!

"EASIER" PROBLEM: STOP AGING.

If we can find a solution to the "STOP AGING" problem, then we could solve the "LIVE FOREVER" problem.

BUT: WE KNOW "LIVING FOREVER" IS IMPOSSIBLE. SO WE CAN CONCLUDE THAT IT IS IMPOSSIBLE TO "STOP AGING." 4

# LOGIC

KNOWN FACT:  $A_{TM}$  IS UNDECIDABLE.

WHAT ABOUT SOME OTHER PROBLEM  $P$ ...  
Is  $P$  UNDECIDABLE?

## THEOREM

$P$  IS UNDECIDABLE.

## PROOF APPROACH

- Assume  $P$  IS DECIDABLE.
  - Reduce  $A_{TM}$  (a "HARD" problem) into  $P$  (the "EASIER" problem)
  - Use the solution of  $P$  to solve  $A_{TM}$ .  
Use the decidability of  $P$  to find an algorithm to decide  $A_{TM}$ .  
Build a TM to decide  $A_{TM}$  using the TM to decide  $P$  as a subroutine.
  - But we know that a decider for  $A_{TM}$  cannot exist.
- ∴ Contradiction:  $P$  is not decidable!

# THE HALTING PROBLEM

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PROOF OF ITS

UNDECIDABILITY

## THE "HALTING" PROBLEM

"Does a program halt when given a specific input?"

### THEOREM THE LANGUAGE

$$\text{HALT}_{\text{TM}} = \left\{ \langle M, w \rangle \mid \begin{array}{l} M \text{ is a Turing Machine} \\ \text{and } M \text{ halts on} \\ \text{input } w. \end{array} \right\}$$

IS UNDECIDABLE.

### PROOF

- Assume it is decidable.

THERE IS A TURING MACHINE  $R$   
THAT DECIDES  $\text{HALT}_{\text{TM}}$ .

- Use  $R$  to build another Turing Machine,  $S$ , that decides  $A_{\text{TM}}$ .

REDUCE  $A_{\text{TM}}$  TO  $\text{HALT}_{\text{TM}}$

- But  $A_{\text{TM}}$  is undecidable.
- CONTRADICTION!



$A_{TM} = \{ \langle M, w \rangle \mid M \text{ is a Turing Machine that } \underline{\text{accepts}} \ w \}$

To DECIDE  $A_{TM}$  ...

"S" {  
GIVEN  $M$  AND SOME INPUT  $w$ ,  
IF  $M$  ACCEPTS  $w$   
THEN  $\Rightarrow$  ACCEPT.  
IF  $M$  REJECTS  $w$  OR LOOPS  
THEN  $\Rightarrow$  REJECT.

DECIDERS CAN NEVER LOOP!

(NOTE: "S" IS PROVEN NOT TO EXIST!)

GIVEN

$R =$  A TURING MACHINE THAT DECIDES:

$HALT_{TM} = \{ \langle M, w \rangle \mid M \text{ is a Turing Machine that } \underline{\text{halts}} \text{ on } w \}$

To DECIDE  $HALT_{TM}$

"R" {  
GIVEN  $M$  AND SOME INPUT  $w$ ,  
IF  $M$  ACCEPTS OR REJECTS  $w$  ...  
THEN  $\Rightarrow$  ACCEPT  
IF  $M$  LOOPS ...  
THEN  $\Rightarrow$  REJECT

GOAL: CONSTRUCT  $S$ , A DECIDER FOR ATM

$R$  = Algorithm to DECIDE  $HALT_{TM}$

$S$  = Algorithm to DECIDE ATM.

HERE IS ALGORITHM  $S$ :

INPUT:  $\langle M, w \rangle$

Run  $R$  on  $\langle M, w \rangle$  to see if  $M$  halts or loops.

IF  $R$  rejects, it means  $M$  loops.

THEN REJECT

IF  $R$  accepts, it means  $M$  halts.

(And  $R$  will never loop.)

Simulate/Run  $M$  on input  $w$ .  
When  $M$  halts...

IF  $M$  accepts, Then  $\Rightarrow$  ACCEPT

IF  $M$  rejects, Then  $\Rightarrow$  REJECT

So  $S$  is a DECIDER for ATM.

CONTRADICTION: ATM is UNDECIDABLE.

DOES A TURING  
MACHINE ACCEPT  
ANY STRINGS?

## THEOREM

DOES A T.M. MACHINE ACCEPT ANY STRING? — UNDECIDABLE.

THE LANGUAGE

$$E_{TM} = \left\{ \langle M \rangle \mid M \text{ is a Turing Machine and } L(M) = \emptyset \right\}$$

IS UNDECIDABLE.

PROOF:

- Assume that  $R$  decides  $E_{TM}$ .
- Use it to construct  $S$ , a decider for  $A_{TM}$ .
- Contradiction.

IN MORE DETAIL ...

APPROACH:

We will

**REDUCE**

$A_{TM}$  to  $E_{TM}$

## Goal:

- Construct an algorithm( $S$ ) which is given  $\langle M, w \rangle$  and decides whether  $M$  accepts  $w$ .

## Step 1:

- Modify  $M$  a little bit.
- Call the new machine  $M'$

$M'$

(ASSUME:  $w$  is a constant  
 $x$  is the input.)

- Will reject all strings that do not match  $w$ .
- May or may not accept  $w$ .

$$L(M') = \{w\} \quad \text{OR} \quad L(M') = \emptyset$$

### ALGORITHM FOR $M'$

INPUT:  $x$

IF  $x \neq w$  THEN REJECT.

OTHERWISE

SIMULATE  $M$  ON  $x$ .

IF  $M$  ACCEPTS, THEN ACCEPT.

IF  $M$  REJECTS, THEN REJECT.

## ALGORITHM FOR $S$ : (TO DECIDE ATM)

INPUT:  $M, w$

STEP 1: Construct  $M'$ .

Take  $M$ .

Add a test ( $x=w$ ) in front  
of the initial state

Then pass control to  $M$ .

NOTE:

$L(M') = \{w\}$  if  $M$  accepts  $w$

$L(M') = \emptyset$  otherwise.

STEP 2:

Use  $R$  to decide whether  
 $L(M')$  is empty or not.

$R$  accepts  $\Rightarrow L(M')$  is empty  $\Rightarrow M$  does  
not accept  $w$

$R$  rejects  $\Rightarrow L(M') = \{w\} \Rightarrow M$  accepts  $w$ .

STEP 3: We now have decided ATM.

CONTRADICTION! ( $E_{TM}$  is UNDECIDABLE) ||

# COMPUTABLE FUNCTIONS

## DEFINITION

A "COMPUTABLE" FUNCTION:

A FUNCTION

$$f: \Sigma^* \rightarrow \Sigma^*$$

THAT CAN BE COMPUTED BY  
A TURING MACHINE.

- THE INPUT  $x$  IS ON THE TAPE.
- THE TM RUNS AND ALWAYS HALTS!
- THE RESULT  $f(x)$  IS LEFT ON THE TAPE.



EQUIVALENCE OF  
TWO TURING  
MACHINES

## THEOREM

### THE LANGUAGE

$$EQ_{TM} = \left\{ \langle M_1, M_2 \rangle \mid \begin{array}{l} M_1 \text{ and } M_2 \text{ are Turing} \\ \text{Machines and} \\ L(M_1) = L(M_2) \end{array} \right\}$$

IS UNDECIDABLE.

### IN PLAIN ENGLISH?

"Given two programs, you can not compare them to see whether they do the same thing."

"Any correct algorithm to compare the functionality of two programs will sometimes fail to halt."

$$EQ_{TM} = \{ \langle M_1, M_2 \rangle \mid L(M_1) = L(M_2) \}$$

### PROOF APPROACH

REDUCE  $E_{TM}$  TO  $EQ_{TM}$ .

WE ALREADY KNOW  $E_{TM}$  IS  
UNDECIDABLE.

$$A_{TM} \leq_m E_{TM} \leq_m EQ_{TM}$$

↖ Symbol for "Mapping Reducible"

It means we can perform  
the transformation of one  
problem into another  
With ~~an~~<sup>a</sup> COMPUTABLE ALGORITHM.

(A Turing Machine  
that always halts)

GIVEN AN ALGORITHM TO SOLVE EQ<sub>TM</sub>,  
HOW DO WE PROCEED?

**R** = DECIDER FOR EQ<sub>TM</sub>

**S** = DECIDER FOR E<sub>TM</sub>

INPUT TO S: M

S will decide whether  $L(M) = \emptyset$

- LET  $M_\emptyset$  BE A TURING MACHINE THAT ALWAYS REJECTS



- Write  $M_\emptyset$  on the tape after M.
- CALL **R** to decide whether  $L(M) = L(M_\emptyset)$

# PROVING PROGRAM CORRECTNESS

"INFORMAL IDEA OF WHAT  
YOU WANT THE PROGRAM  
TO DO."



Subject to human  
error; artform?

"FORMAL SPECIFICATION"

$\forall x. (x \text{ is a mouse click}) \Rightarrow \dots$

"SPECIFICATION LANGUAGE"

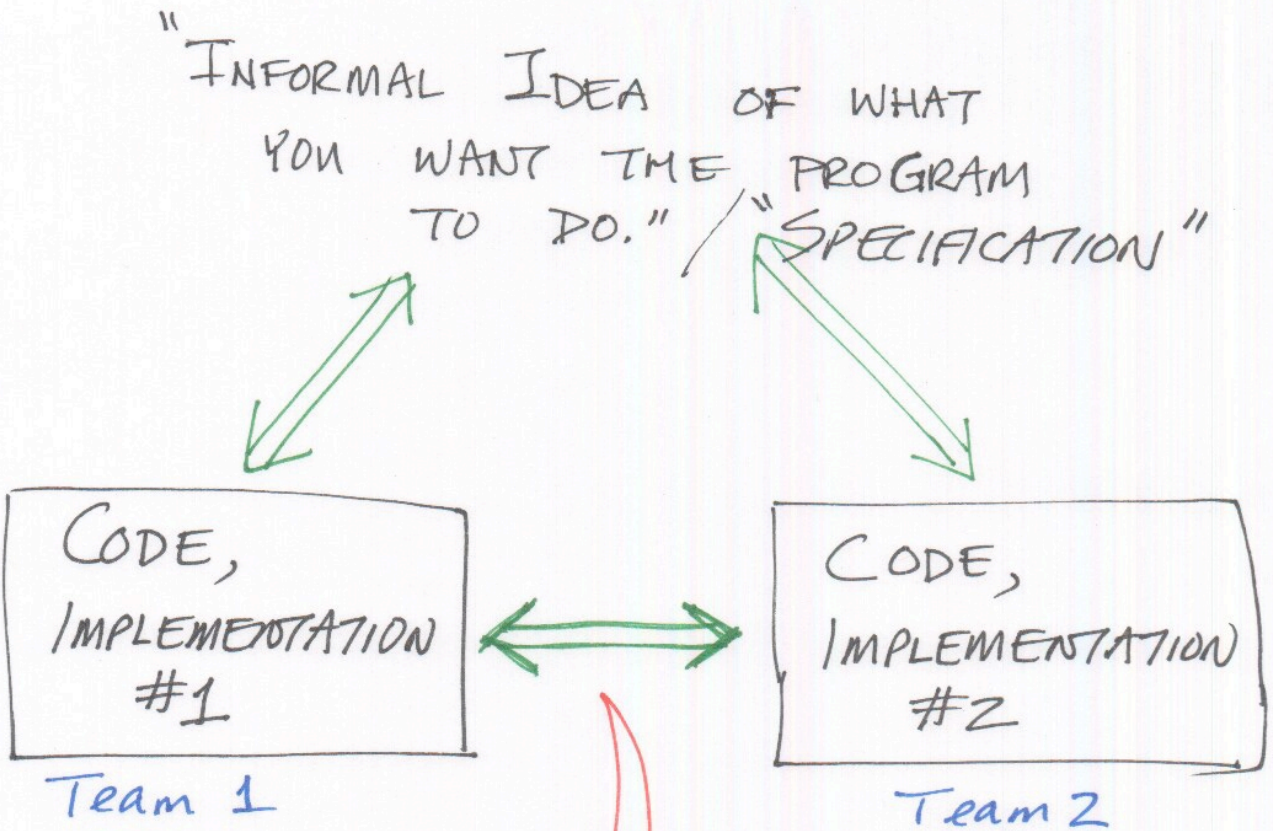


UNDECIDABLE IN GENERAL,  
But that does not mean  
you can't find a proof  
in some cases.

"CODE"

PROGRAM/ALGORITHM EXPRESSED  
IN SOME VERIFIABLE  
PROGRAMMING LANGUAGE.

# ANOTHER APPROACH



## IDEA:

Try to prove these algorithms are equivalent.

(Must use human guidance to direct search.)

Problems in finding a proof?

May indicate ambiguities, or lack of detail in the specification.

REDUCING ONE  
LANGUAGE TO  
ANOTHER.

# REDUCING ONE PROBLEM INTO ANOTHER

DEFN

REDUCING LANGUAGES.

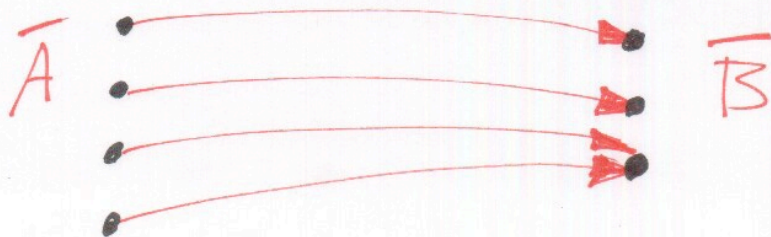
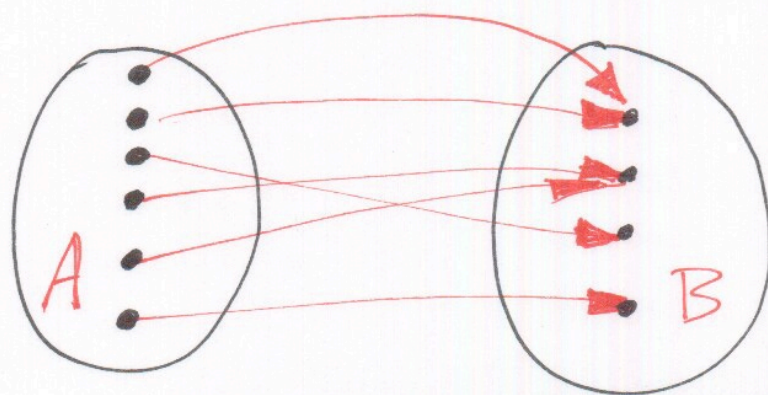
Language A "REDUCES" to language B...

$$A \leq_m B$$

if there exists a computable  
function  $f: \Sigma^* \rightarrow \Sigma^*$

such that, for every  $x$

$$x \in A \quad \text{iff} \quad f(x) \in B$$





## MORE ON REDUCIBILITY

If A reduces to B and  
B is decidable,  
THEN A is decidable.

If A reduces to B and  
A is undecidable,  
THEN B is undecidable.

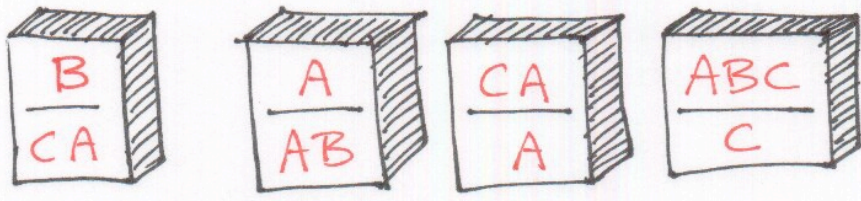
If A reduces to B and  
B is Turing Recognizable,  
THEN A is Turing Recognizable.

If A reduces to B and  
A is not Turing Recognizable,  
THEN B is not Turing Recognizable.

THE POST  
CORRESPONDENCE  
PROBLEM

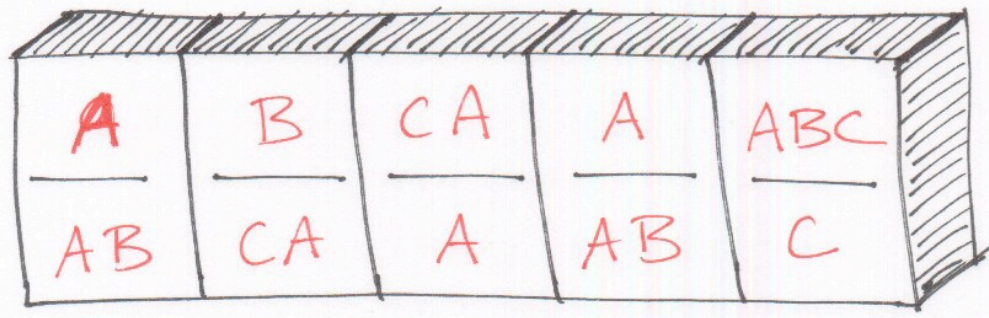
# PCP: Post Correspondence Problem

Dominos:



WE GET AS MANY OF EACH TYPE AS WE NEED.

GOAL: FIND A SEQUENCE OF <sup>finite</sup> DOMINOS SUCH THAT THE TOP AND BOTTOM STRINGS ARE THE SAME.



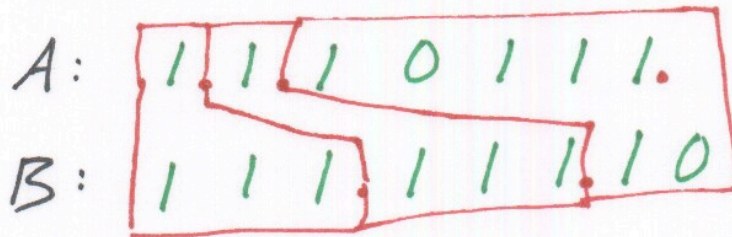
ABCAAABC  
| | | | | | | |  
ABCAAABC

Does a solution exist?  
This problem is unsolvable!  
(i.e., UNDECIDABLE)

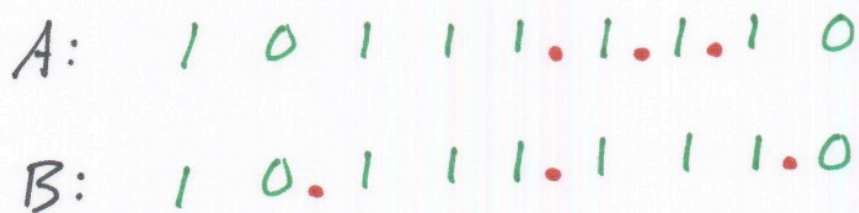
# AN "INSTANCE" OF THE PCP PROBLEM

	A	B
①	1	111
②	10111	10
③	10	0

A NON-SOLUTION:      ①   ①   ②

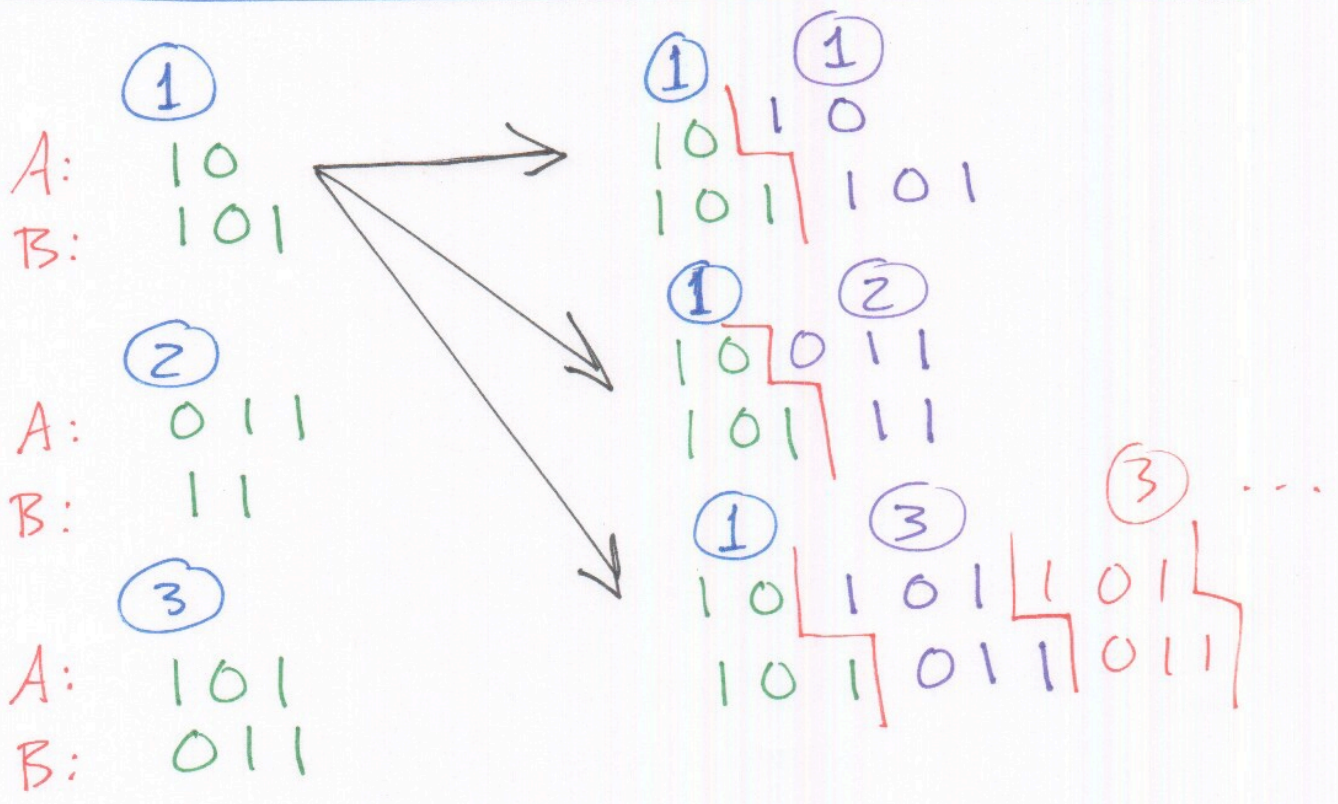


A SOLUTION:      ②   ①   ①   ③



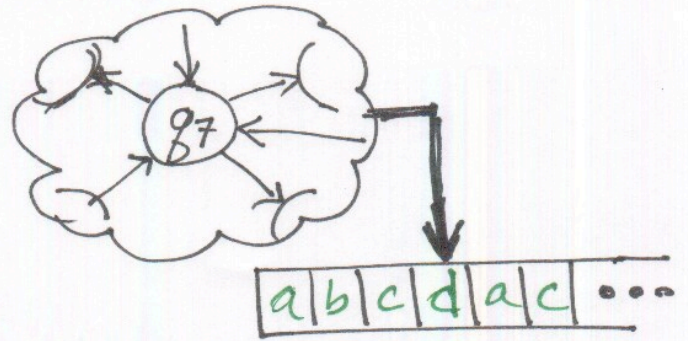
# ANOTHER PCP INSTANCE

	A	B
①	10	101
②	011	11
③	101	011



PROOF THAT THE  
POST CORRESPONDENCE  
PROBLEM IS UNDECIDABLE

"CONFIGURATION"



C: abcq<sub>7</sub>dac

A SEQUENCE OF CONFIGURATIONS:

...  $\Rightarrow C_4 \Rightarrow C_5 \Rightarrow C_6 \Rightarrow C_7 \Rightarrow \dots$

step in the computation.

"ACCEPTING COMPUTATION HISTORY"

$C_1 \Rightarrow C_2 \Rightarrow C_3 \Rightarrow C_4 \Rightarrow \dots \Rightarrow C_2$

Initial Starting Configuration

Legal steps for this machine

An Accepting State

"REJECTING COMPUTATION HISTORY"

$C_1 \Rightarrow C_2 \Rightarrow C_3 \Rightarrow \dots \Rightarrow C_{\#L}$

Same, except the last configuration is a Rejecting State

# A COMPUTATION HISTORY

IS A FINITE SEQUENCE.

IF MACHINE DOES NOT HALT?

→ NO HISTORY.

DETERMINISTIC MACHINES:

AT MOST, ONE HISTORY  
(on a given input)

NON-DETERMINIST MACHINES:

MAY HAVE MANY HISTORIES.

FROM NOW ON...

ASSUME OUR TM IS DETERMINISTIC.

THERE  
IS  
EITHER

{ AN ACCEPTING HISTORY  
A REJECTING HISTORY  
NO HISTORY / MACHINE LOOPS.



## THEOREM

The POST CORRESPONDENCE PROBLEM  
is UNDECIDABLE

## PROOF (OVERVIEW)

Reduce  $A_{TM}$  to a PCP.

If  $A_{TM}$  accepts, then

$M$  computes on  $w$  and accepts.

Then, there is a finite

COMPUTATION HISTORY which  
describes the computation  
of  $M$  on  $w$ .

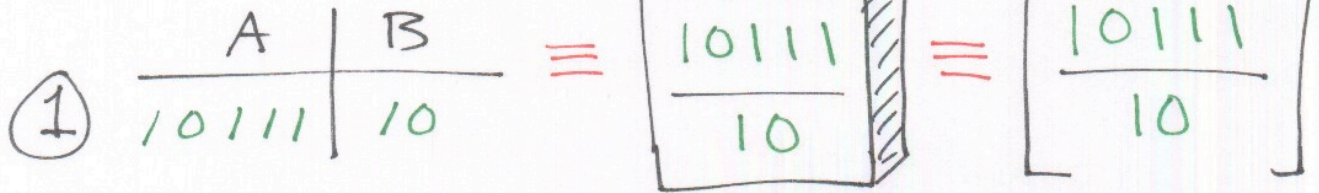
ENCODE  $\langle M, w \rangle$  INTO A PCP INSTANCE.

THERE IS A SOLUTION TO THE PCP  
IFF THERE IS AN ACCEPTING  
COMPUTATION HISTORY.

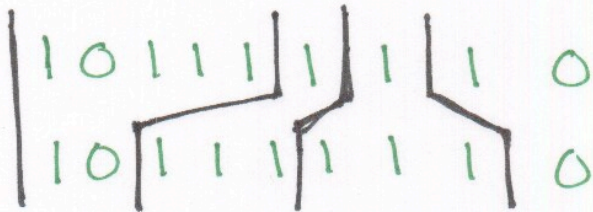
IF WE COULD DECIDE THIS INSTANCE  
OF THE PCP, THEN WE  
COULD DECIDE  $A_{TM}$ .

BUT WE CAN'T DECIDE  $A_{TM}$ !

TILES:



PCP SOLUTIONS:



TURING MACHINE CONFIGURATIONS:

101q<sub>4</sub>011

COMPUTATION HISTORY:

# q<sub>0</sub>101# 1q<sub>4</sub>01# ... # 011q<sub>A</sub>101#

THIS IS AN ACCEPTING HISTORY

INITIAL STATE AT LEFT END OF TAPE

q<sub>A</sub> =  
q<sub>accept</sub> =  
q<sub>acc</sub>

eg.  $w=101$

GIVEN INPUT  $\langle M, w \rangle$  TO ATM,

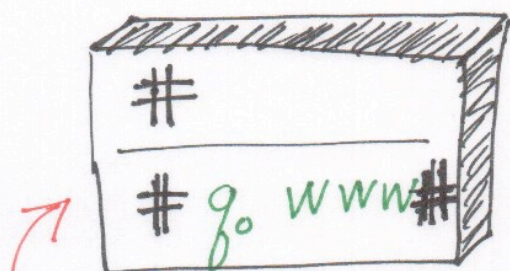
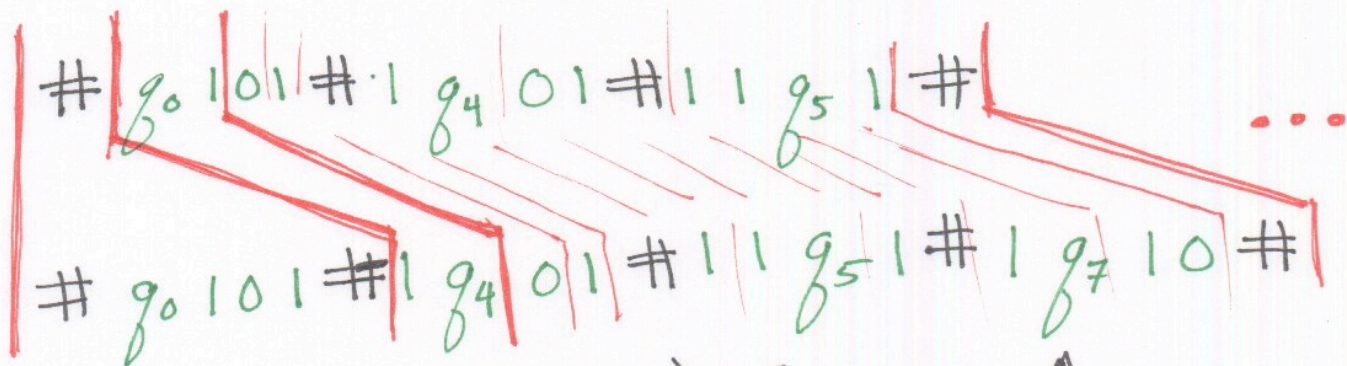
CONSTRUCT AN INSTANCE OF  
THE PCP...

(CREATE A COLLECTION OF TILES)

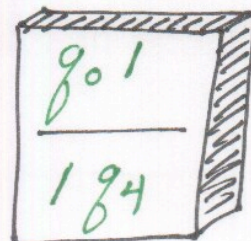
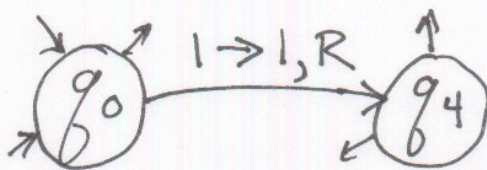
SUCH THAT, IF YOU CAN FIND

A SOLUTION TO THIS PCP INSTANCE,  
THEN YOU'VE FOUND AN ACCEPTING  
COMPUTATION HISTORY.

A SOLUTION WILL LOOK LIKE THIS:



SPECIAL STARTING  
TILE



ONE TILE  
FOREACH  
TRANSITION

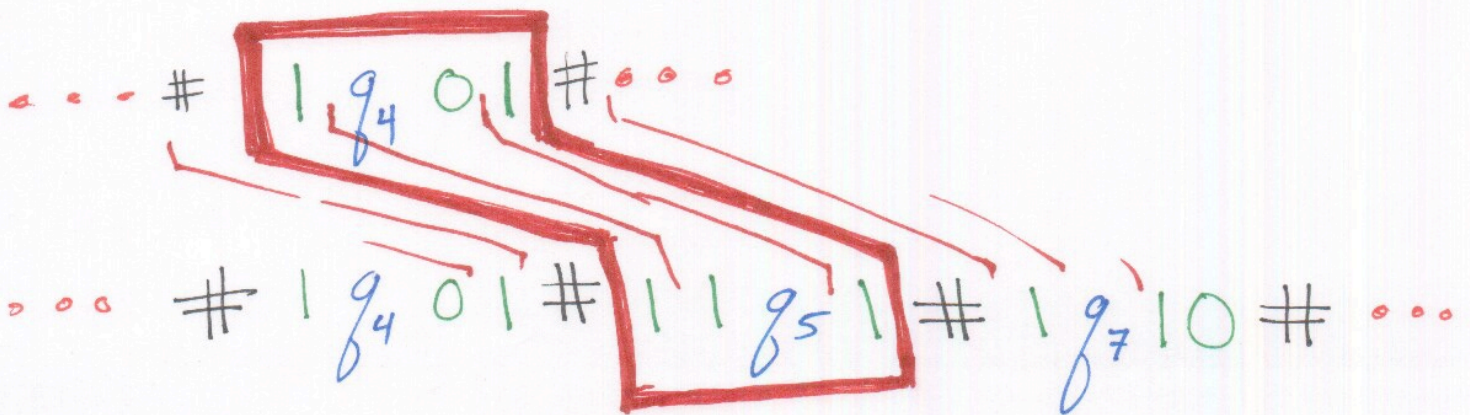
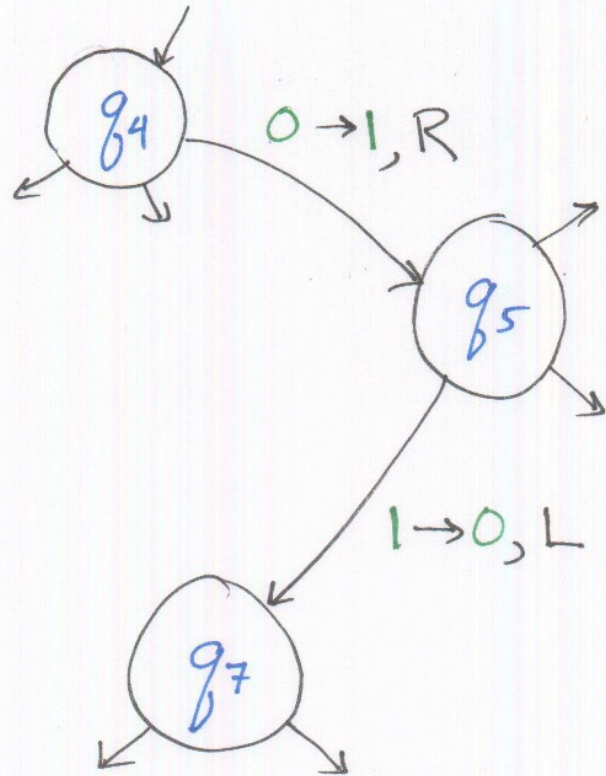
# COMPUTATION HISTORY

↓  
 1 q<sub>4</sub> 0 1

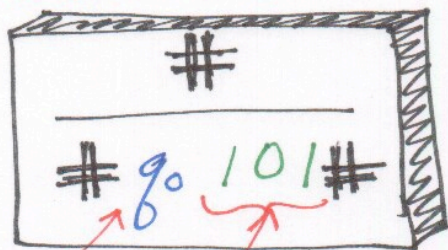
↓ ↓  
 1 1 q<sub>5</sub> 1

↓ ↓  
 1 q<sub>7</sub> 1 0

↓ ↓  
 ⋮



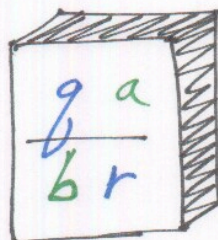
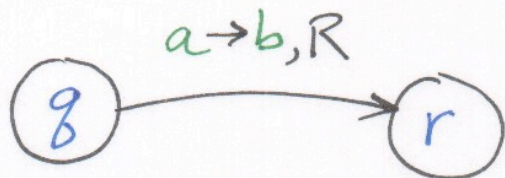
SPECIAL STARTING TILE:



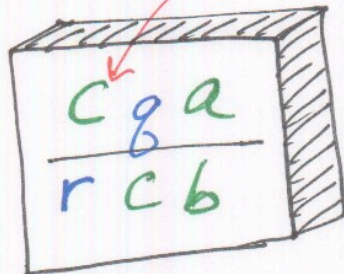
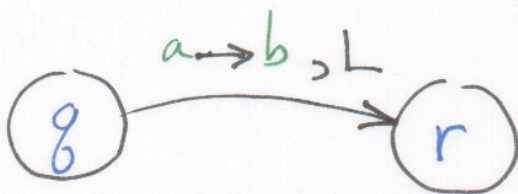
INITIAL STATE

w

RIGHT MOVES:

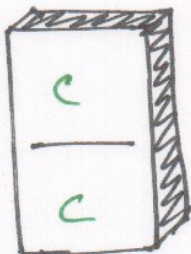
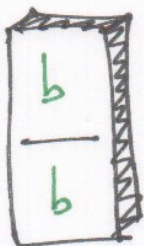
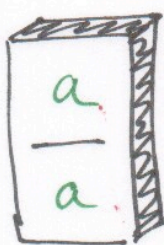


LEFT MOVES

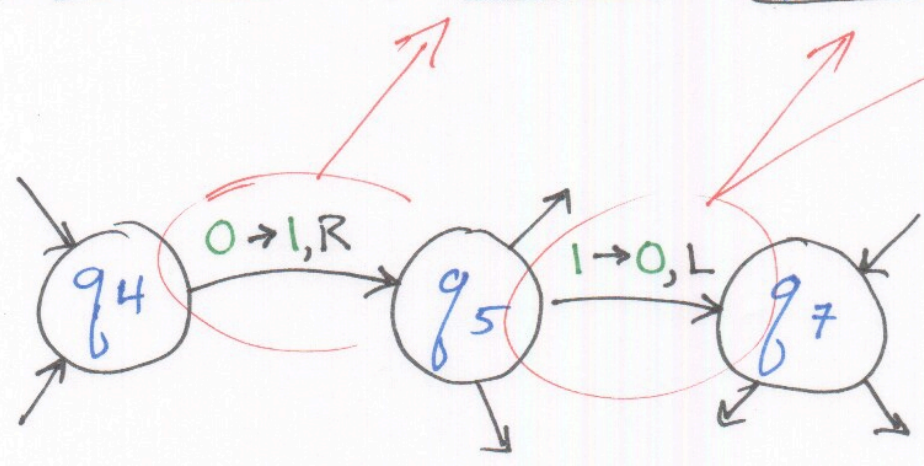
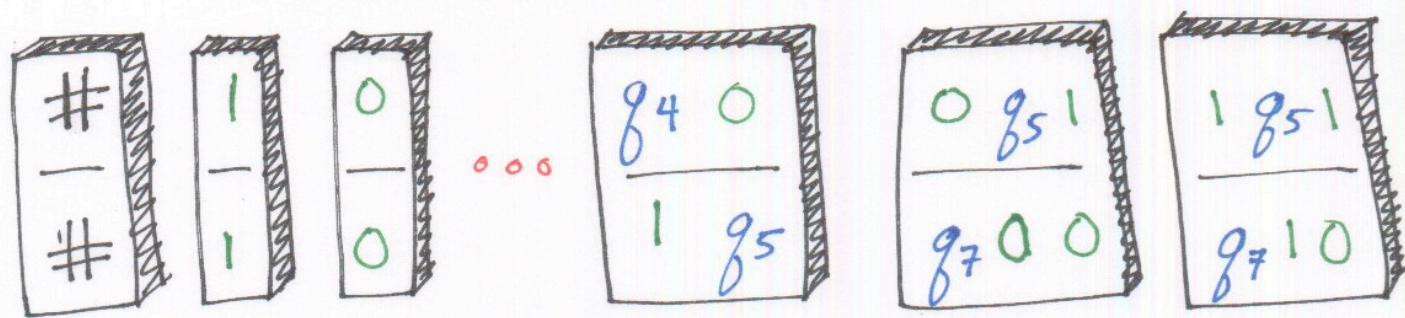
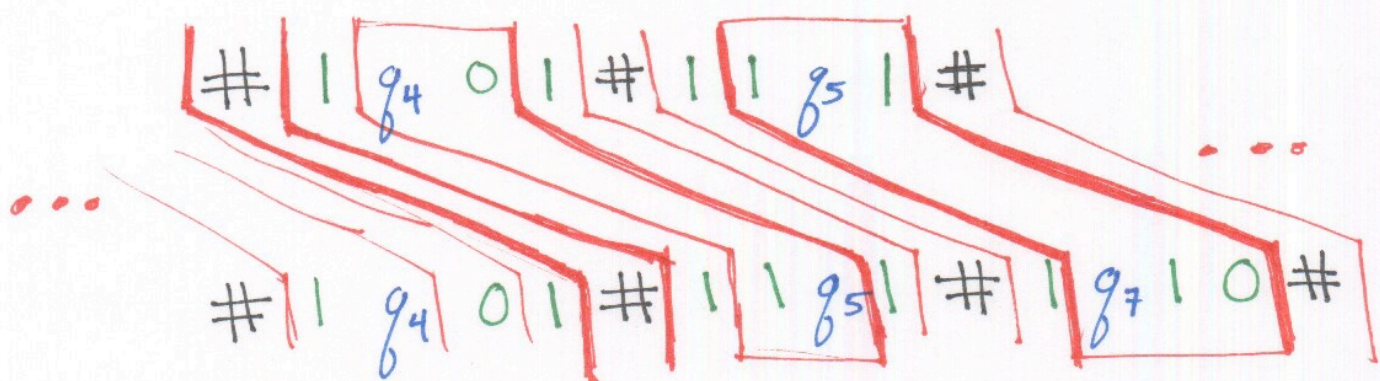


Add one tile like this for every c in I

TILES TO "COPY" THE TAPE:



For every symbol in I



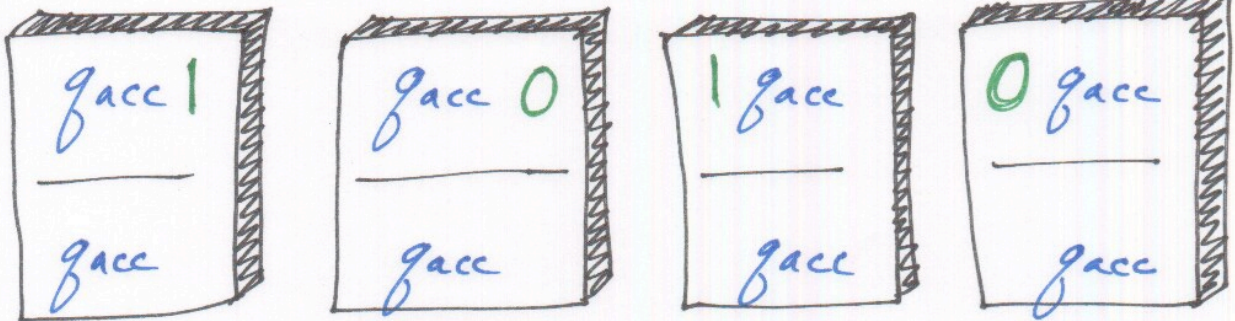
Q: How DO WE ACCEPT?

A: COMPLETE THE MATCH!

ADD SPECIAL TILES TO ALLOW  $g_{\text{accept}}$   
TO "EAT" THE SYMBOLS ON  
THE TAPE:

# 1 0  $g_{\text{accept}}$  1 1 #

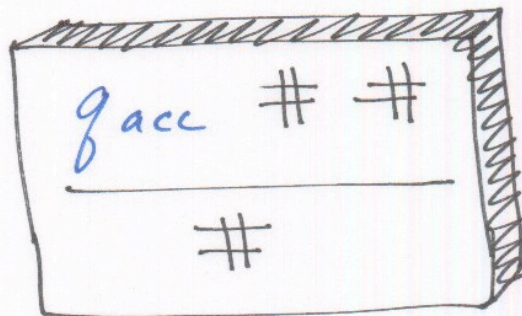
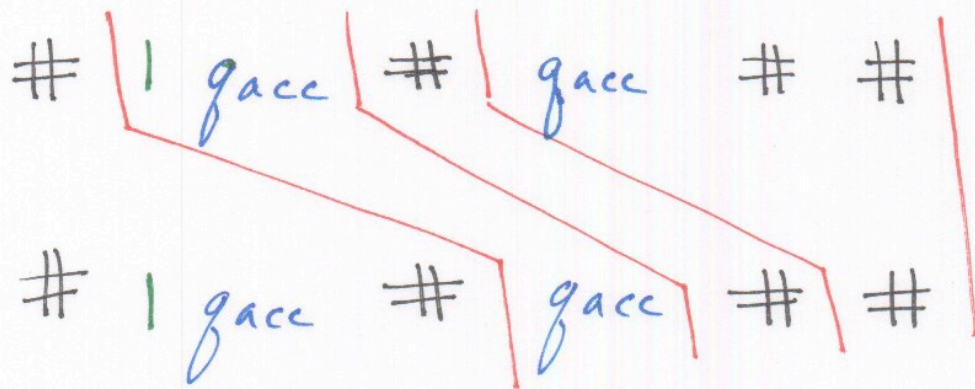
# 1 0  $g_{\text{accept}}$  1 1 # 1 0  $g_{\text{acc}}$  1 #



↑ For every symbol in  $\Gamma$

EVENTUALLY, NOTHING REMAINS  
EXCEPT *gacc*.

ADD A TILE TO FINISH THE MATCH.





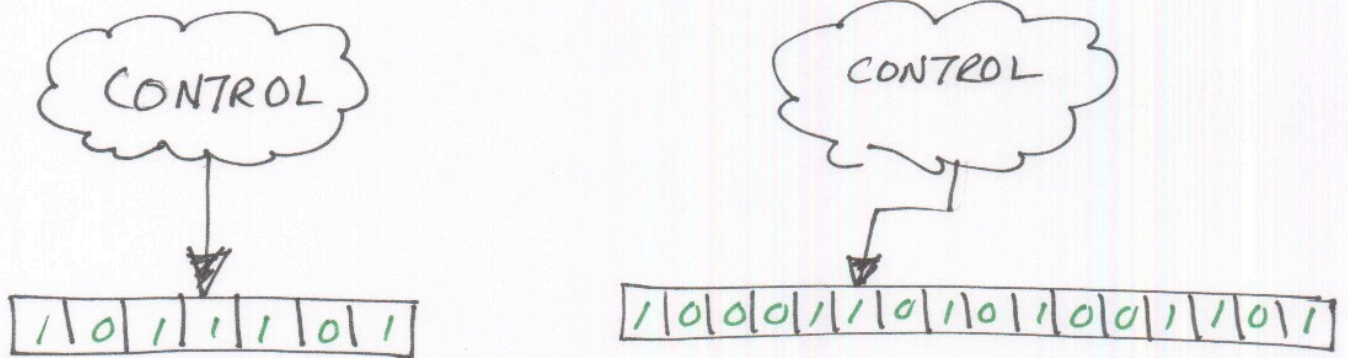
## REVIEW OF THE PROOF.

- IF YOU CAN FIND A SOLUTION TO THIS INSTANCE OF THE PCP, THEN YOU HAVE FOUND A LEGAL ACCEPTING COMPUTATION HISTORY, IN WHICH MACHINE  $M$  ACCEPTS STRING  $w$ .
- DOES A SOLUTION EXIST?
- IF YOU CAN DECIDE THE ANSWER, THEN YOU CAN DECIDE WHETHER  $M$  ACCEPTS  $w$ .
- WE KNOW THAT  $A_{TM}$  IS UNDECIDABLE.
- THEREFORE, WE HAVE PROVEN THAT THE PROBLEM OF FINDING A SOLUTION TO THE PCP IS UNDECIDABLE!  
(IN GENERAL).

LINEAR  
BOUNDED  
AUTOMATA

# LINEAR BOUNDED AUTOMATON (LBA)

A RESTRICTED FORM OF TURING MACHINE.



THE TAPE IS LIMITED IN SIZE TO THE SIZE OF THE INPUT.

(i.e., HEAD NOT ALLOWED TO MOVE BEYOND THE INPUT.)

LBA'S ARE NOT AS POWERFUL AS FULL TURING MACHINES.

BUT ARE REALLY QUITE POWERFUL.

ASSUME OUR TAPE ALPHABET CAN BE LARGER THAN INPUT ALPHABET.

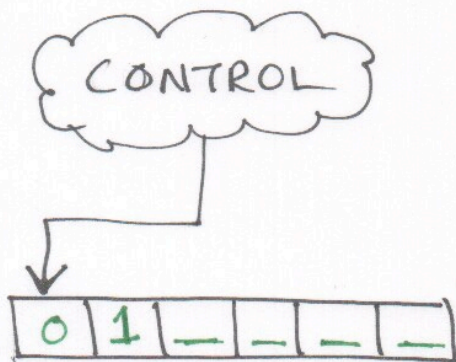
WE CAN USE A LARGER TAPE ALPHABET TO ~~REPLACE~~ STORE MORE INFORMATION IN OUR LIMITED TAPE.

OR EQUIVALENTLY...

WE CAN RESTRICT OUR MACHINE TO USING ONLY A ~~SMALL~~ SMALL PORTION OF THE TAPE.

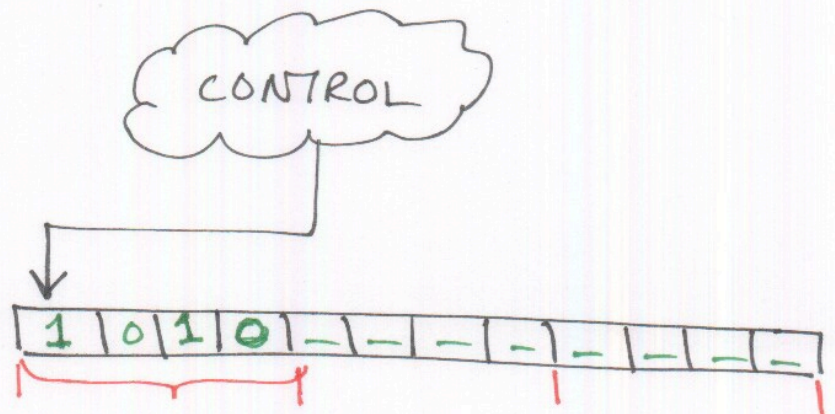
"A LINEAR FUNCTION OF THE INPUT SIZE"

"SMALL" PROBLEM



"SMALL" PROBLEM  $\equiv$  SHORT INPUT LENGTH

"LARGE" PROBLEM



TAPE SIZE LIMITED TO

3x INPUT SIZE

## THEOREM

THE LANGUAGE

$$A_{\text{LBA}} = \left\{ \langle M, w \rangle \mid \begin{array}{l} M \text{ is an LBA} \\ \text{and } M \text{ accepts } w \end{array} \right\}$$

IS DECIDABLE !!

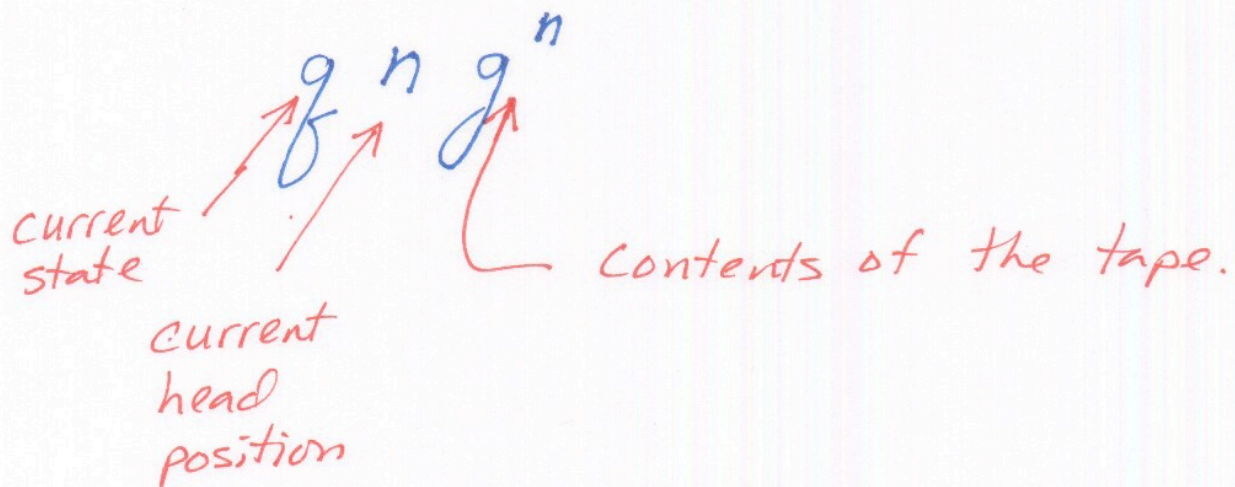
CONSIDER SOME LBA...

$q$  = Number of states

$g$  = Size of tape alphabet

$n$  = Length of tape.

How many distinct configurations are there?



This may be a big number  
but it is finite.

$A_{LBA}$  IS DECIDABLE.

PROOF =  $\{ \langle M, w \rangle \mid M \text{ is an LBA that accepts } w \}$

Just simulate  $M$  on  $w$ .

$M$  could accept, reject, or loop.

How can we detect looping?

If ~~the~~  $M$  ever enters a configuration it has already been in, then it must loop forever.

There are only finitely many possible configurations.

If ~~the~~  $\frac{q^n q^n}{q^n q^n}$  the simulation goes that long, it must be looping.

So just run the simulation  $\frac{q^n q^n}{q^n q^n}$  steps.

If ~~it~~ it has not halted by then, THEN reject.

LINEAR BOUNDED AUTOMATA (LBAs)  
ARE VERY POWERFUL.

NOT FULL TURING MACHINE POWER, BUT...

WHAT PROBLEMS CAN THEY SOLVE?

$A_{DFA}$

$A_{CFG}$

$E_{DFA}$

$E_{CFG}$

DECIDABLE BY  
AN LBA.