

CS311 Computational Structures

# Turing Machines

Lecture 11

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# Alan Mathison Turing



# Alan Mathison Turing

- Founder of modern computer science
- Born 1912, London
- Died 1954, Cheshire (suicide)
- Computability (Turing Machines)
- Artificial Intelligence (Turing test)
- Cryptography (breaking Enigma)
- Physical computer design/ applications
- Mathematics, logic, biology, physics,...



# Turing personal chronology

- 1912 (23 June): Birth, Paddington, London
- 1926-31: Sherborne School
- 1930: Death of friend Christopher Morcom
- 1931-34: Undergraduate at King's College, Cambridge University
- 1932-35: Quantum mechanics, probability, logic
- 1935: Elected fellow of King's College, Cambridge
- 1936: The Turing machine, computability, universal machine
- 1936-38: Princeton University. Ph.D. Logic, algebra, number theory
- 1938-39: Return to Cambridge. Introduced to German Enigma cipher machine
- 1939-40: The Bombe, machine for Enigma decryption
- 1939-42: Breaking of U-boat Enigma, saving battle of the Atlantic
- 1943-45: Chief Anglo-American crypto consultant. Electronic work.
- 1945: National Physical Laboratory, London
- 1946: Computer and software design leading the world.
- 1947-48: Programming, neural nets, and artificial intelligence
- 1948: Manchester University
- 1949: First serious mathematical use of a computer
- 1950: The Turing Test for machine intelligence
- 1951: Elected FRS. Non-linear theory of biological growth
- 1952: Arrested as a homosexual, loss of security clearance
- 1953-54: Unfinished work in biology and physics
- 1954 (7 June): Death (suicide) by cyanide poisoning, Wilmslow, Cheshire.

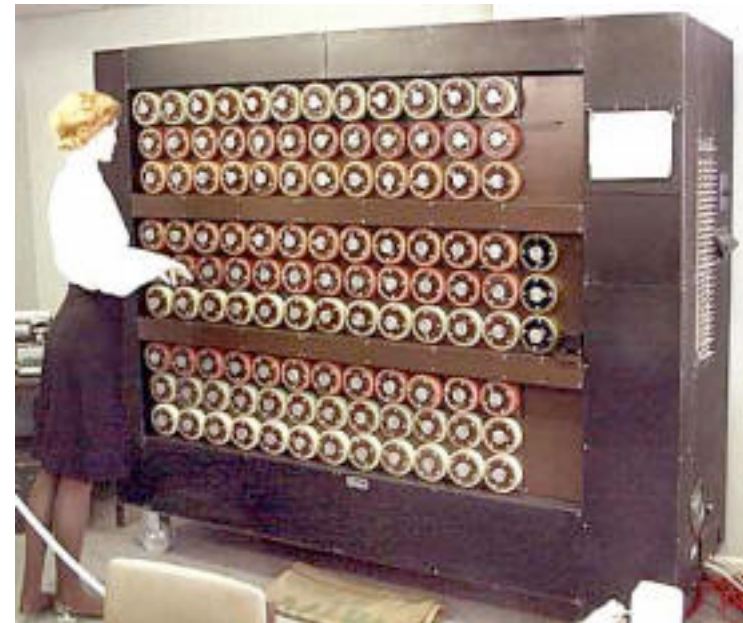
Source: Turing web site maintained by Hodges



# Turing



# Quiz





# Turing



# Quiz



Hut 6





## Sackville Park, Manchester





# Sackville Park, Manchester

University of Surrey



# Some History

1928: Hilbert proposed the Entscheidungsproblem

- Is there an algorithm for deciding the truth or falsity of any theorem in a mathematical system?

1931: Gödel's Incompleteness Theorems

- Gödel numbering

1936: Church defines “effective calculability” based on  $\lambda$ -calculus

1936: Turing defines the Turing machine

1936: Church and Turing (independently) answer the Entscheidungsproblem: *No*

# Turing sources

- Biography: Andrew Hodges, *Alan Turing: the Enigma*, Walker and Company, New York.
- Web site maintained by biographer:
  - ▶ <http://www.turing.org.uk/turing/>

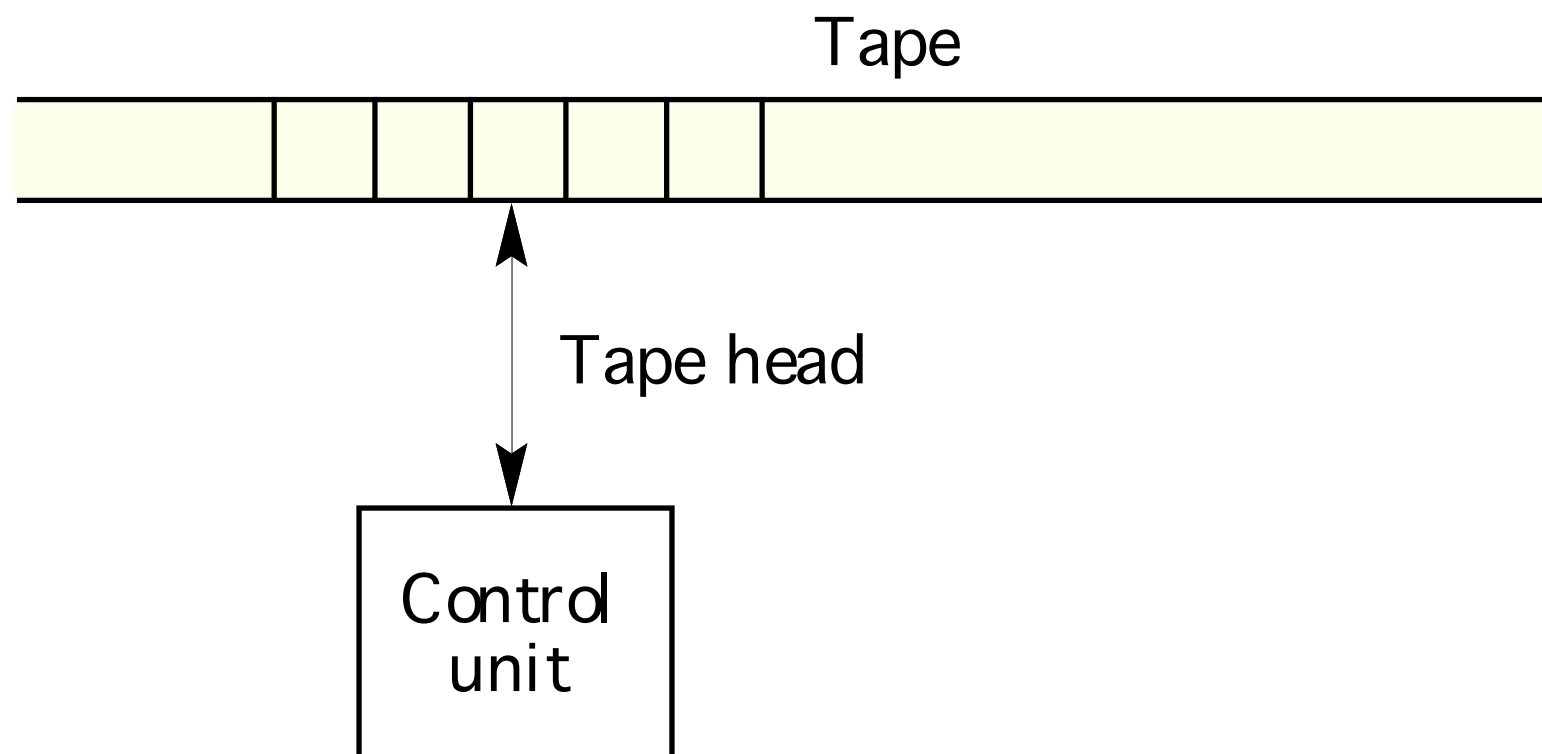


# What is a Turing Machine?

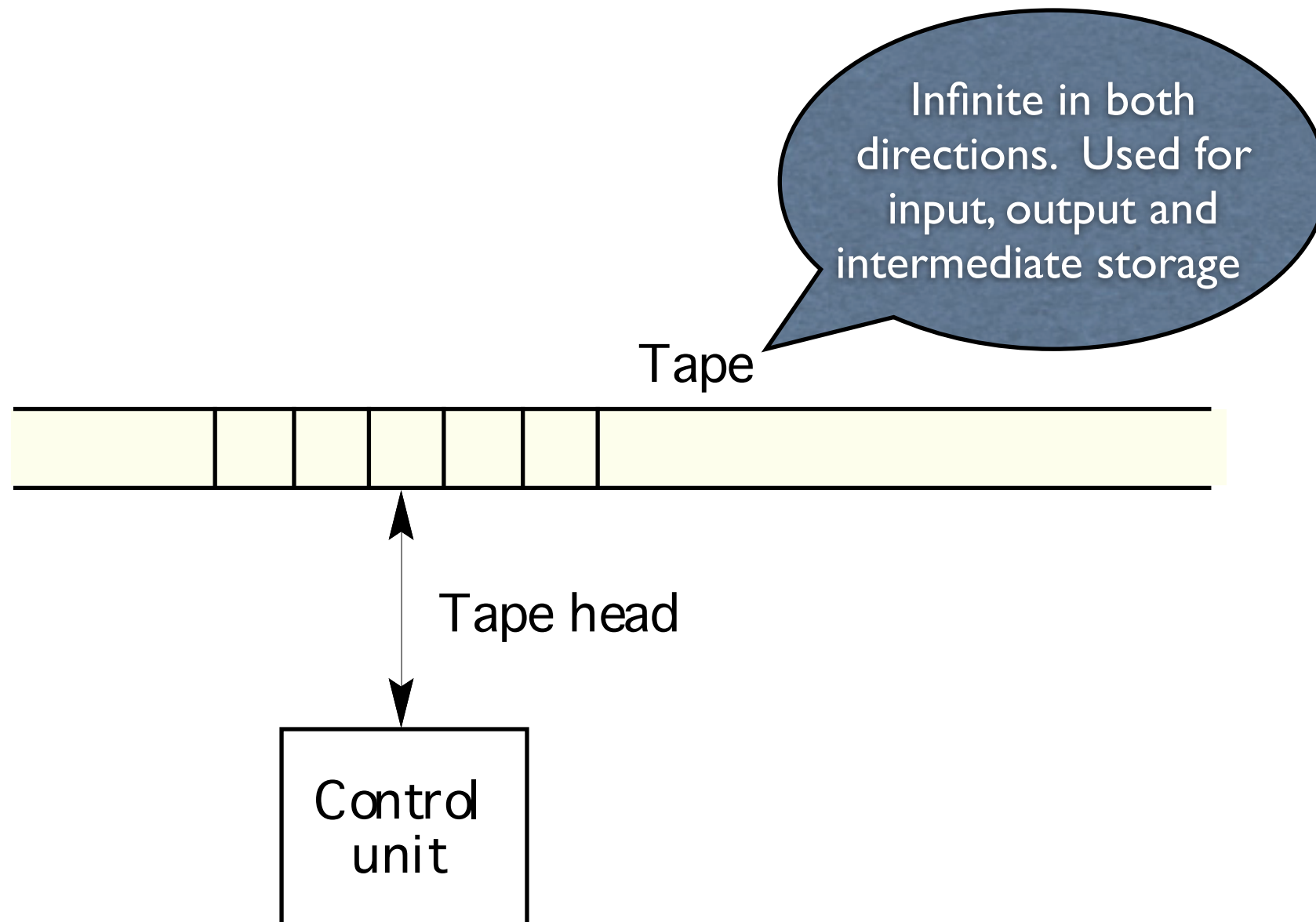
- It's a theoretical machine that does the work of a computer
  - When Turing wrote about a “computer” he meant a person!
- Should be able to do anything a computer could possibly do.
  - So if TM can't do a computation, that computation just isn't possible

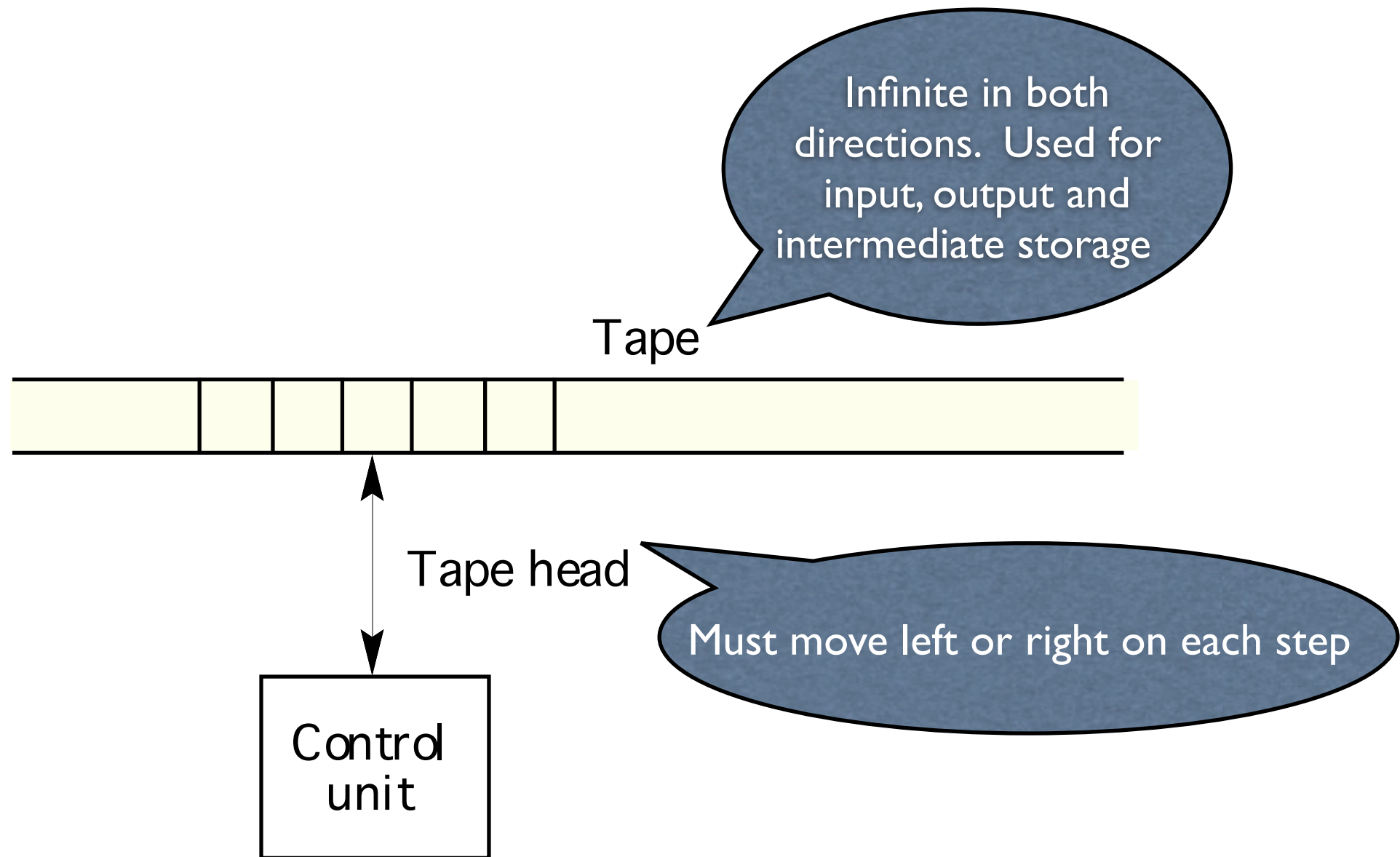
# What constrains a “Computer”?

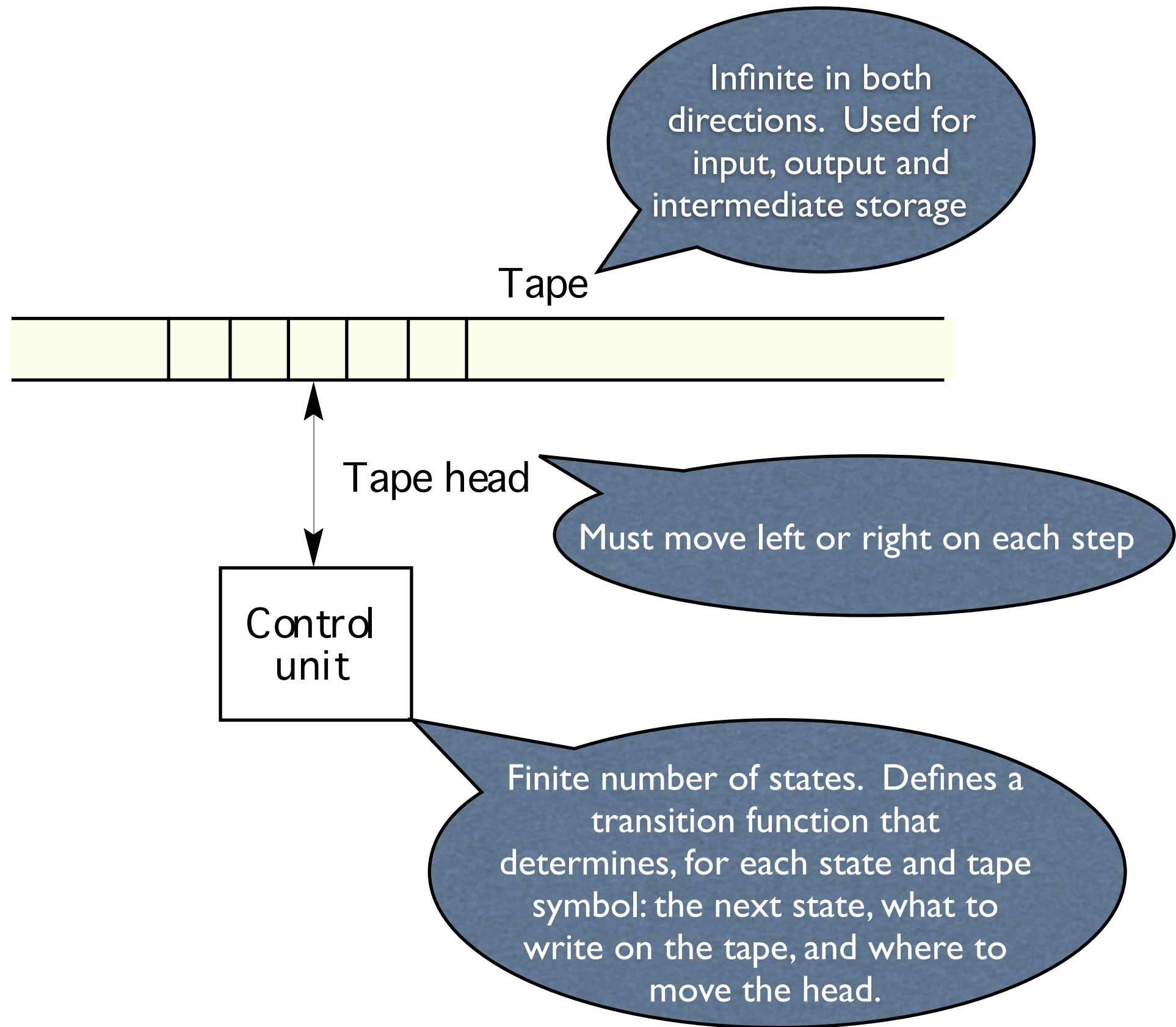
- Limited “mental state”
  - ▶ Computer can only act in a limited number of different modes
- Unlimited scratch space...
  - ▶ Computer can record a configuration and return to the computation after taking a break
  - ▶ Can always extend the written configuration
- ...but limited field of awareness
  - ▶ Can only look at a limited part of the configuration at one time



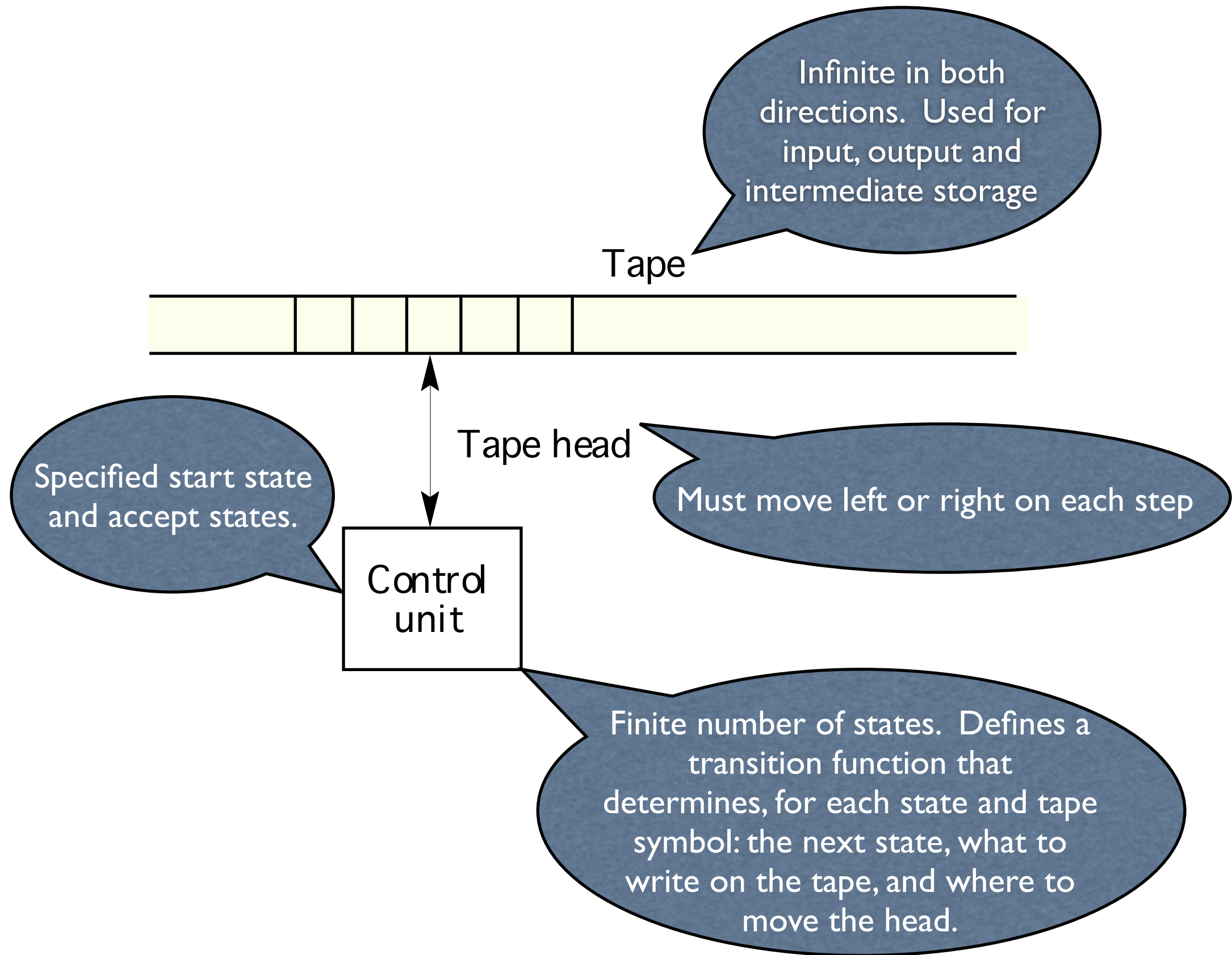


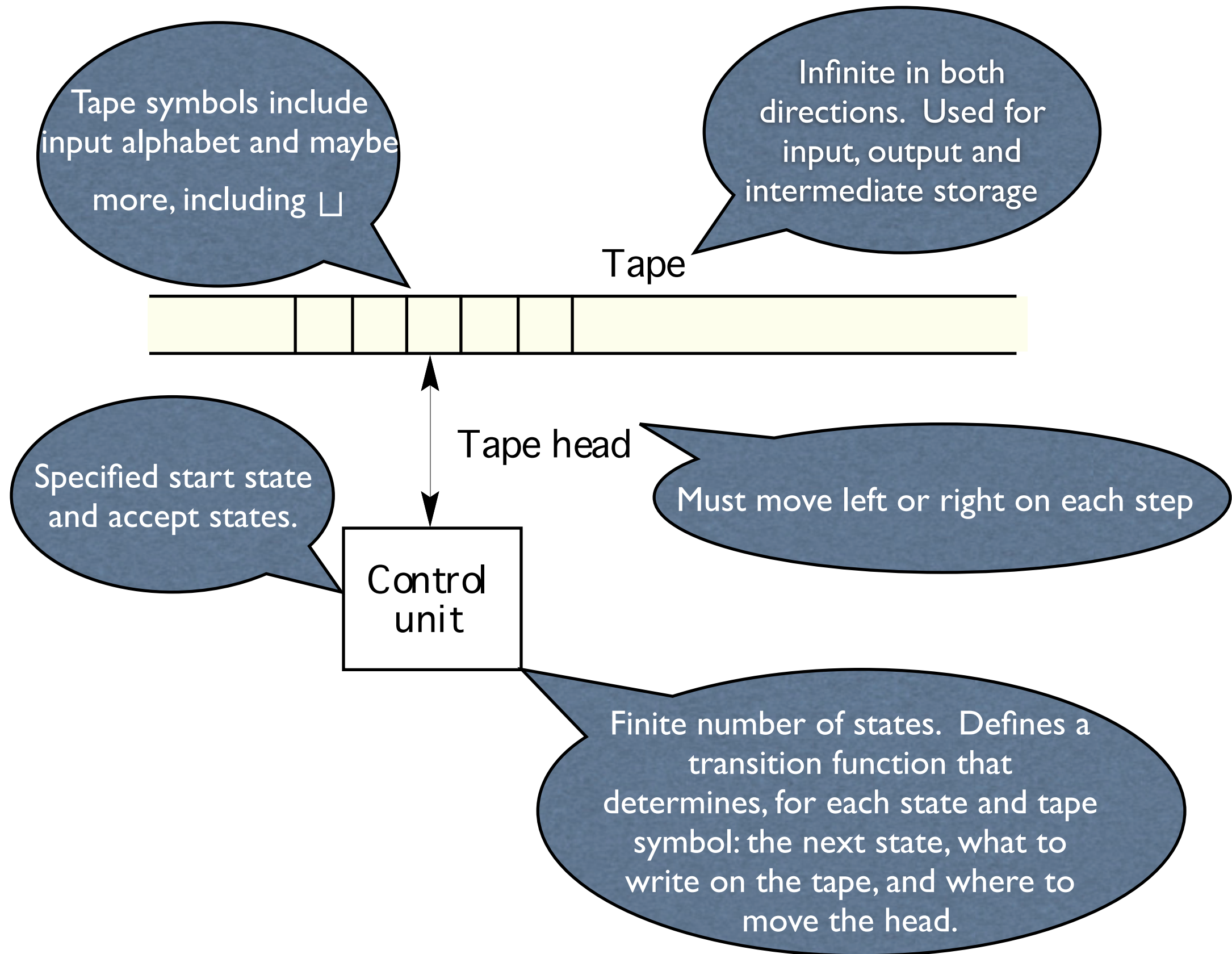






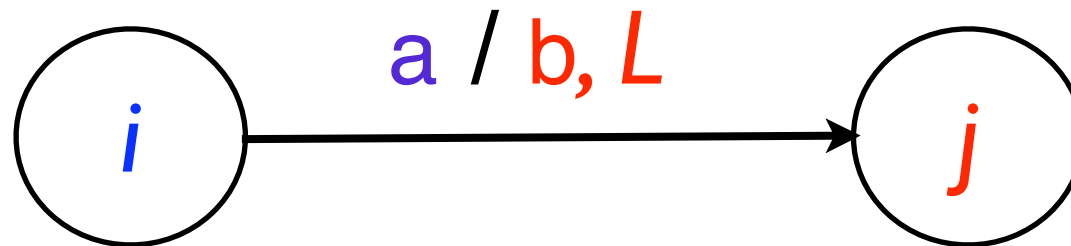






# Turing machine transitions

Turing machine state diagrams have transitions like this:



IALC uses

$a/b \rightarrow$

or

$a/b \leftarrow$

meaning:

if machine is in state  $i$ , and the symbol under the head is  $a$ , then:

the machine can write  $b$ , move the head one cell Left, and enter state  $j$ .

For simplicity we write  $a / L$  for  $a / a, L$



- Assumptions/Conventions:
  - The input is on the tape before the machine starts
  - All other cells on the tape contain the special blank symbol  $\sqcup$
  - The head starts at the left-hand end of the input
  - There is a designated start state
  - There is a set of designated accept states
  - The machine *halts* when no transition is possible
  - We never allow any transitions from the accept states
  - Anything else?

# A Turing machine can *recognize* a language

- A Turing machine accepts a string if
  - after starting with the string on the tape,
  - the machine eventually enters an accept state
- How can a TM fail to accept?
  - It can halt in some non-accepting state; OR
  - it can run forever!

Recall: a machine *recognizes* a language if it accepts all and only the strings in that language

# *n*-ary addition as a Language

- Contains strings like:
  - ▶  $1+11=111$
  - ▶  $1+1+11+111+1=11111111$
- Does not contain strings like:
  - ▶  $111$
  - ▶  $1+1=1$

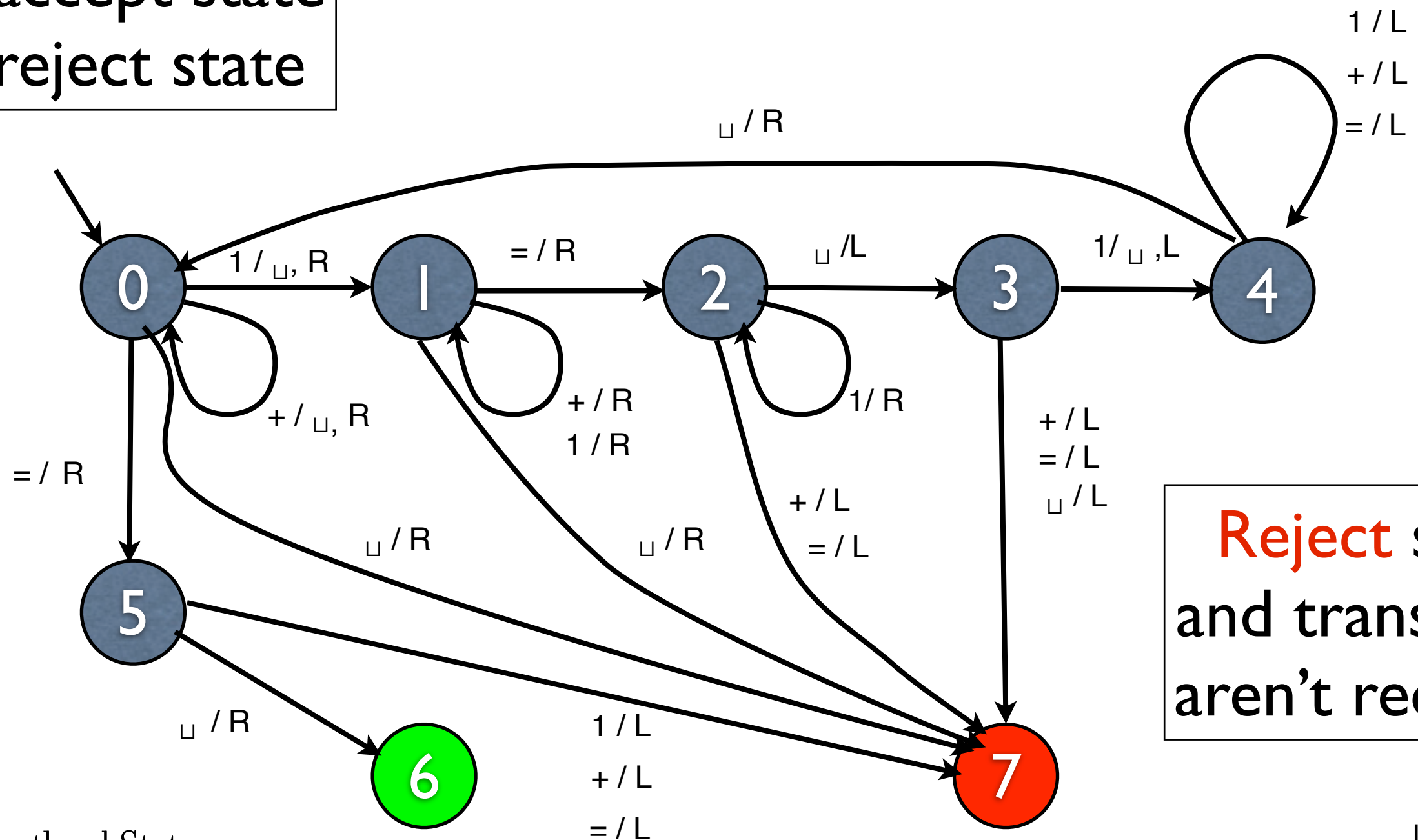
# Recognizing $n$ -ary addition

- Let  $L = \{1^{k_1} + 1^{k_2} + \dots + 1^{k_n} = 1^{k_1 + k_2 + \dots + k_n}\}$  over alphabet  $\{1, +, =\}$
- Machine starts at left end of tape and loops:
  - ▶ Look at current symbol
  - ▶ If it's a 1, change to blank, move to right end
    - if that is a 1, change it to blank, return to left end of input, repeat loop
    - otherwise, reject
  - ▶ If it's a +, change to blank, move right one; repeat loop
  - ▶ If it's a =, move right: if next symbol is blank, accept; otherwise reject



# Machine for $n$ -ary addition

0: start state  
6: accept state  
7: reject state

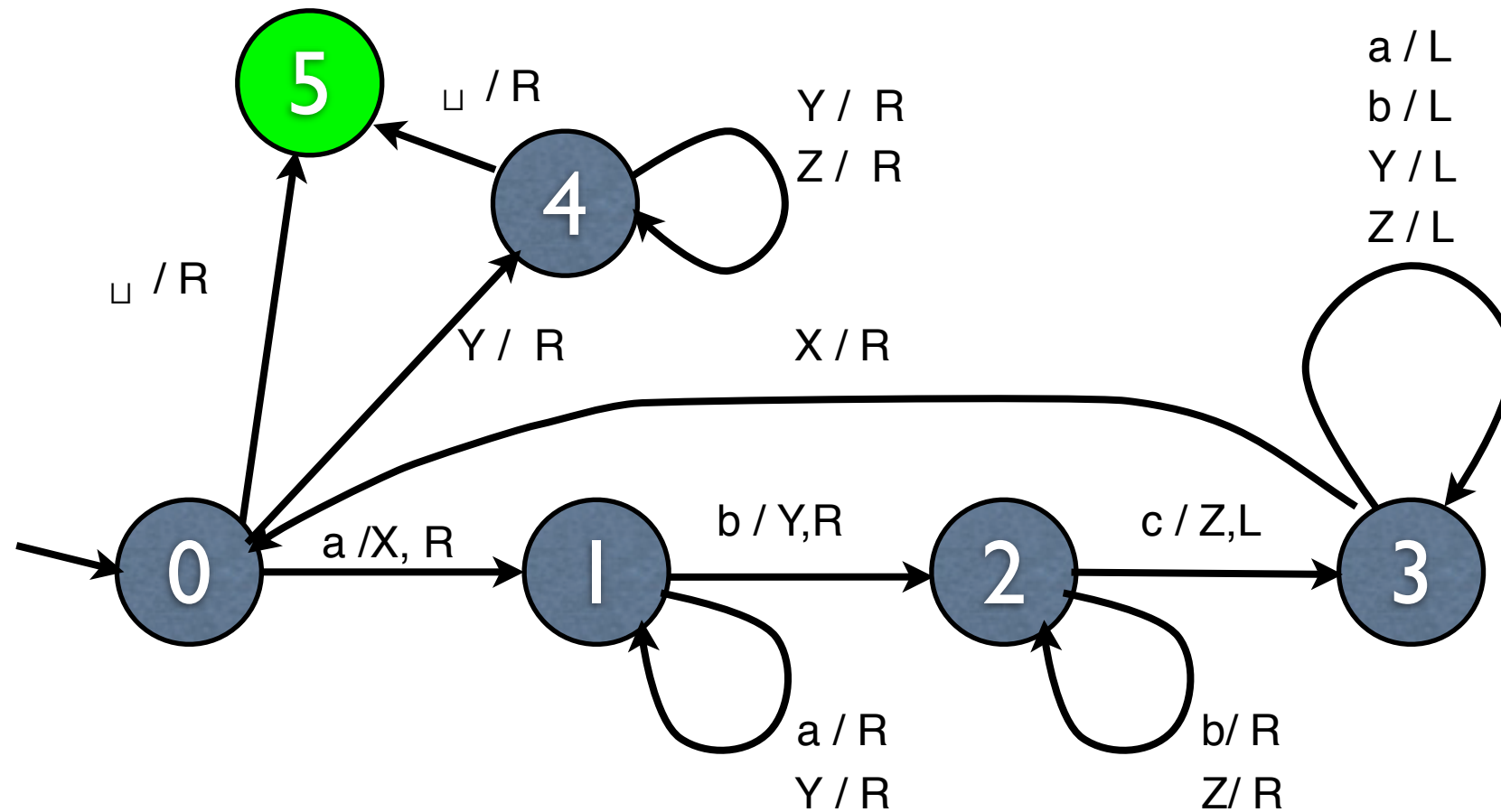


**Reject** state  
and transitions  
aren't required

# Idea for recognizing $\{a^n b^n c^n\}$

- ▶ Starting at left-hand of input, if current cell is empty, accept.
- ▶ Otherwise, if cell contains an a, change this to an X and move right looking for a corresponding b.
- ▶ If b is found, change it to a Y and move right looking for a corresponding c.
- ▶ If c is found, change it to a Z and return to left to start over again.
- ▶ If there are no more a's, scan to the right making sure that there are no more b's or c's either.

# Machine: Recognizing $\{a^n b^n c^n\}$



state 0: looking for an a  
state 1: looking for matching b  
state 2: looking for matching c  
state 3: returning to rightmost X  
state 4: checking there's no b or c  
state 5: accept

# Compare to Pushdown Automata

1. A Turing machine reads its input and does “scratch work” on the same tape
2. The read-write head can move anywhere on the tape at any time



# Formal Definition

- A Turing machine  $M$  is defined as a 7-tuple:  $M = (Q, \Sigma, \Gamma, \delta, q_0, \sqcup, F)$  where:
  - ▶  $Q$  is a set of states
  - ▶  $\Sigma$  is the input alphabet not containing  $\sqcup$
  - ▶  $\Gamma$  is the tape alphabet, where  $\sqcup \in \Gamma$  and  $\Sigma \subseteq \Gamma$
  - ▶  $\delta : Q \times \Gamma \rightarrow Q \times \Gamma \times \{L, R\}$  is the transition function
    - This is a **partial** function, not necessarily defined on all inputs
  - ▶  $q_0 \in Q$  is the start state
  - ▶  $\sqcup$  is the blank symbol
  - ▶  $F \subseteq Q$  is the set of accept states

# TM Configurations

- The behavior of a TM at each step is governed by its **configuration**
  - ▶ the current state
  - ▶ the current tape contents
  - ▶ the current tape head location
- We write  $u q v$  for the configuration where
  - ▶  $q$  is the current state
  - ▶  $uv$  is the current tape contents (with blanks to the left and right)
  - ▶ the first symbol of  $v$  is under the tape head

# TM Acceptance, Formally

- Configuration  $C_i$  **yields** configuration  $C_j$  if the TM can legally go from  $C_i$  to  $C_j$  in a single step using  $\delta$
- $M$  **accepts**  $w$  if there is a sequence of configurations  $C_1, C_2, \dots, C_n$  where
  - ▶  $C_1$  is the **start** configuration  $q_0 w$
  - ▶  $C_i$  yields  $C_{i+1}$  for  $i = 1, \dots, n-1$ .
  - ▶  $C_n$  is any configuration with state  $\in F$

# Recognizable vs. Decidable

- A language  $L$  is **Turing recognizable** if some Turing machine recognizes it.
  - ▶ Some strings not in  $L$  may cause the TM to loop
  - ▶ Turing recognizable = recursively enumerable.
- A language  $L$  is Turing **decidable** if some Turing machine decides it
  - ▶ To decide is to return a definitive answer; the TM must halt on all inputs
  - ▶ Turing decidable = decidable = recursive.
- We'll see some recognizable but undecidable languages later on.



# Decidable Language

$$A_1 = \{0^{2^n} \mid n \geq 0\}$$

- Decidable by  $M_1$ :
  1. Sweep right, crossing off every other 0
  2. if in (1) there was a single 0, *accept*
  3. otherwise, if in (1) there was an odd number of 0s, halt
  4. return the head to the left, and repeat from (1)

# State diagram for $M_1$

In State	Reading	Write	Move	New State
0	#	#	R	0
0	0	0	R	7
1	#	#	L	4
1	0	0	R	2
1	x	x	R	1
2	#	#	R	9
2	0	x	R	1
2	x	x	R	2
4	#	#	R	0
4	0	0	L	4
4	x	x	L	4
7	#	#	L	h
7	0	x	R	1
7	x	x	R	7

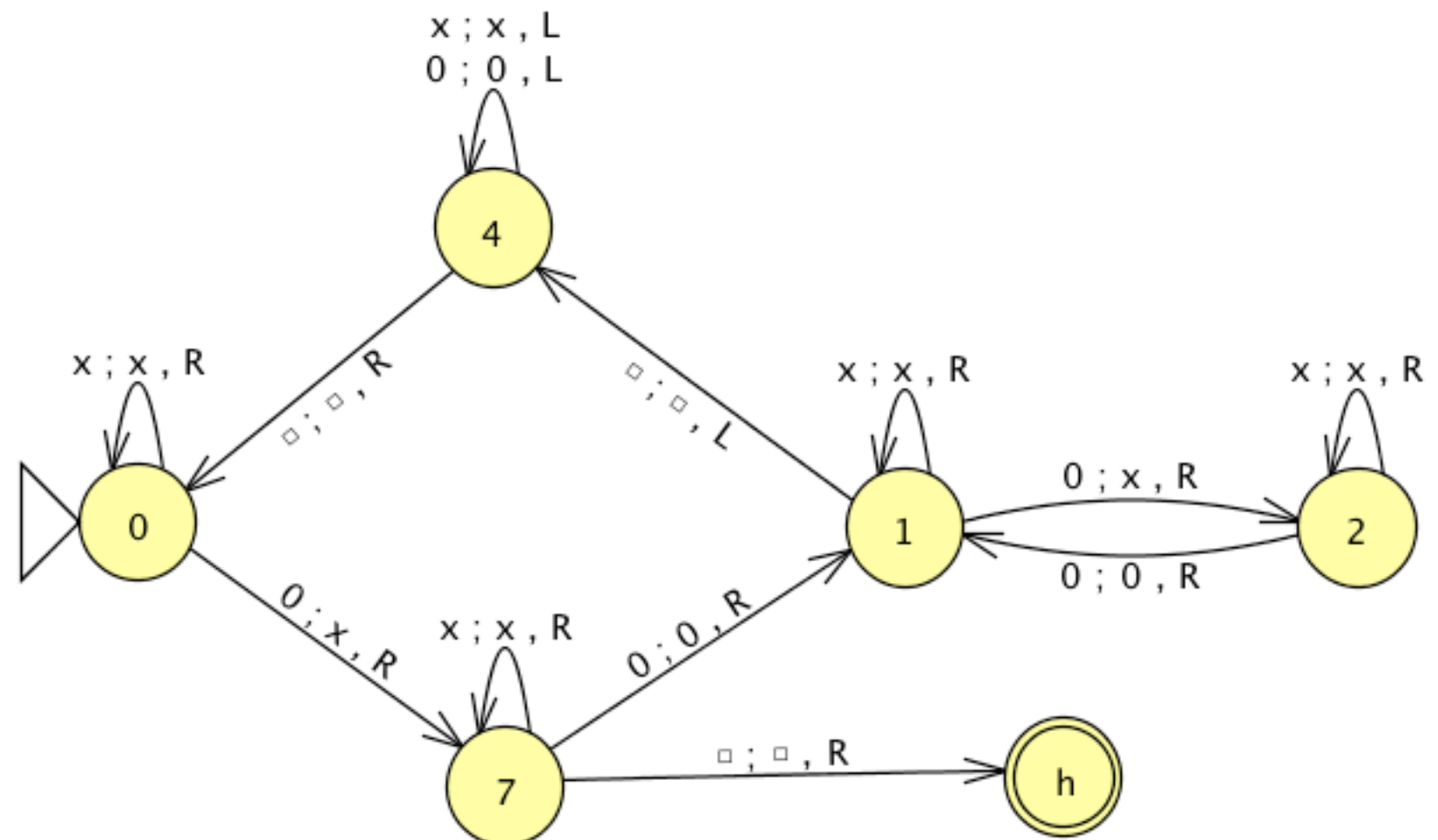
State 0: I'm looking at first input symbol

State 7: I've seen exactly one 0 on this pass

State 1: I've seen an even number of 0s on this pass

State 2: I've seen an odd number of 0s on this pass

State 4: I'm skipping back to the start



# TM as Transducer

- Because the TM leaves its tape behind when it halts, it can also be viewed as a *transducer* that turns input into output
- Example: TM that does unary multiplication, turning  $111 \times 11 =$  into  $111 \times 11 = 111111$

# Unary Multiplication TM

- Does multiplication by repeated addition:
  - ▶ copies the 1s from the second number to the end of the tape
  - ▶ repeats this for each 1 in the first number



q0: looking at first unused symbol in input  
 q1: found a 1 in the first factor; skipping to the x symbol  
 q2: found x  
 q4: found a 1 in the second factor; changed it to Y; skipping to the =  
 q3: used all the 1s in the second factor; convert the Ys back to 1s  
 q5: skipping to end of tape

q6: skipping back to =  
 q7: skipping back over second factor  
 q8: found Y marking previously used 1 from second factor  
 q9: skip back over 1's in first factor  
 q12: consumed all of the first factor; convert xs back to 1s  
 q11: found  $\square$

